

Transversal polynomial of graphs

Chris Godsil

Krystal Guo

Gordon Royle

Korteweg-De Vries Institute, University of Amsterdam

Semidefinite & Polynomial Optimization
29 August – 2 September 2022

Polynomials in Combinatorics

Polynomials arise in combinatorics, usually as a way of counting.

Polynomials in Combinatorics

Polynomials arise in combinatorics, usually as a way of counting.

Suppose we have a class of objects \mathcal{C} and we can count the number of them of order n .

Polynomials in Combinatorics

Polynomials arise in combinatorics, usually as a way of counting.

Suppose we have a class of objects \mathcal{C} and we can count the number of them of order n .

We get a sequence a_0, a_1, a_2, \dots and we can associate with this sequence the **generating function**

$$G(t) = \sum_{i=0}^{\infty} a_i t^i$$

Polynomials in Combinatorics

Polynomials arise in combinatorics, usually as a way of counting.

Suppose we have a class of objects \mathcal{C} and we can count the number of them of order n .

We get a sequence a_0, a_1, a_2, \dots and we can associate with this sequence the **generating function**

$$G(t) = \sum_{i=0}^{\infty} a_i t^i$$

Alternatively, we can write this as a sum of elements of \mathcal{C} , where $w(C)$ is its order.

$$G(x) = \sum_{C \in \mathcal{C}} t^{w(C)}$$

Examples of Generating Functions

Catalan Numbers

Examples of Generating Functions

Catalan Numbers

The famous Catalan numbers 1, 1, 2, 5, 14, 42, ... enumerate many things. The generating function is the unique (formal) power series with non-negative coefficients satisfying:

Examples of Generating Functions

Catalan Numbers

The famous Catalan numbers 1, 1, 2, 5, 14, 42, ... enumerate many things. The generating function is the unique (formal) power series with non-negative coefficients satisfying:

$$1 - C(t) + tC(t)^2 = 0$$

Examples of Generating Functions

Catalan Numbers

The famous Catalan numbers 1, 1, 2, 5, 14, 42, ... enumerate many things. The generating function is the unique (formal) power series with non-negative coefficients satisfying:

$$1 - C(t) + tC(t)^2 = 0$$

Matchings in graphs

Examples of Generating Functions

Catalan Numbers

The famous Catalan numbers 1, 1, 2, 5, 14, 42, ... enumerate many things. The generating function is the unique (formal) power series with non-negative coefficients satisfying:

$$1 - C(t) + tC(t)^2 = 0$$

Matchings in graphs

Take a graph G and let $w(M)$ for a matching M be the number of edges in M .

Examples of Generating Functions

Catalan Numbers

The famous Catalan numbers 1, 1, 2, 5, 14, 42, ... enumerate many things. The generating function is the unique (formal) power series with non-negative coefficients satisfying:

$$1 - C(t) + tC(t)^2 = 0$$

Matchings in graphs

Take a graph G and let $w(M)$ for a matching M be the number of edges in M .

The **matching polynomial** is the generating function:

Examples of Generating Functions

Catalan Numbers

The famous Catalan numbers 1, 1, 2, 5, 14, 42, ... enumerate many things. The generating function is the unique (formal) power series with non-negative coefficients satisfying:

$$1 - C(t) + tC(t)^2 = 0$$

Matchings in graphs

Take a graph G and let $w(M)$ for a matching M be the number of edges in M .

The **matching polynomial** is the generating function:

$$M(G, t) = \sum_M t^{w(M)}$$

Examples of Generating Functions

Catalan Numbers

The famous Catalan numbers 1, 1, 2, 5, 14, 42, ... enumerate many things. The generating function is the unique (formal) power series with non-negative coefficients satisfying:

$$1 - C(t) + tC(t)^2 = 0$$

Matchings in graphs

Take a graph G and let $w(M)$ for a matching M be the number of edges in M .

The **matching polynomial** is the generating function:

$$\mu(G, t) = \sum_M (-1)^{w(M)} t^{n-2w(M)}$$

Why polynomials?

Main idea: we manipulate the polynomials with algebra or calculus and prove something about the objects we enumerate.

Why polynomials?

Main idea: we manipulate the polynomials with algebra or calculus and prove something about the objects we enumerate.

Tutte polynomial, chromatic polynomial, etc.

Why polynomials?

Main idea: we manipulate the polynomials with algebra or calculus and prove something about the objects we enumerate.

Tutte polynomial, chromatic polynomial, etc.

The characteristic polynomial of the adjacency matrix of a graph is secretly also a generating function.

Why polynomials?

Main idea: we manipulate the polynomials with algebra or calculus and prove something about the objects we enumerate.

Tutte polynomial, chromatic polynomial, etc.

The characteristic polynomial of the adjacency matrix of a graph is secretly also a generating function.

$$\phi(G, t) = \det(tI - A(G))$$

Why polynomials?

Main idea: we manipulate the polynomials with algebra or calculus and prove something about the objects we enumerate.

Tutte polynomial, chromatic polynomial, etc.

The characteristic polynomial of the adjacency matrix of a graph is secretly also a generating function.

$$\phi(G, t) = \det(tI - A(G))$$

By decomposing the objects being counted, we find

$$\sum_{v \in V(G)} \phi(G \setminus v, t) = \frac{d}{dt} \phi(G, t)$$

and other identities, including the Christoffel-Darboux identity.

Applications

Marcus, Spielman, Srivastava 2015

Applications

Marcus, Spielman, Srivastava 2015

Made use of a connection between the matching polynomial and characteristic polynomial to find bipartite Ramanujan graphs of all degrees.

Applications

Marcus, Spielman, Srivastava 2015

Made use of a connection between the matching polynomial and characteristic polynomial to find bipartite Ramanujan graphs of all degrees.

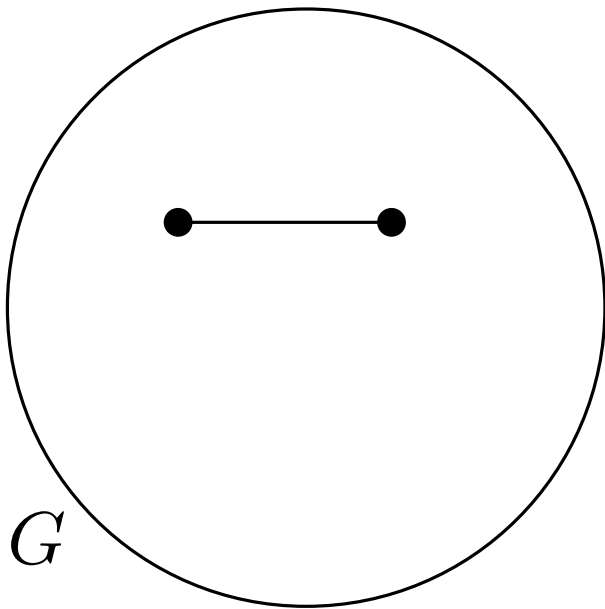
Godsil, Guo, Kempton, Lippner 2017

Applications

Marcus, Spielman, Srivastava 2015

Made use of a connection between the matching polynomial and characteristic polynomial to find bipartite Ramanujan graphs of all degrees.

Godsil, Guo, Kempton, Lippner 2017

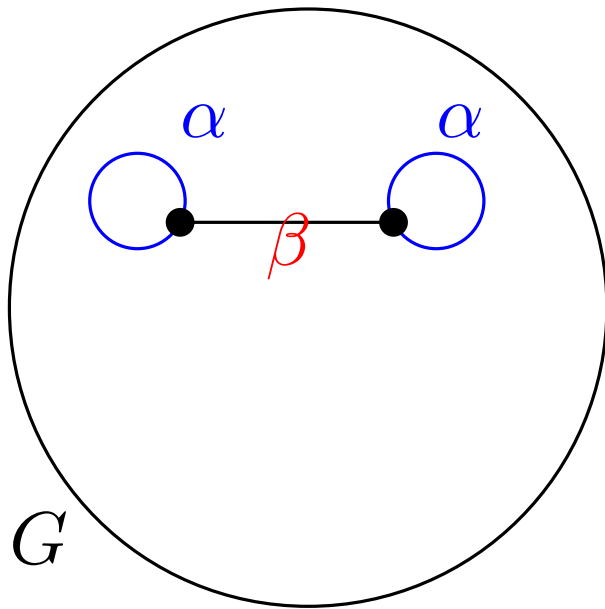


Applications

Marcus, Spielman, Srivastava 2015

Made use of a connection between the matching polynomial and characteristic polynomial to find bipartite Ramanujan graphs of all degrees.

Godsil, Guo, Kempton, Lippner 2017

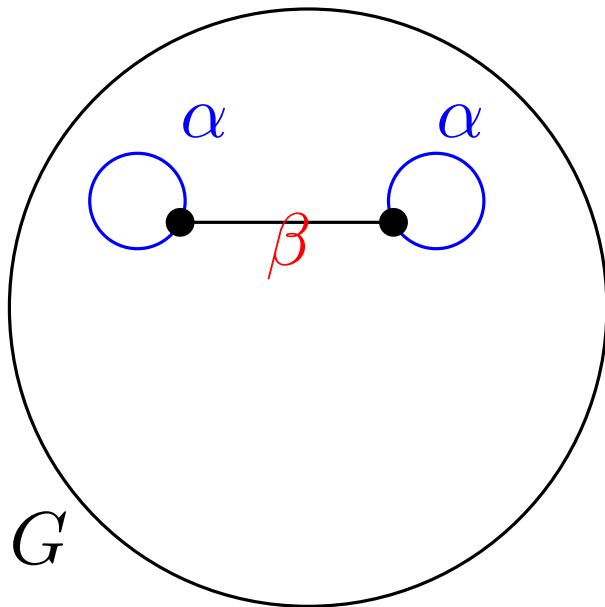


Applications

Marcus, Spielman, Srivastava 2015

Made use of a connection between the matching polynomial and characteristic polynomial to find bipartite Ramanujan graphs of all degrees.

Godsil, Guo, Kempton, Lippner 2017



Made use of Christoffel-Darboux type identities to find eigenvalues of edge-perturbations of graphs for the purposes of quantum walks.

In this talk

We find a common setting for two different problems in discrete math.

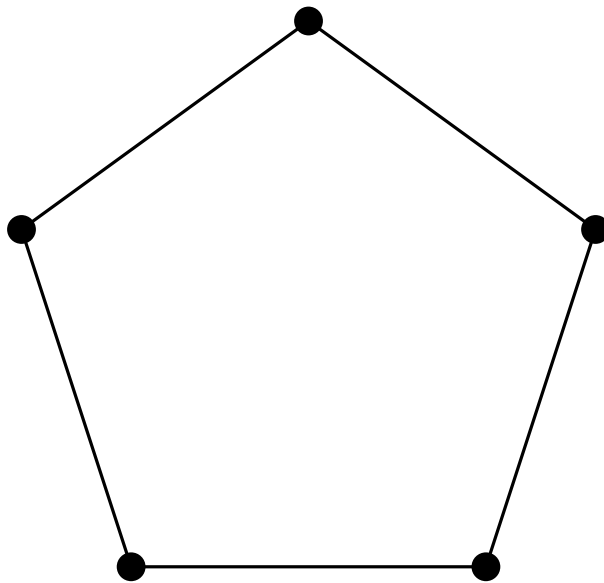
In this talk

We find a common setting for two different problems in discrete math.

We define a generating function for these objects.

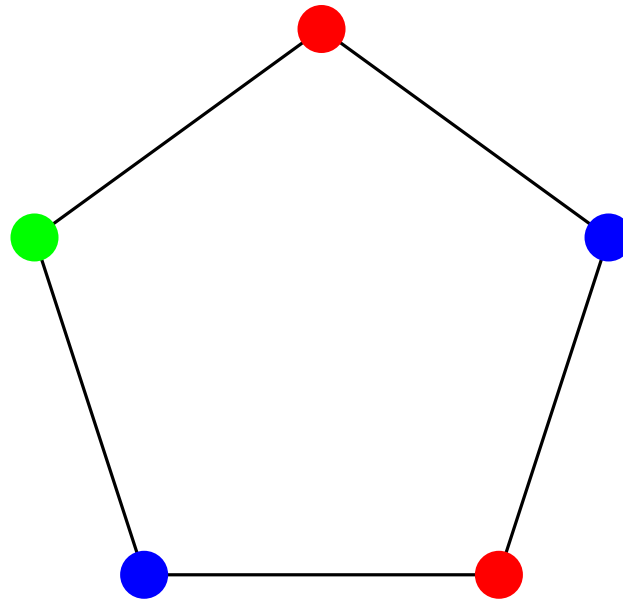
We prove basic properties about this polynomial, including the evaluation of a point.

Colourings of graphs



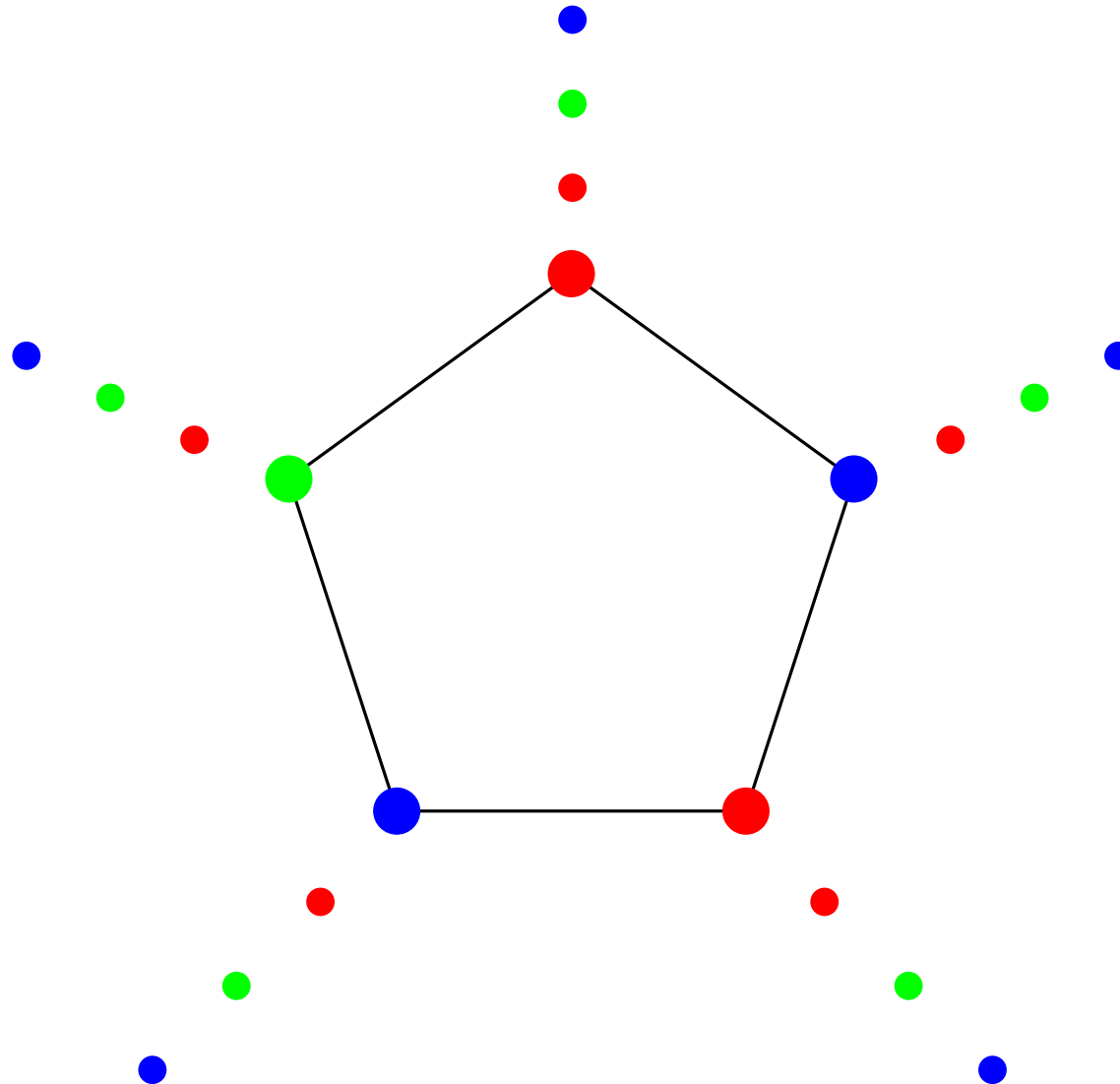
Colourings of graphs

k -colouring of graphs $\{ \text{red} \ \text{blue} \ \text{green} \}$



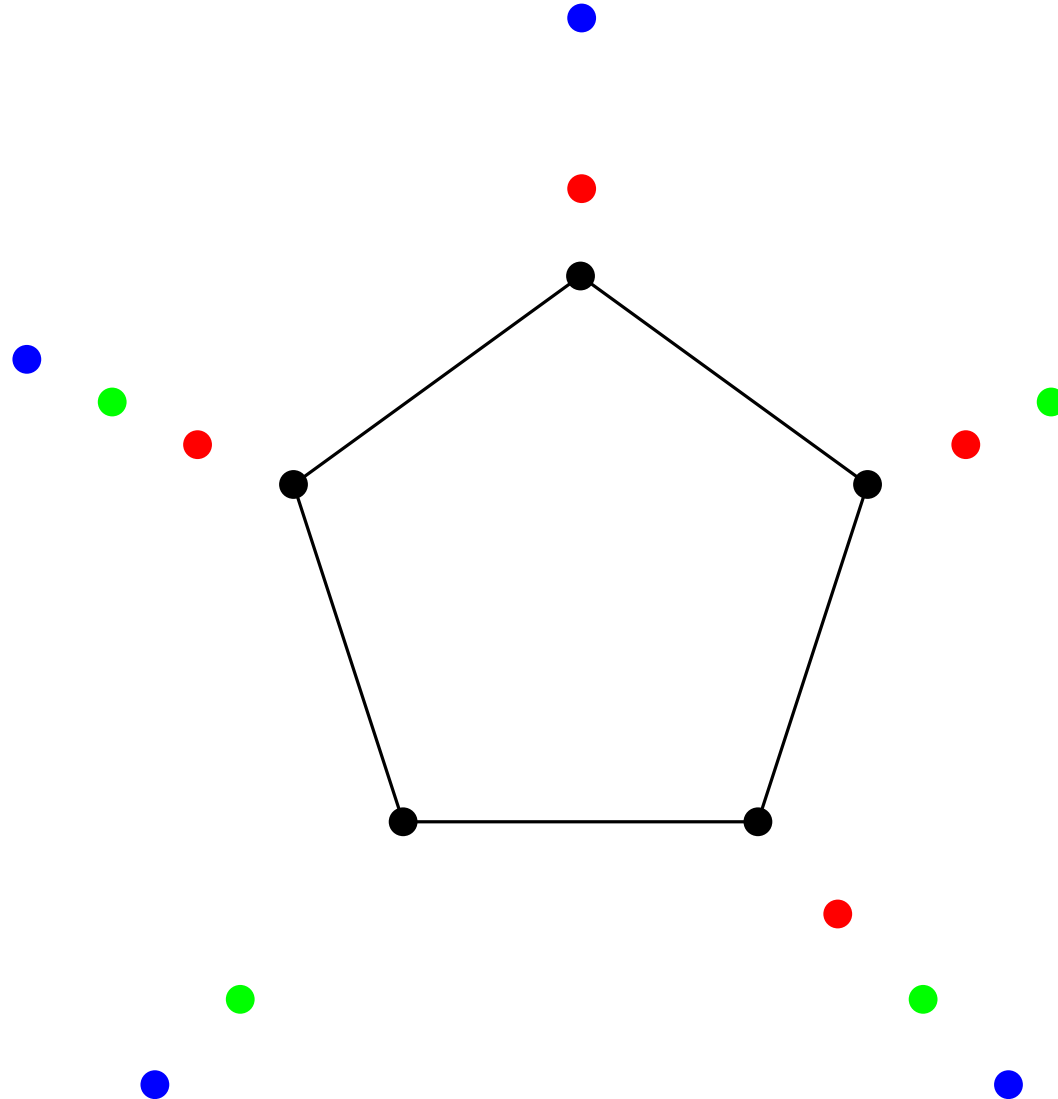
Colourings of graphs

k -list colouring { ● ● ● }



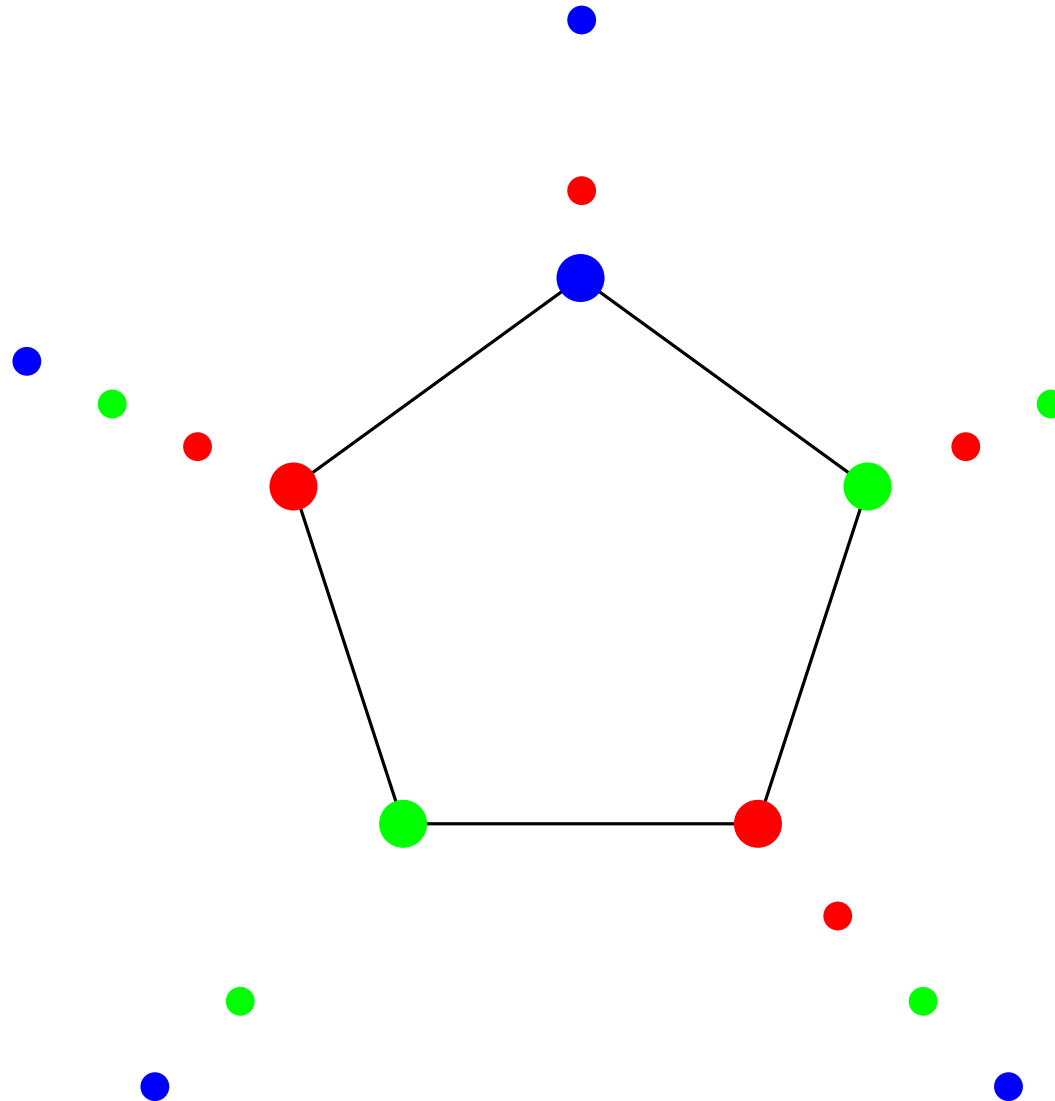
Colourings of graphs

k -list colouring { ● ● ● }



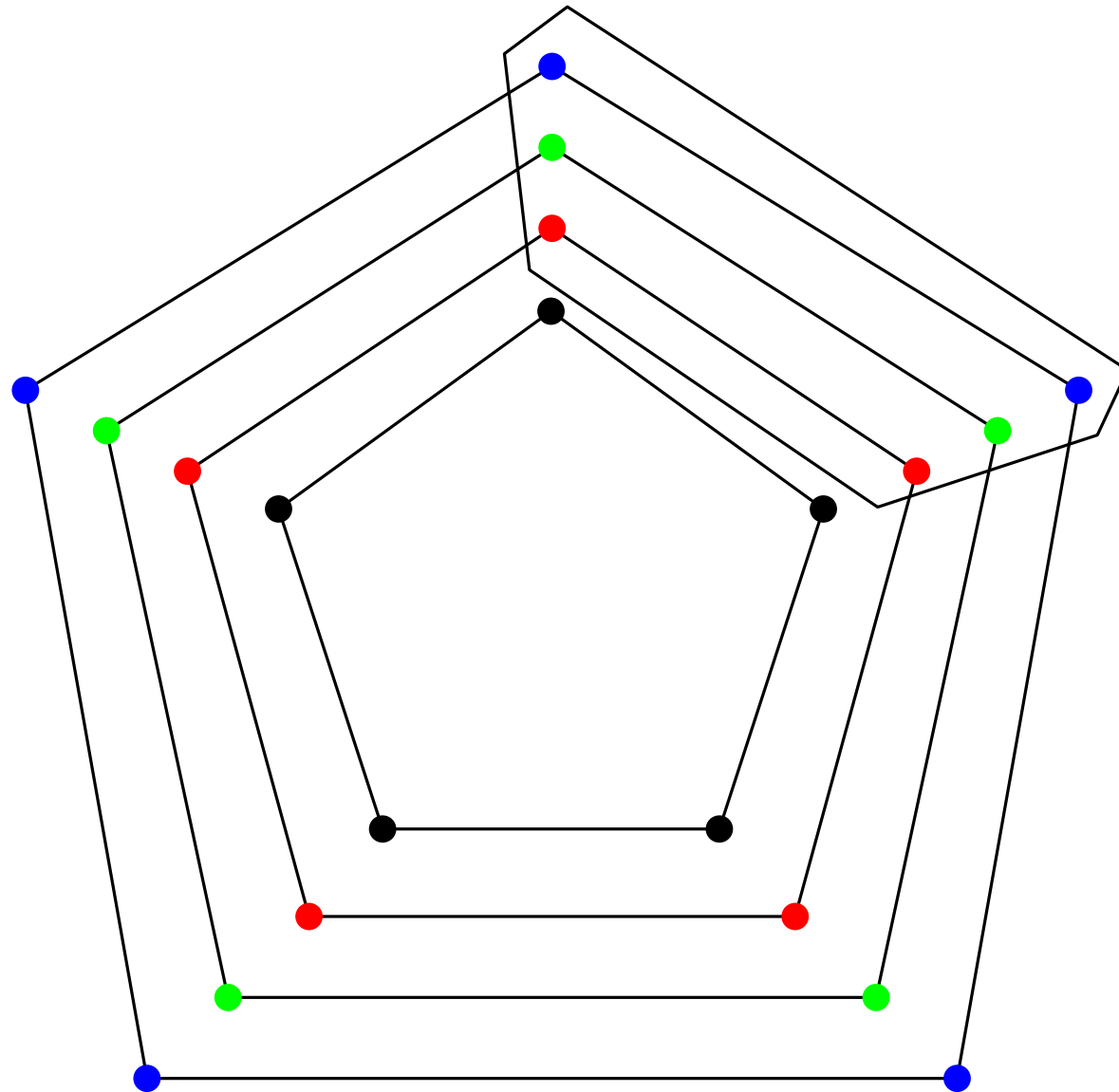
Colourings of graphs

k -list colouring { ● ● ● }



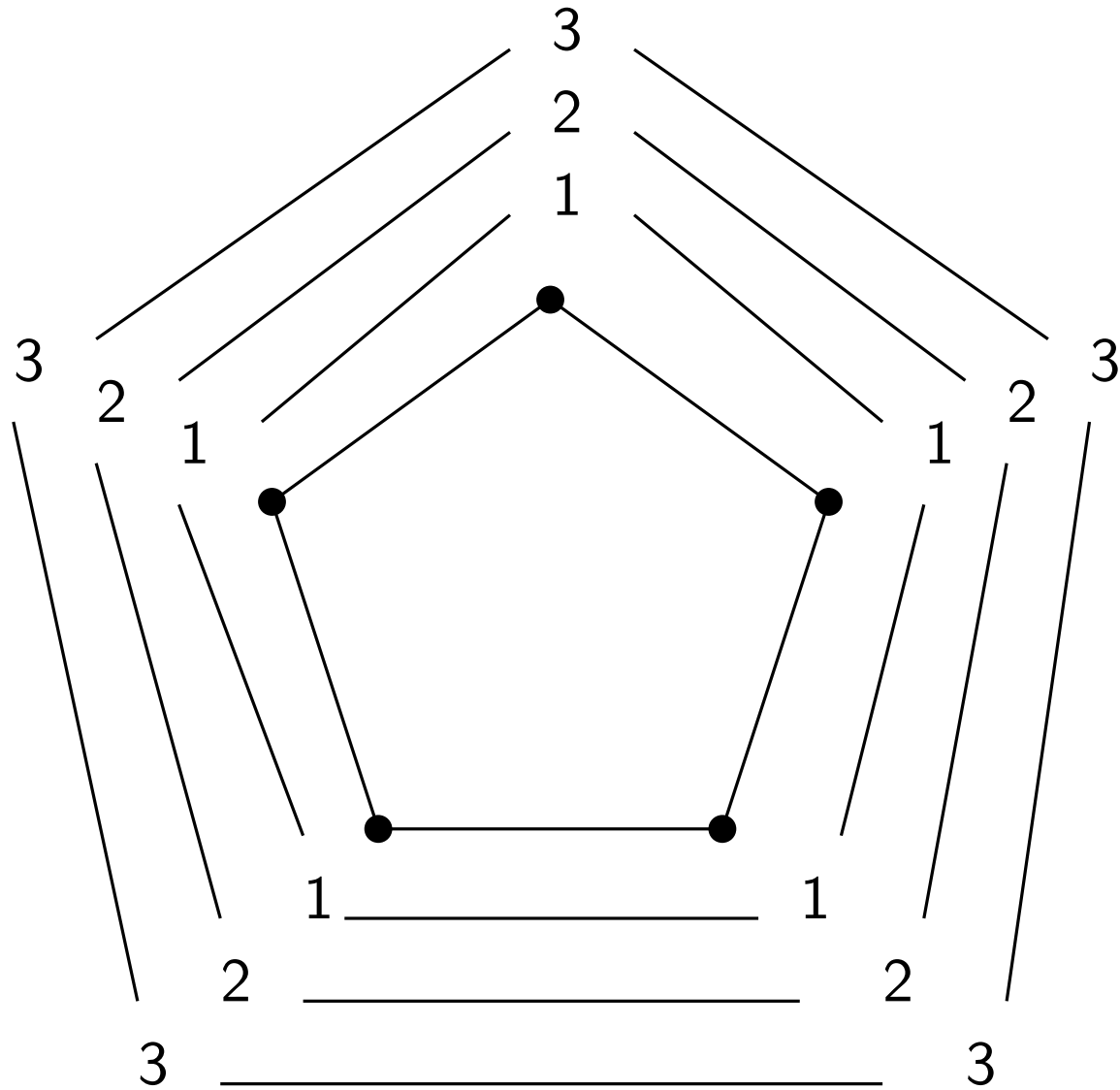
Colourings of graphs

k -correspondence colouring



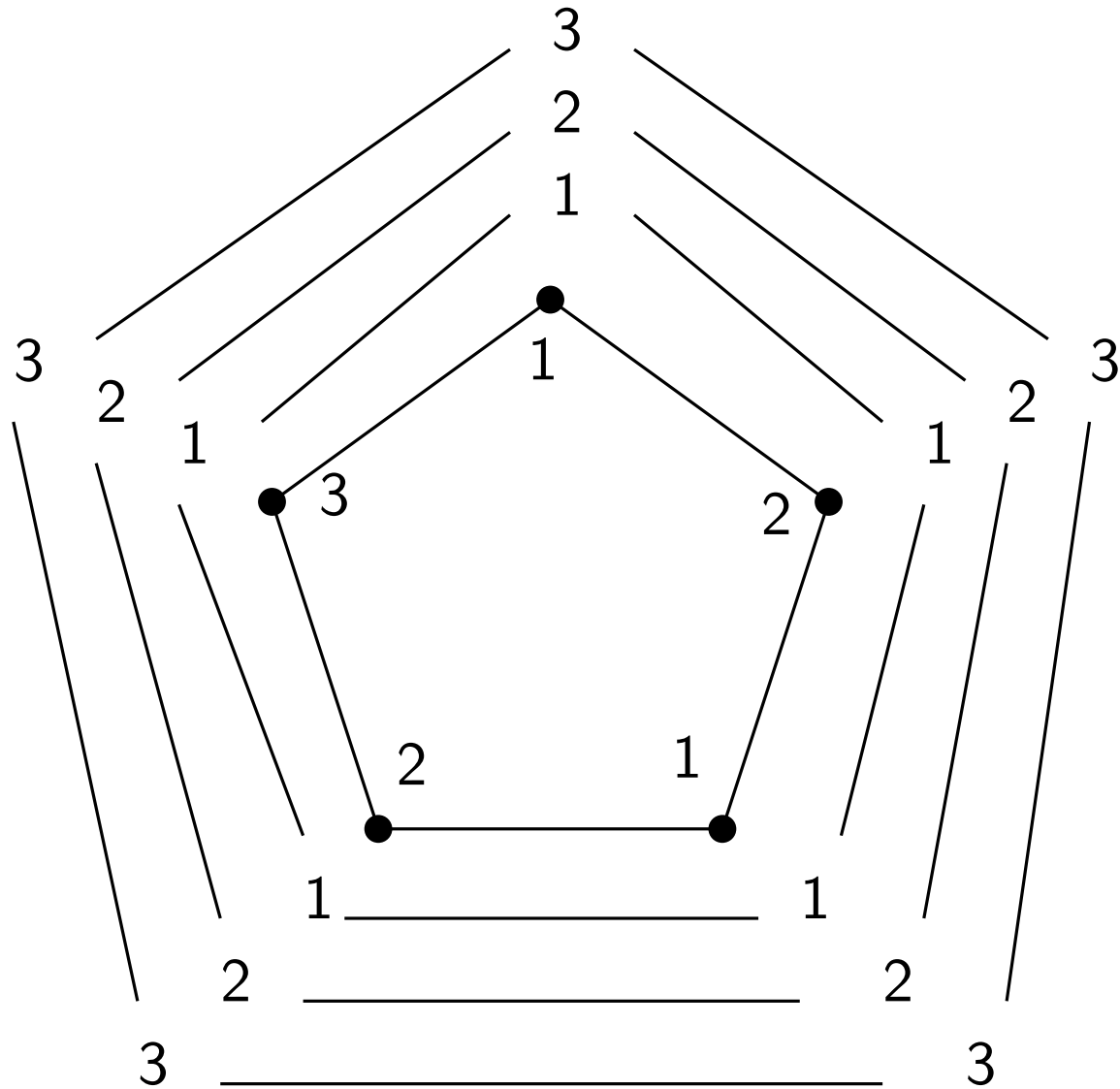
Colourings of graphs

k -correspondence colouring



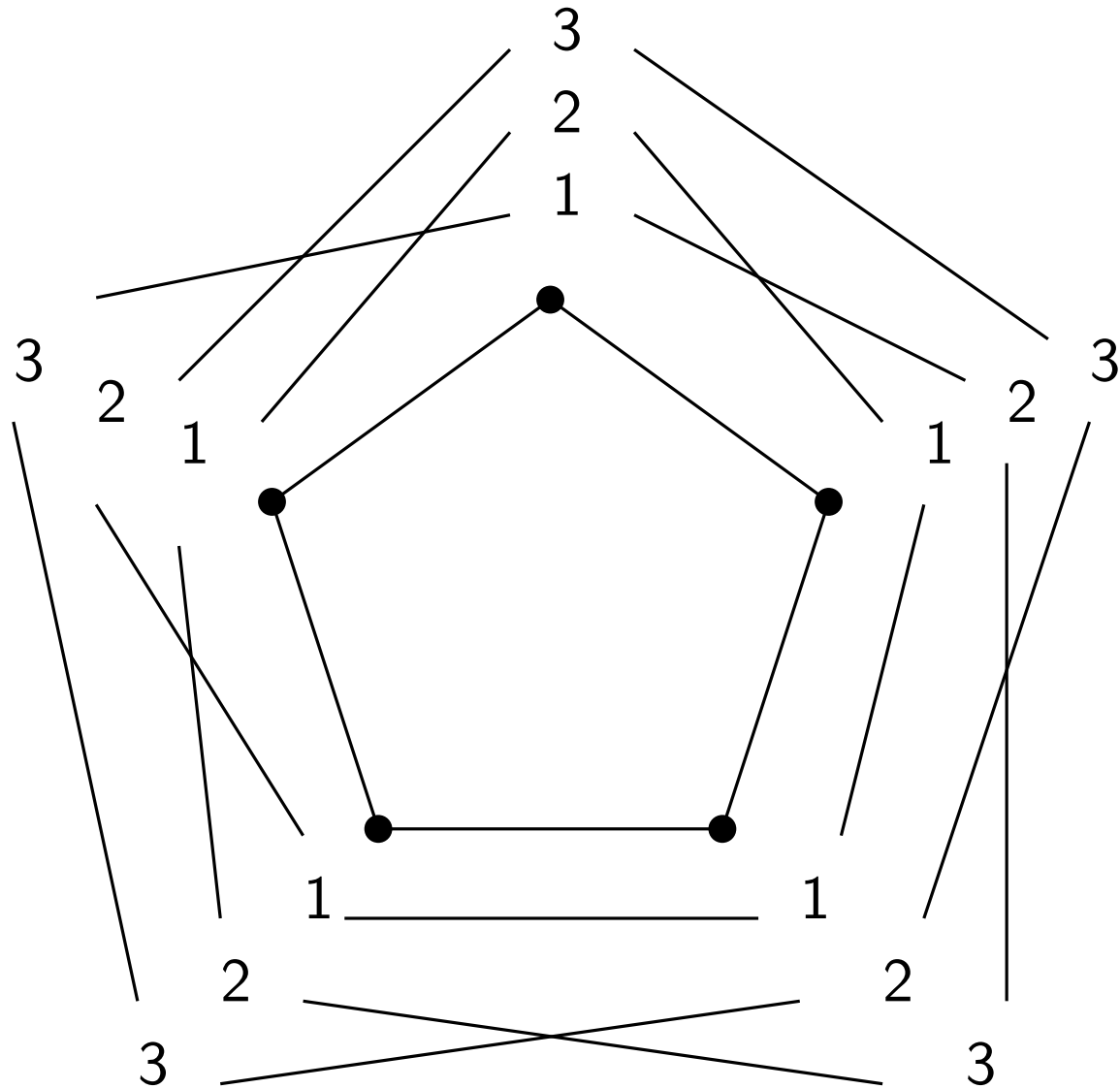
Colourings of graphs

k -correspondence colouring



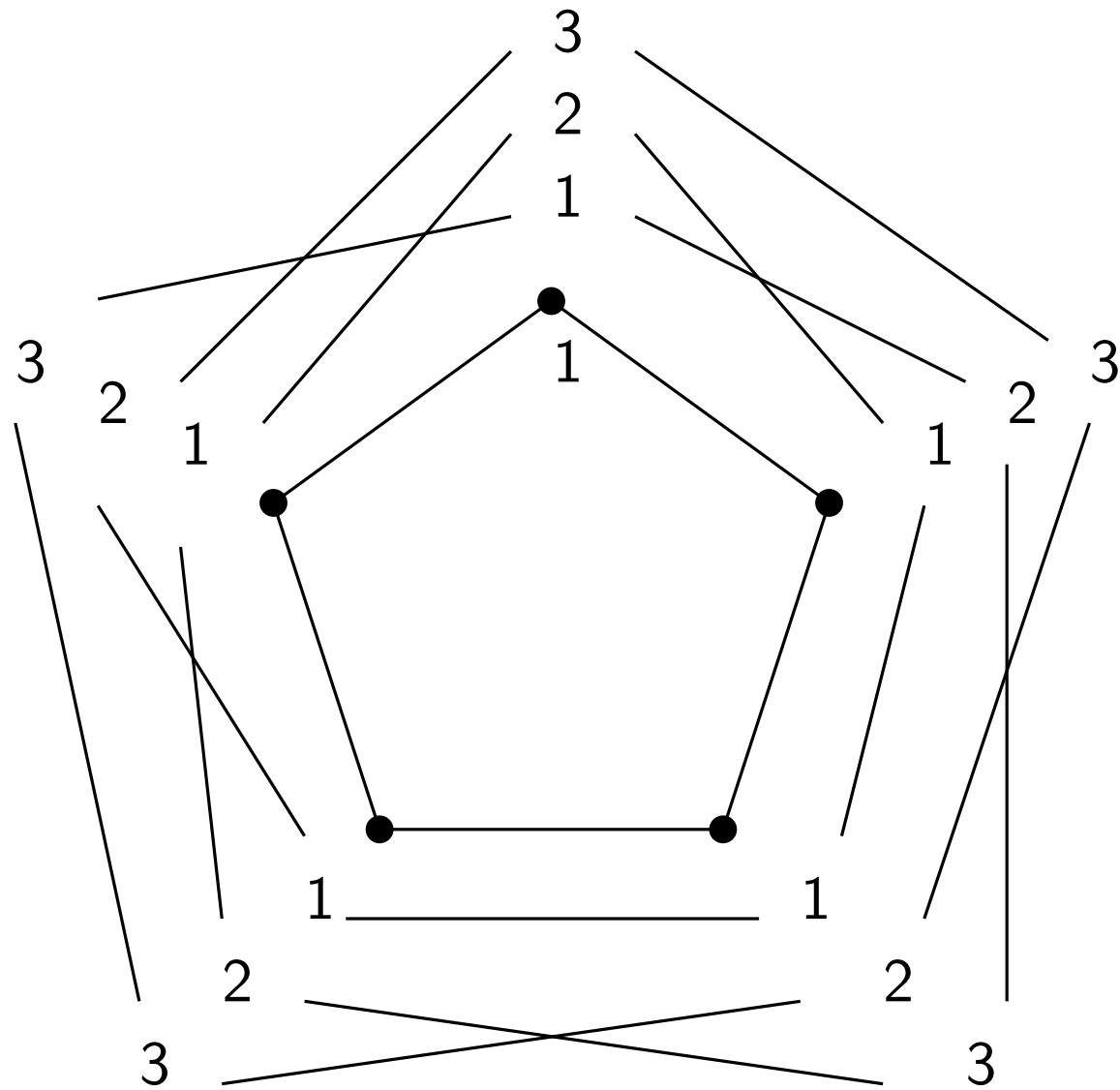
Colourings of graphs

k -correspondence colouring



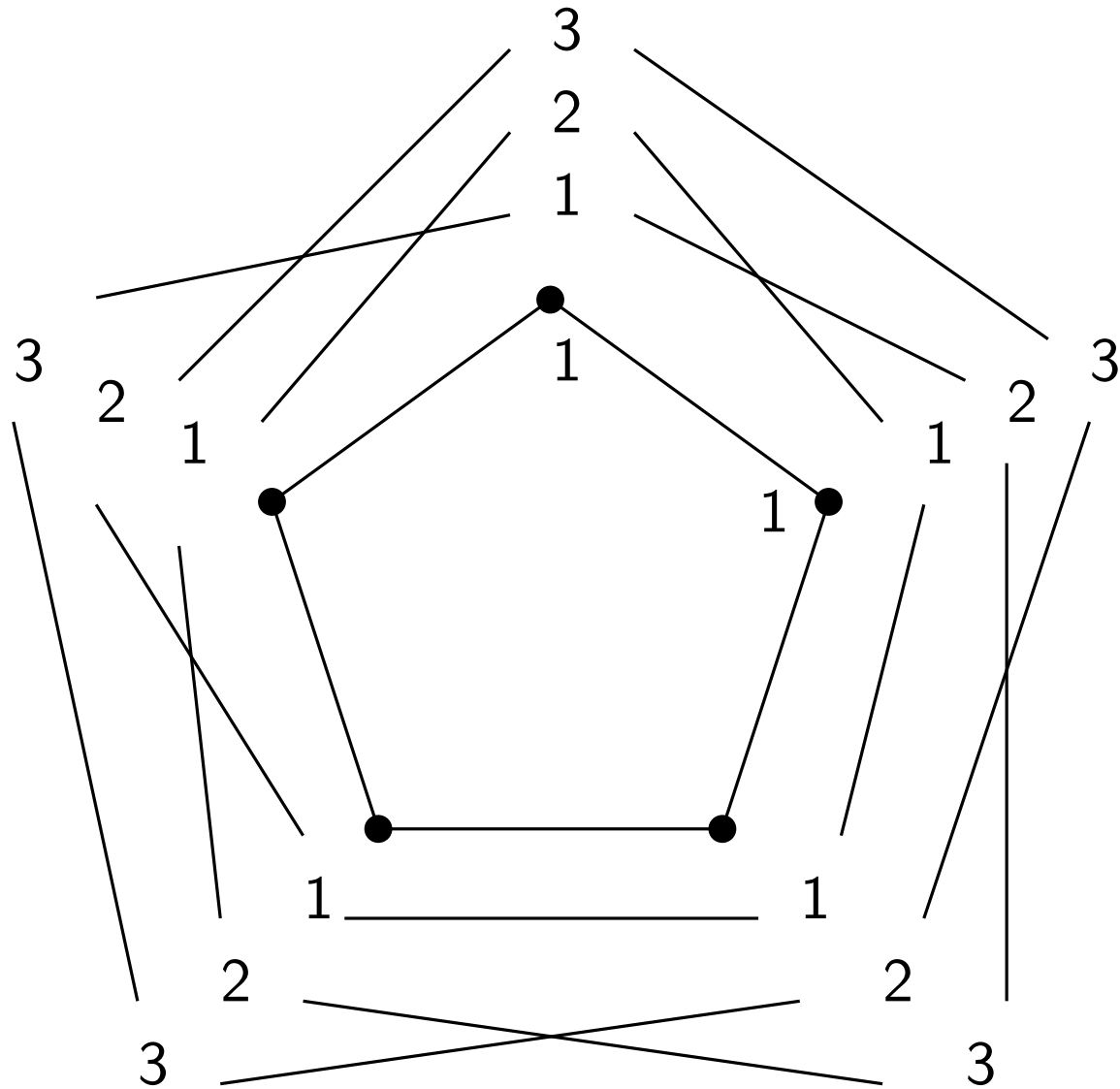
Colourings of graphs

k -correspondence colouring



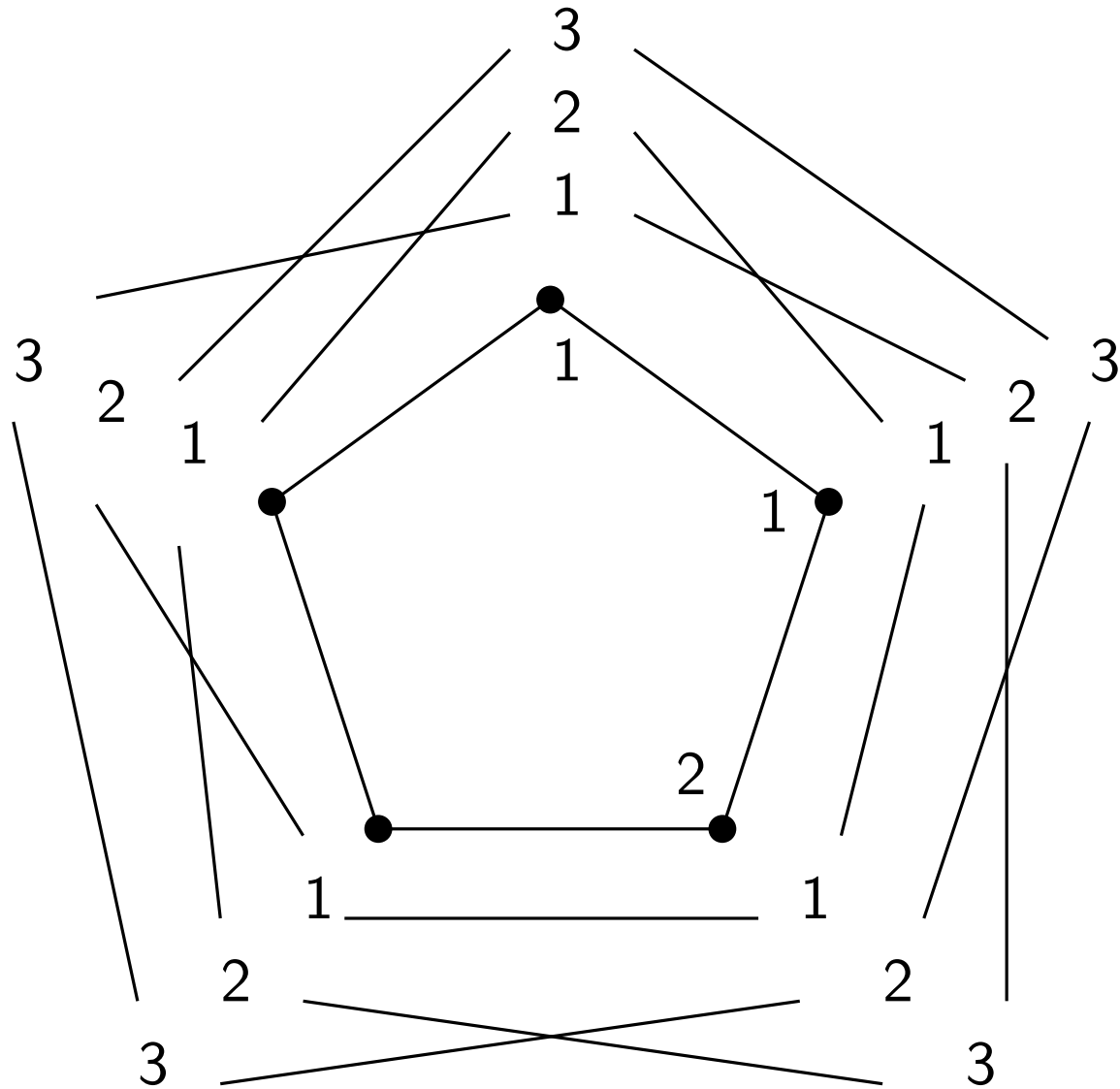
Colourings of graphs

k -correspondence colouring



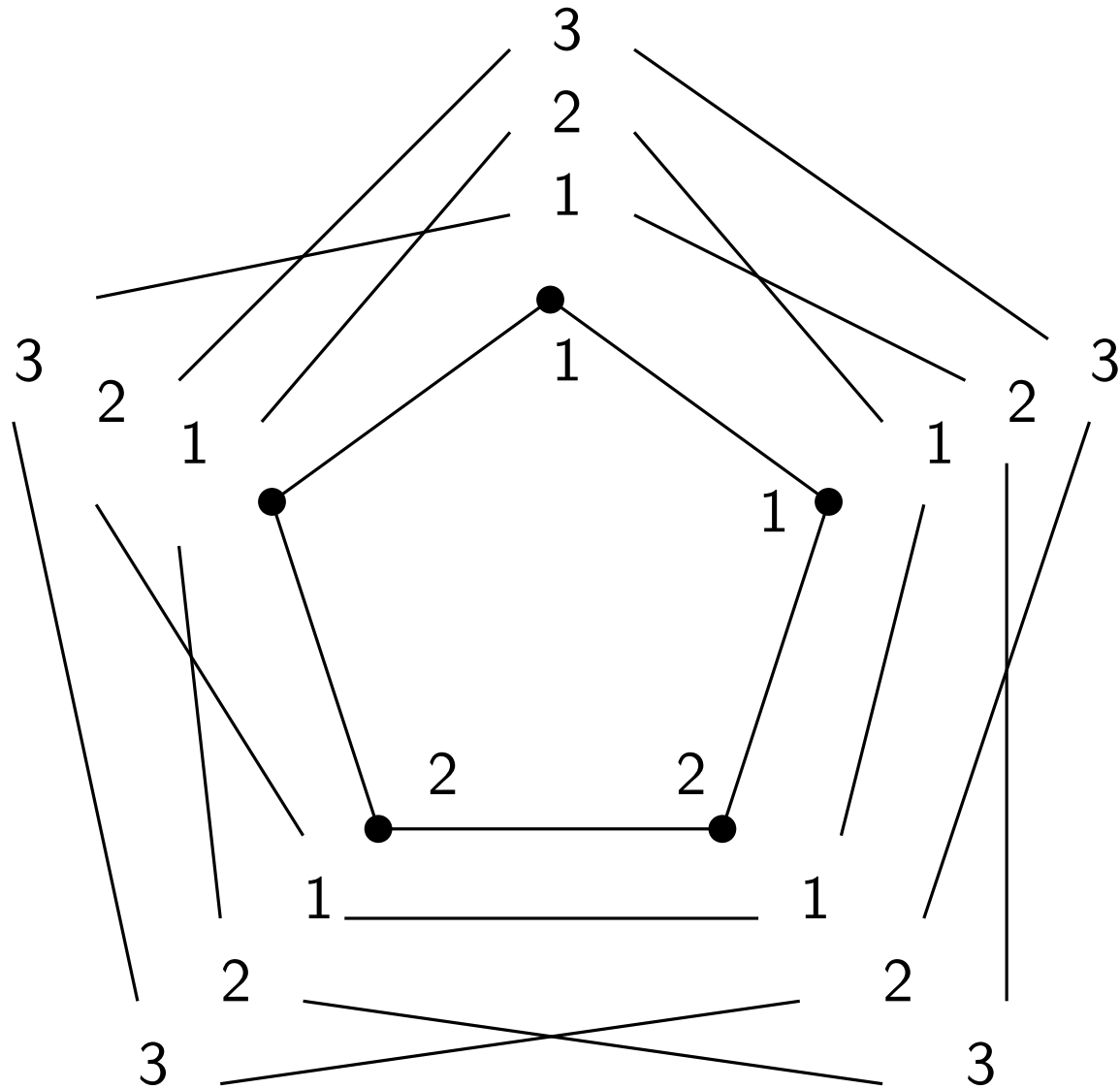
Colourings of graphs

k -correspondence colouring



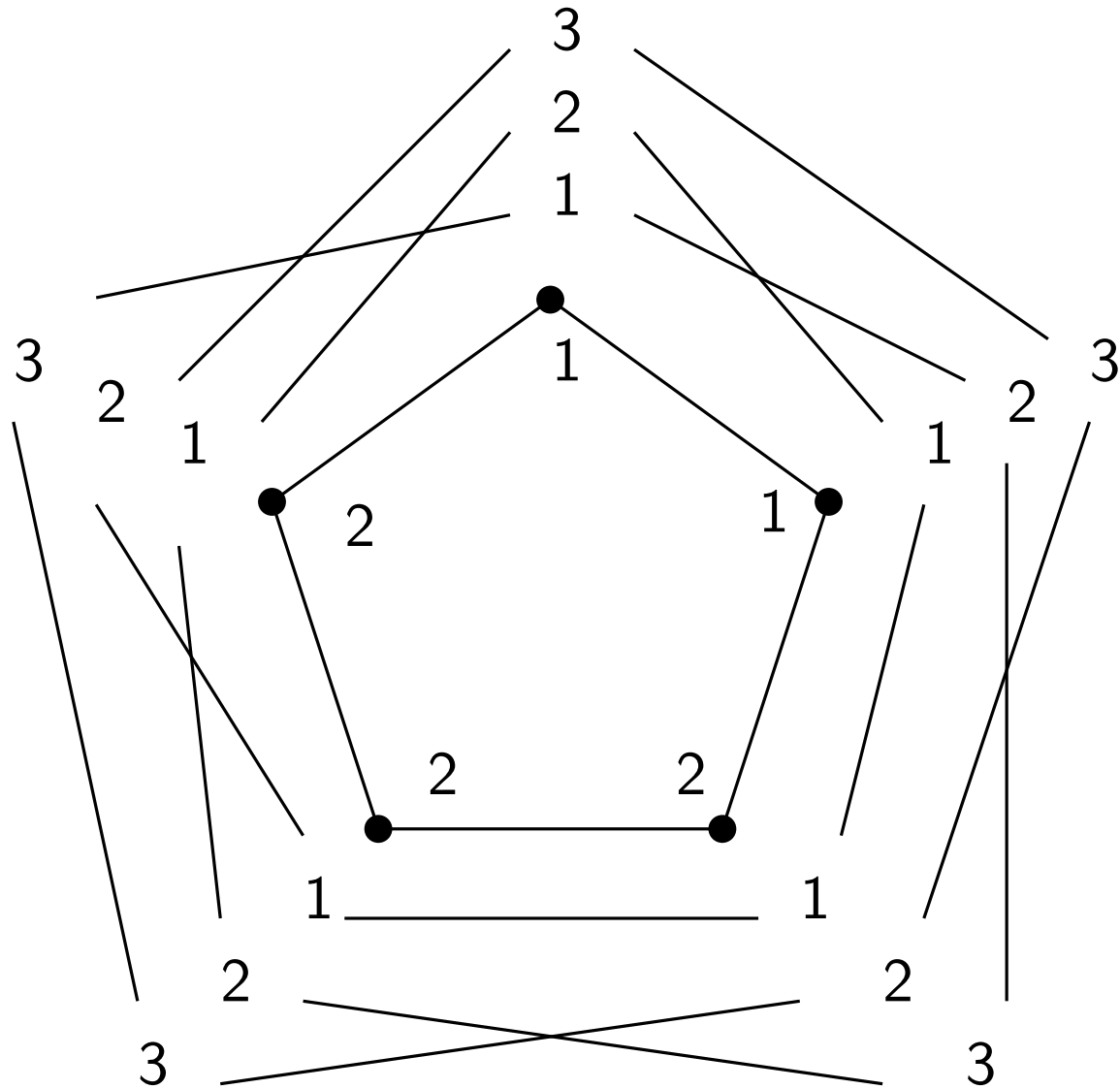
Colourings of graphs

k -correspondence colouring



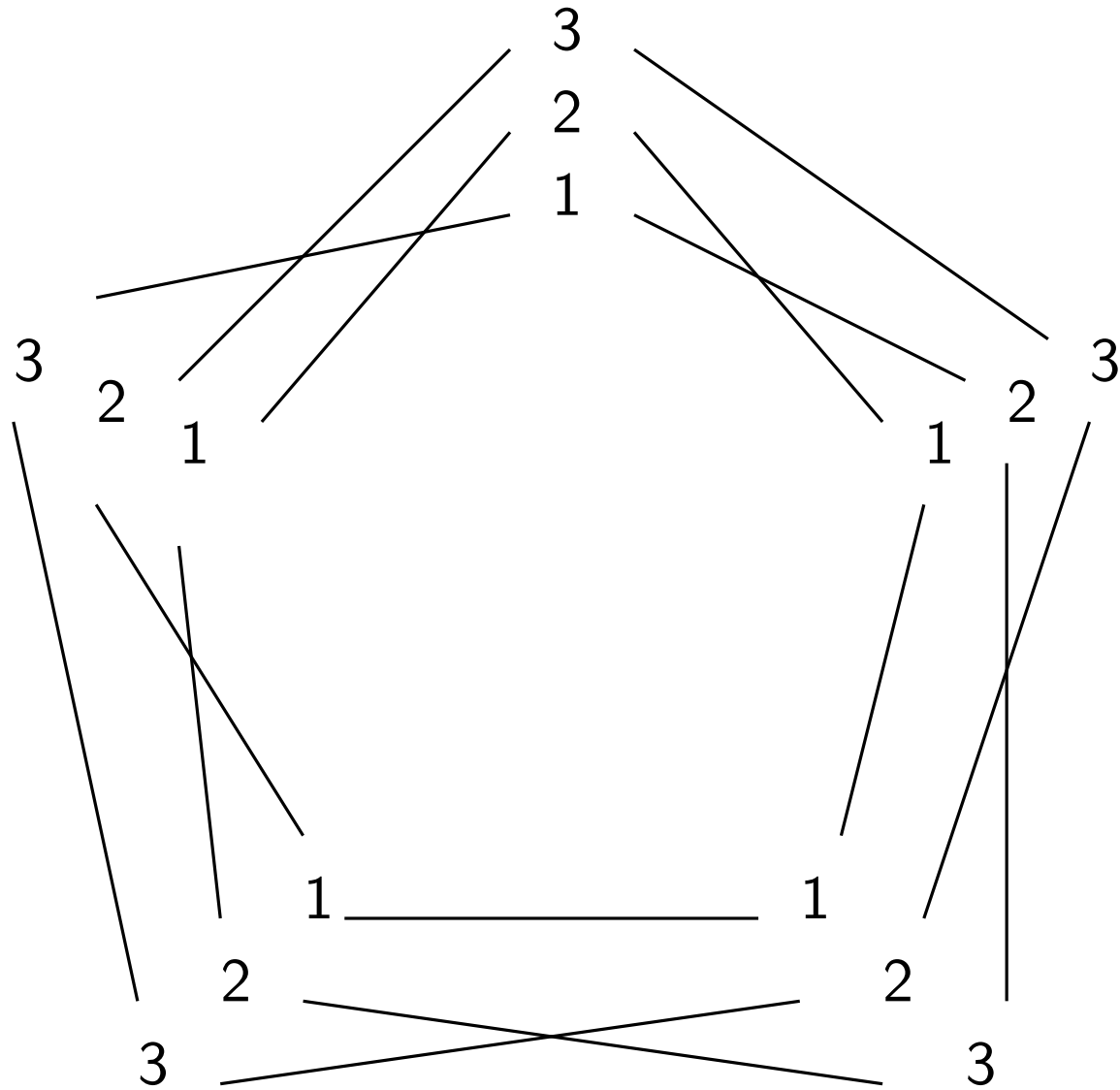
Colourings of graphs

k -correspondence colouring



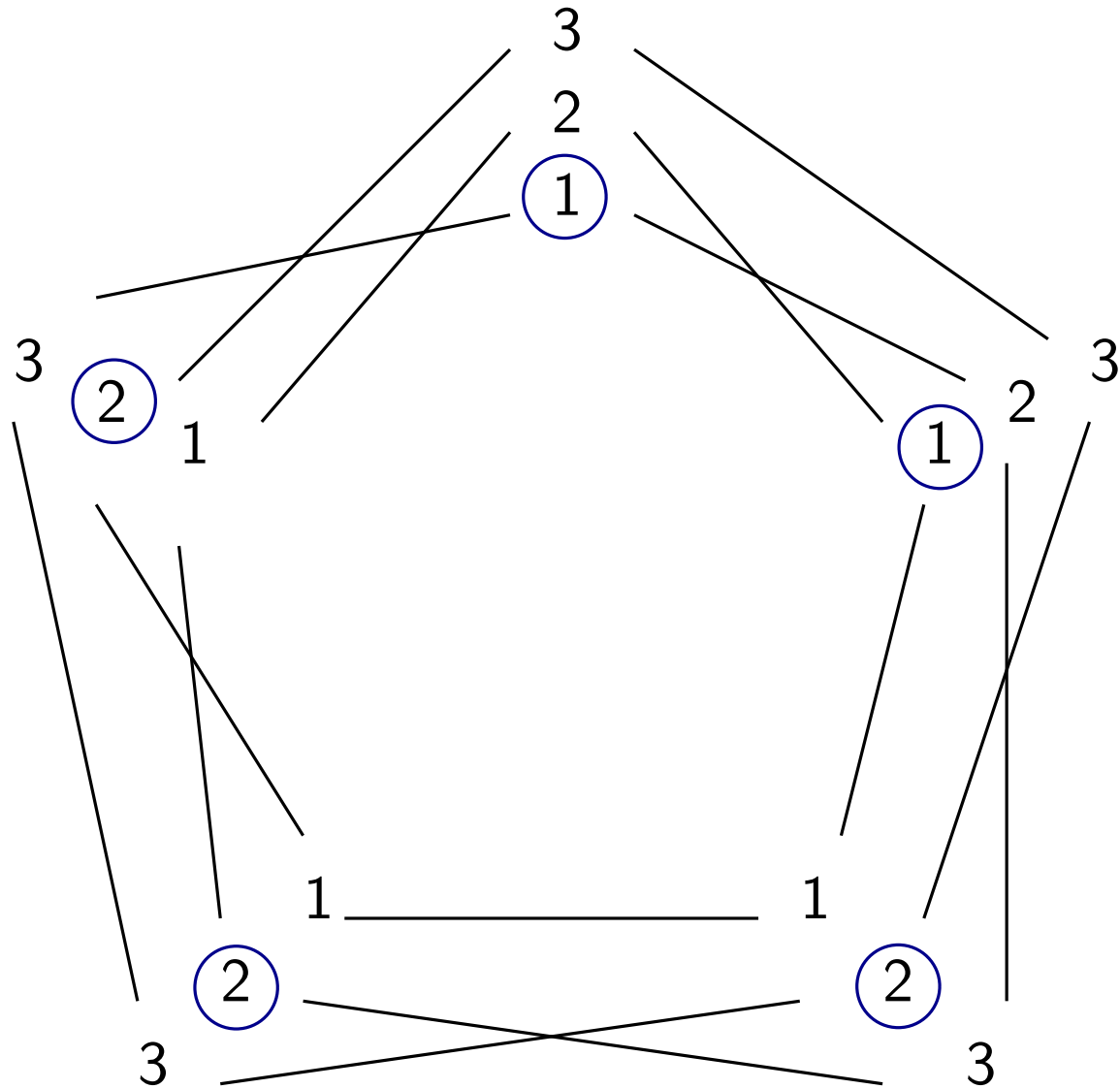
Colourings of graphs

k -correspondence colouring



Colourings of graphs

k -correspondence colouring



Historical perspective of colouring

k -choosable: any list assignment with lists of size at most k has a proper colouring

Historical perspective of colouring

k -choosable: any list assignment with lists of size at most k has a proper colouring

Theorem (Thomassen 1994)

Every planar graph is 5-choosable.

Historical perspective of colouring

k -choosable: any list assignment with lists of size at most k has a proper colouring

Theorem (Thomassen 1994)

Every planar graph is 5-choosable.

Theorem (Dvorak and Postle 2016)

Every planar graph without cycles of lengths 4, 5, 6, 7, 8 is 3-choosable.

Historical perspective of colouring

k -choosable: any list assignment with lists of size at most k has a proper colouring

Theorem (Thomassen 1994)

Every planar graph is 5-choosable.

Theorem (Dvorak and Postle 2016)

Every planar graph without cycles of lengths 4, 5, 6, 7, 8 is 3-choosable.

They prove it by showing that such a graph is DP-3-colourable under an additional condition.

Historical perspective of colouring

k -choosable: any list assignment with lists of size at most k has a proper colouring

Theorem (Thomassen 1994)

Every planar graph is 5-choosable.

Theorem (Dvorak and Postle 2016)

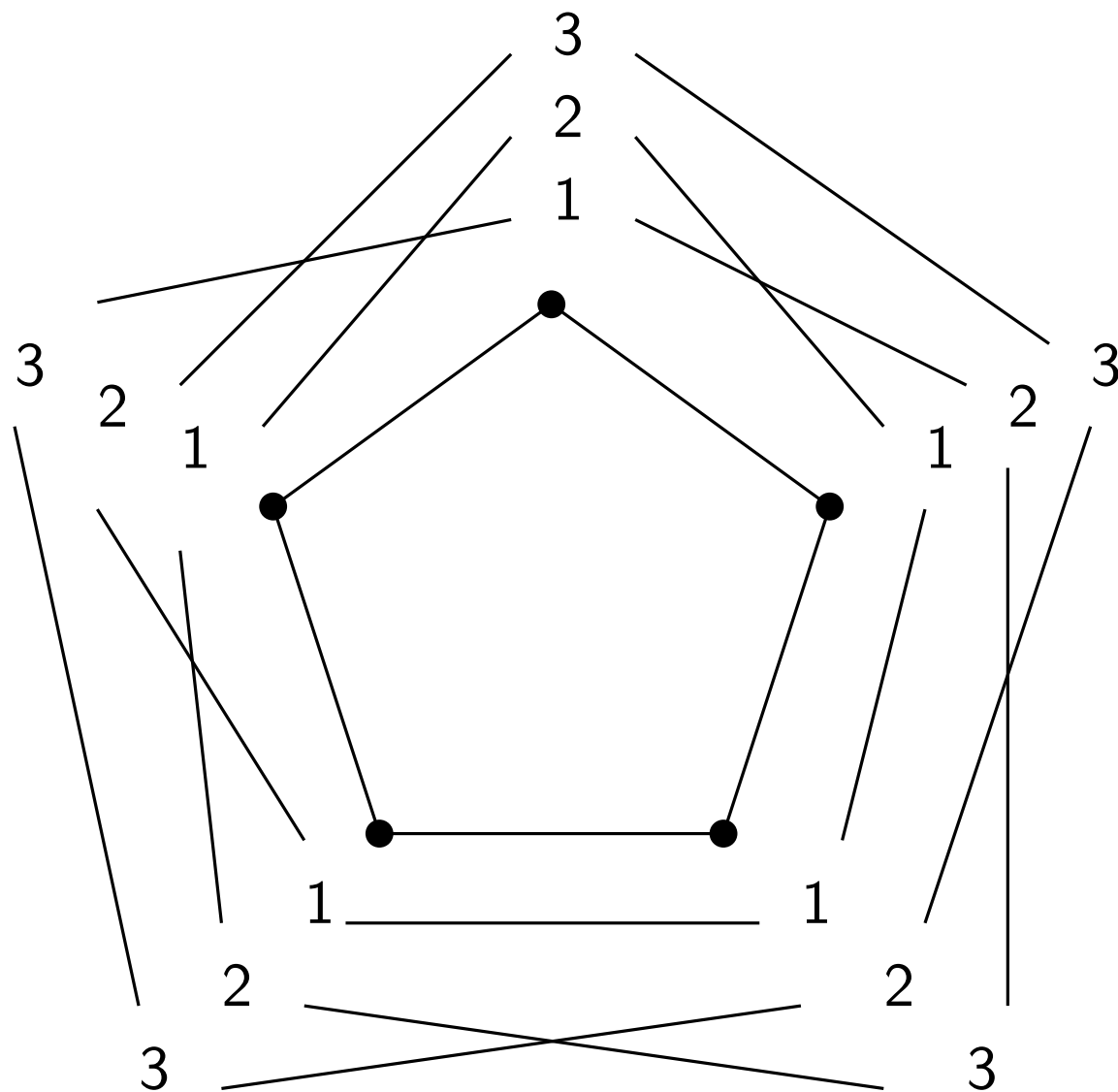
Every planar graph without cycles of lengths 4, 5, 6, 7, 8 is 3-choosable.

They prove it by showing that such a graph is DP-3-colourable under an additional condition.

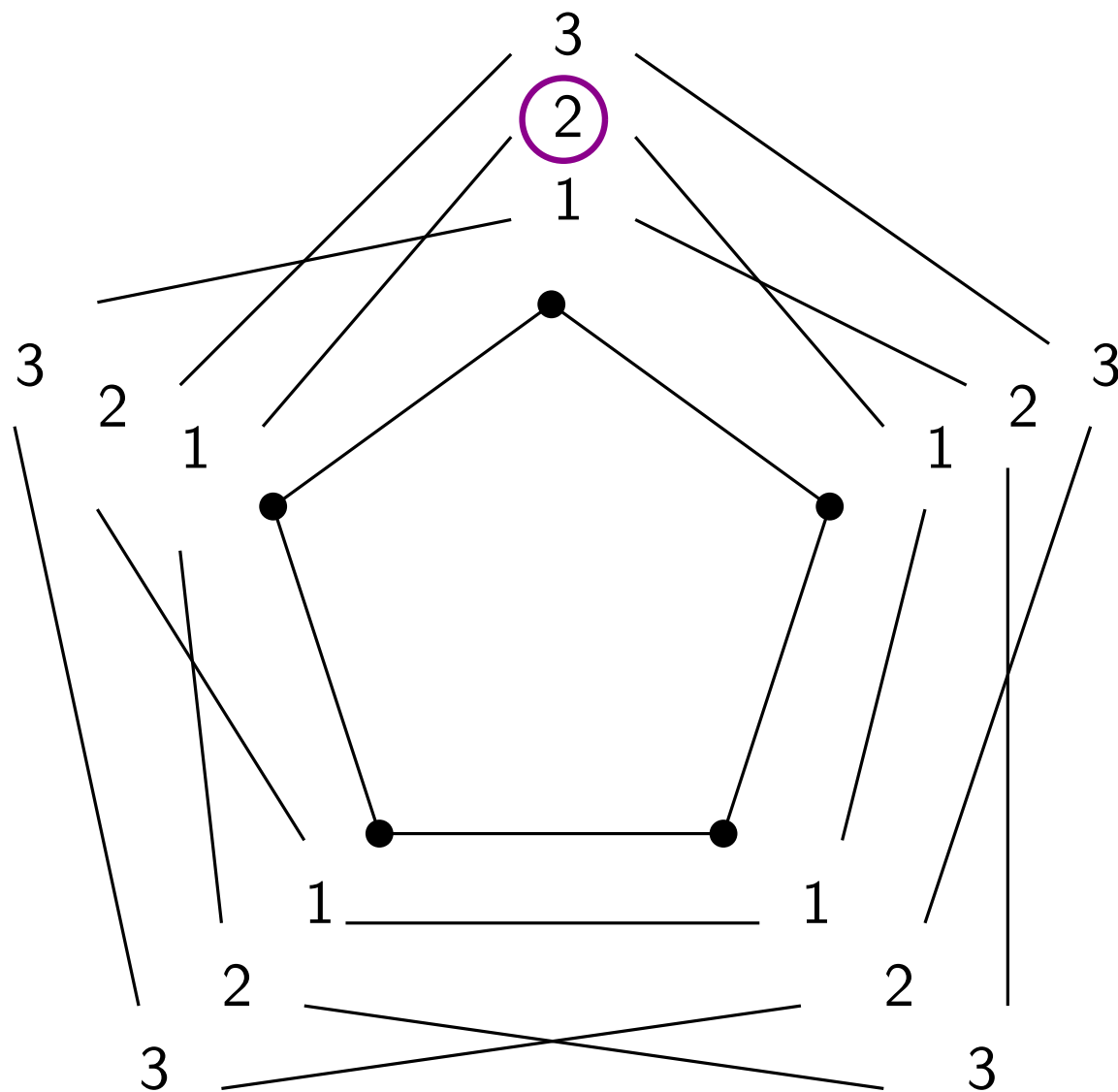
Theorem (Loeb, Rolek, Liu, Yu 2018)

Every planar graph without cycles of lengths 4, a , b , 9 for $a, b \in \{6, 7, 8\}$ is DP-3-colourable.

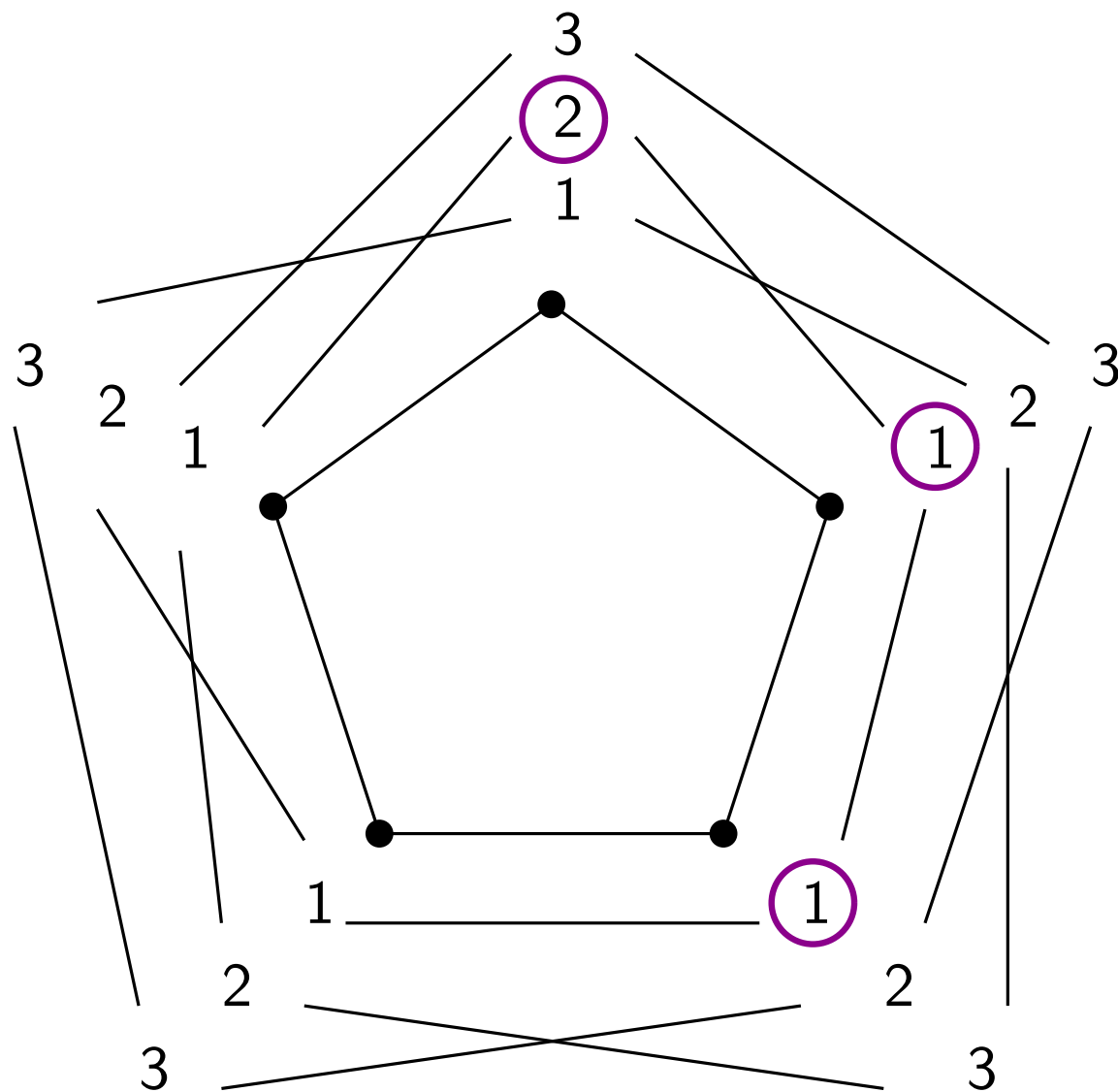
Unique Label Cover



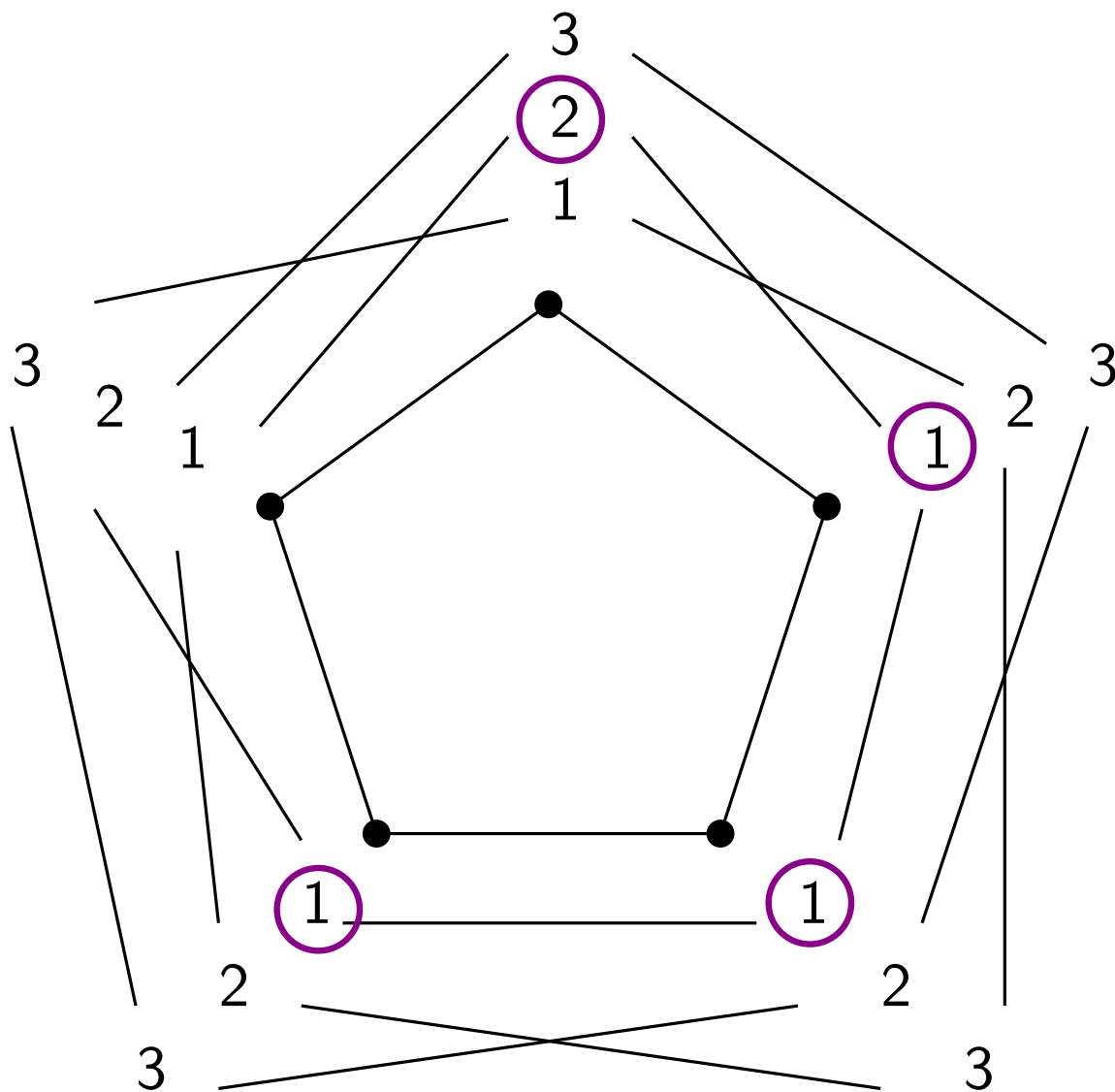
Unique Label Cover



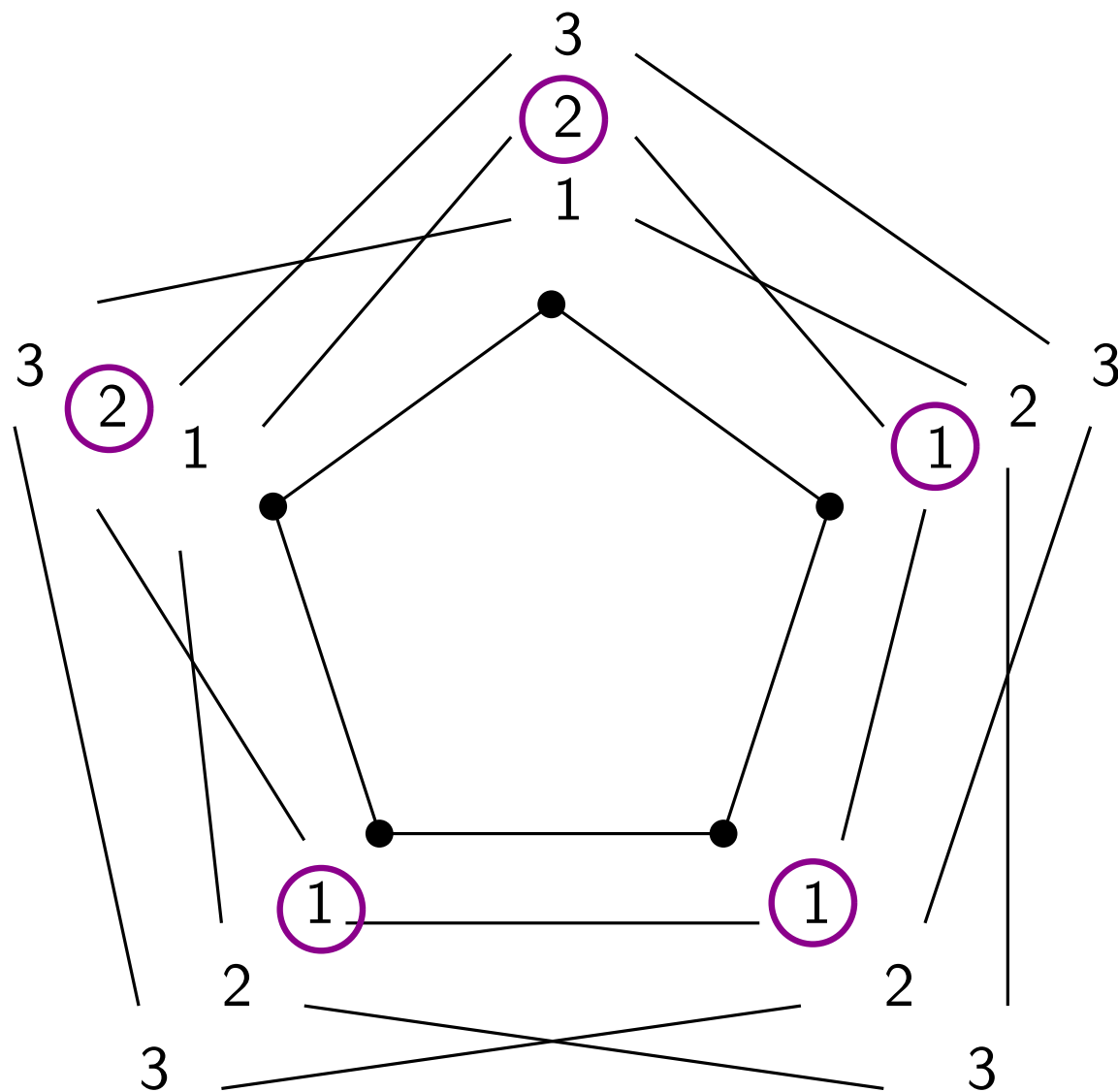
Unique Label Cover



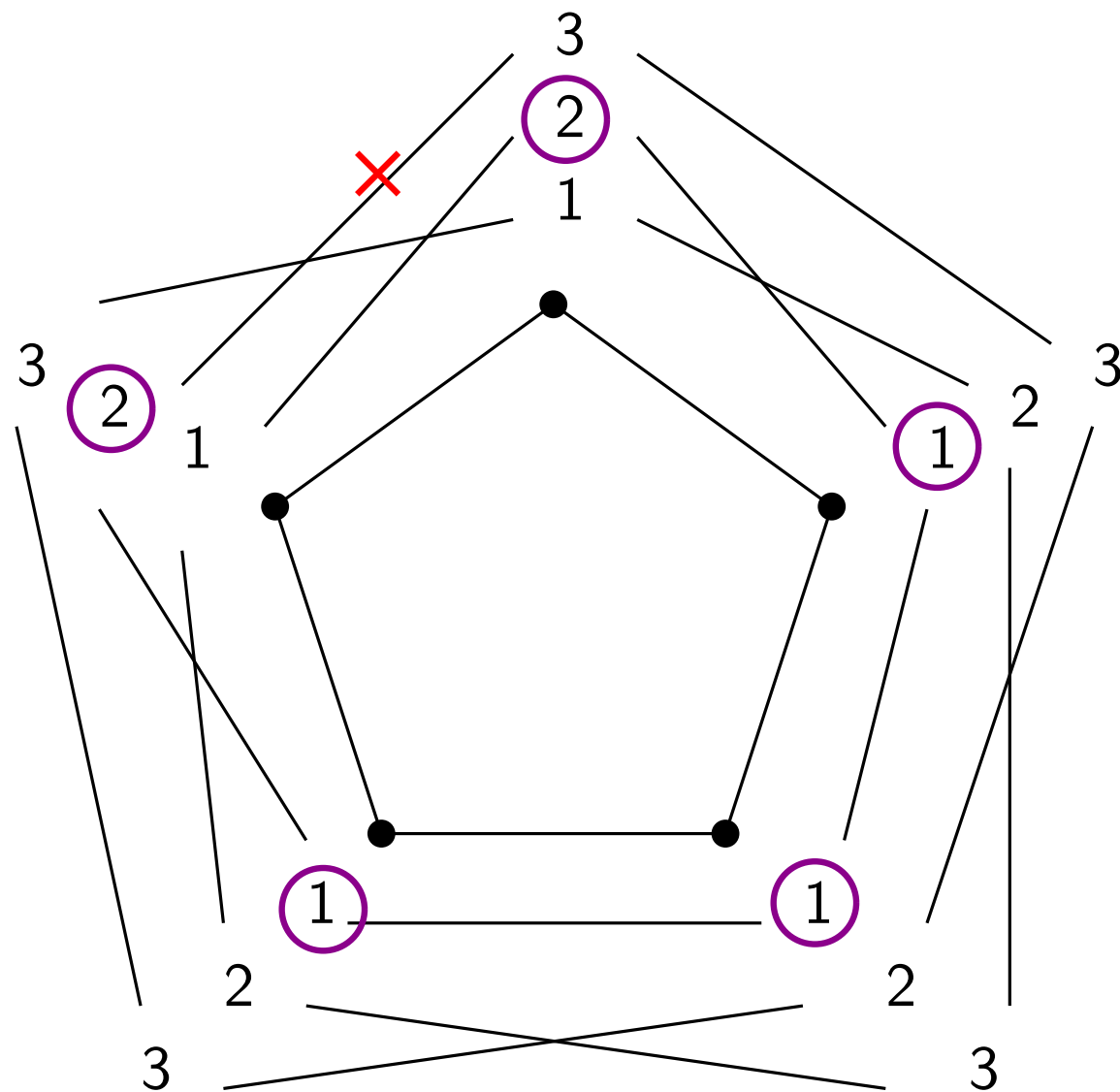
Unique Label Cover



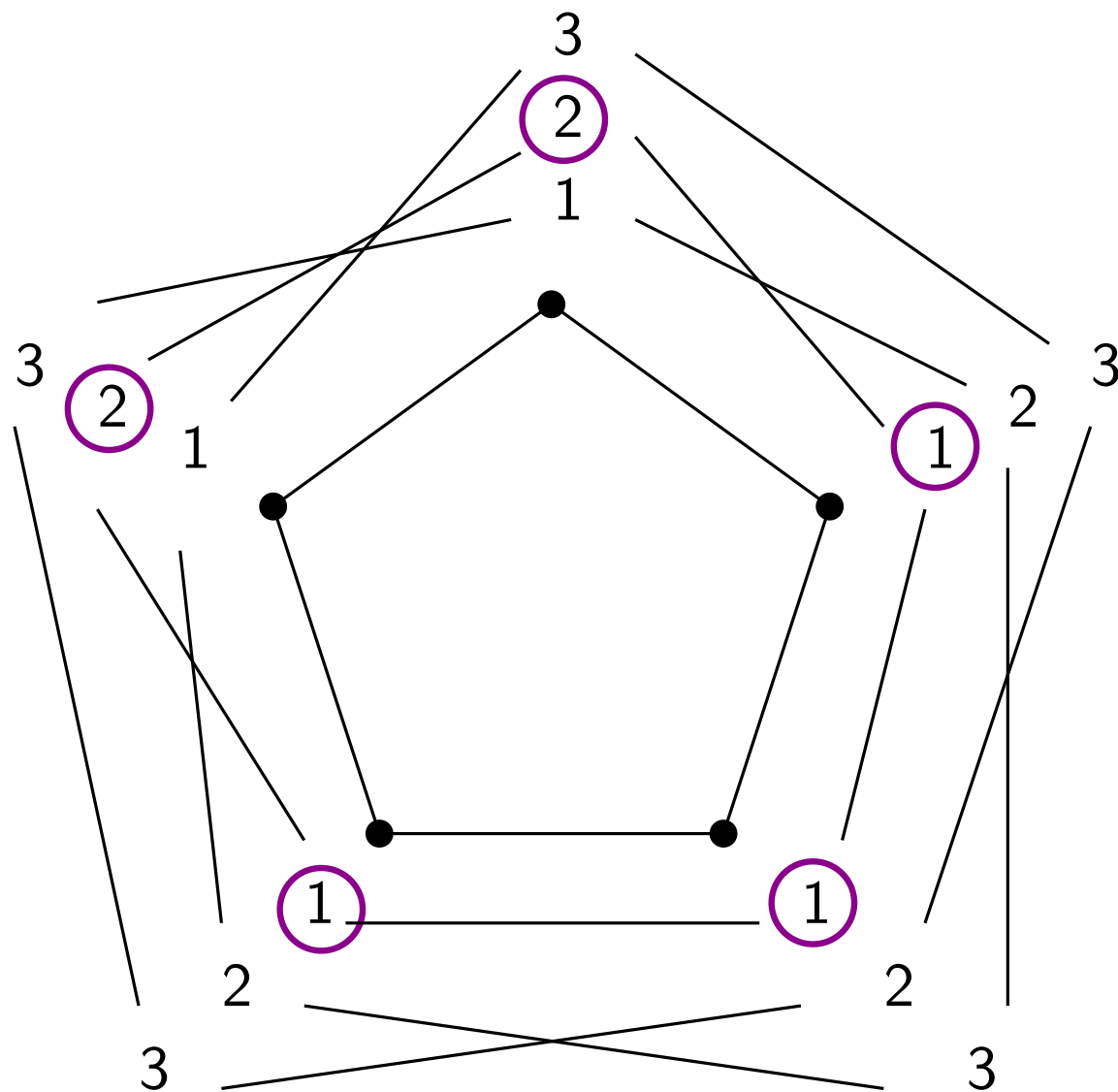
Unique Label Cover



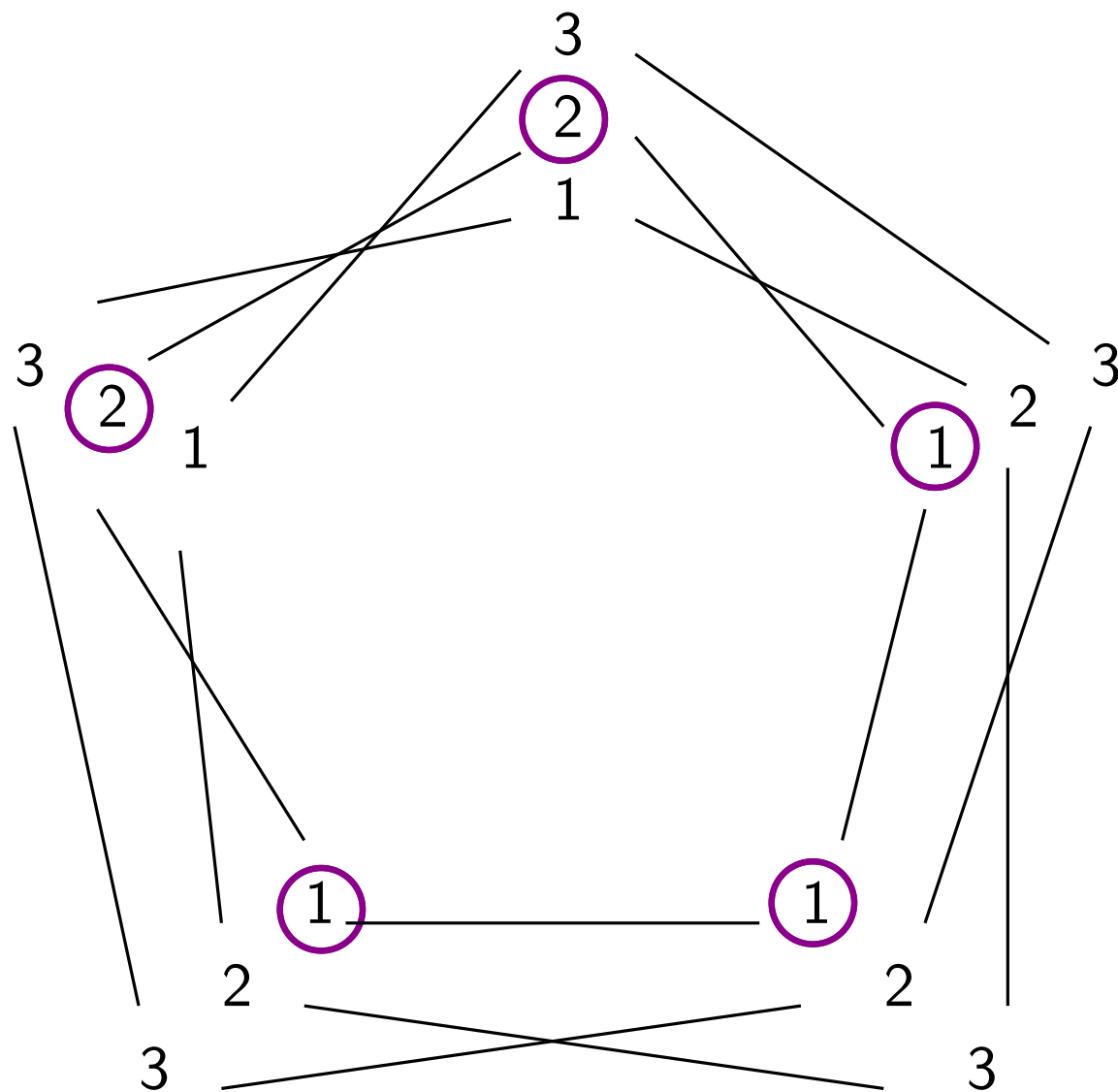
Unique Label Cover



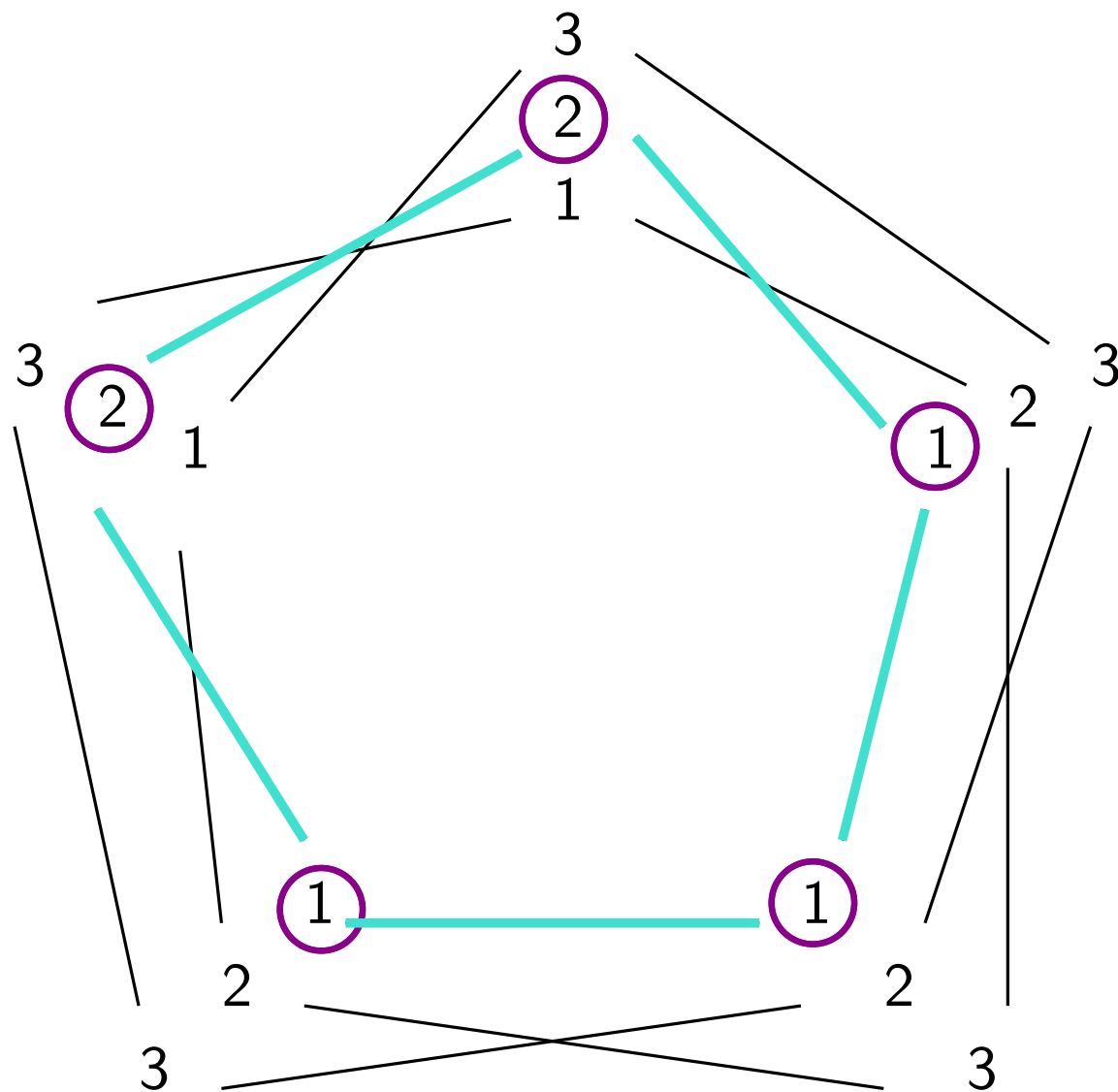
Unique Label Cover



Unique Label Cover



Unique Label Cover



Unique Games Conjecture

Given: a unique label cover instance where there either exists a cover using $(1 - \epsilon)|E|$ edges or there is no cover using more than $\delta|E|$ edges.

Unique Games Conjecture

Given: a unique label cover instance where there either exists a cover using $(1 - \epsilon)|E|$ edges or there is no cover using more than $\delta|E|$ edges.

Problem: determine which it is

Unique Games Conjecture

Given: a unique label cover instance where there either exists a cover using $(1 - \epsilon)|E|$ edges or there is no cover using more than $\delta|E|$ edges.

Problem: determine which it is

Unique Games Conjecture (Khot 2002)

The above decision problem is NP-hard.

Unique Games Conjecture

Given: a unique label cover instance where there either exists a cover using $(1 - \epsilon)|E|$ edges or there is no cover using more than $\delta|E|$ edges.

Problem: determine which it is

Unique Games Conjecture (Khot 2002)

The above decision problem is NP-hard.

Recently, Khot, Minzer and Safra solved the 2-2 Games Conjecture and it is strong evidence that UGC is true.

UGC and hardness of approximation

Many NP-hard problems where there currently exists an α -factor approximation algorithm known and, if UGC is true, then the best approximation factor possible is $(\alpha - \epsilon)$.

UGC and hardness of approximation

Many NP-hard problems where there currently exists an α -factor approximation algorithm known and, if UGC is true, then the best approximation factor possible is $(\alpha - \epsilon)$.

Examples:

UGC and hardness of approximation

Many NP-hard problems where there currently exists an α -factor approximation algorithm known and, if UGC is true, then the best approximation factor possible is $(\alpha - \epsilon)$.

Examples:

Vertex Cover

UGC and hardness of approximation

Many NP-hard problems where there currently exists an α -factor approximation algorithm known and, if UGC is true, then the best approximation factor possible is $(\alpha - \epsilon)$.

Examples:

Vertex Cover

UGC and hardness of approximation

Many NP-hard problems where there currently exists an α -factor approximation algorithm known and, if UGC is true, then the best approximation factor possible is $(\alpha - \epsilon)$.

Examples:

Vertex Cover

Max Cut

UGC and hardness of approximation

Many NP-hard problems where there currently exists an α -factor approximation algorithm known and, if UGC is true, then the best approximation factor possible is $(\alpha - \epsilon)$.

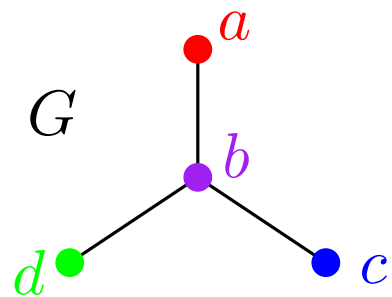
Examples:

Vertex Cover

Max Cut

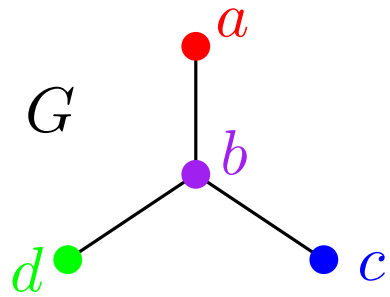
Max acyclic subgraph

Covers of Graphs

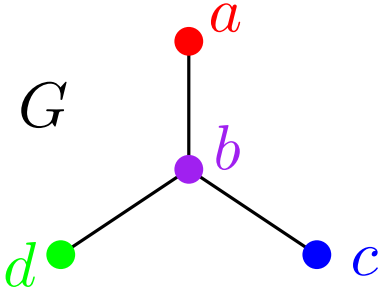


Covers of Graphs

$$\alpha : E(G) \rightarrow S_k$$



Covers of Graphs

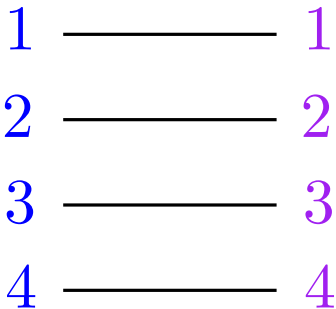
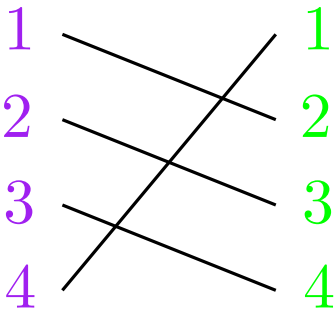
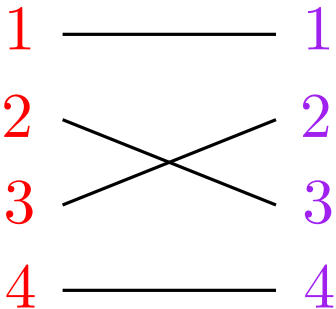


$$\alpha : E(G) \rightarrow S_4$$

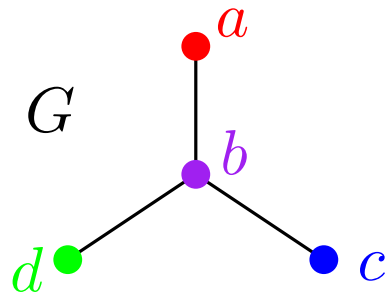
ab

bd

cb



Covers of Graphs

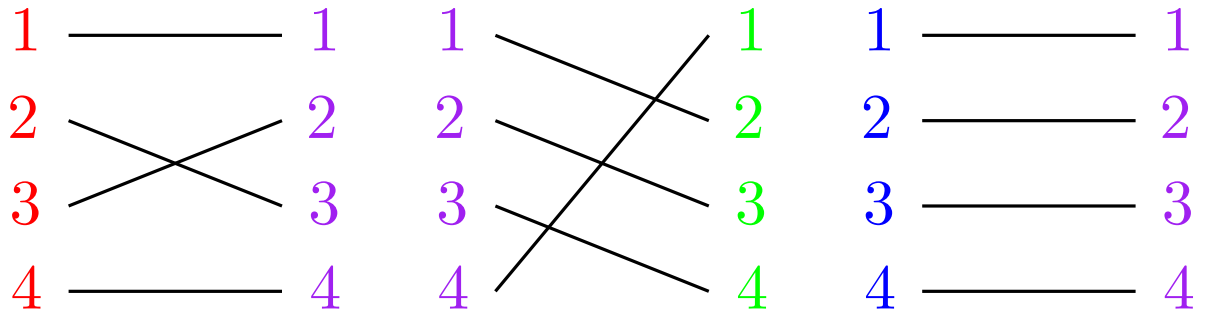


$$\alpha : E(G) \rightarrow S_4$$

ab

bd

cb

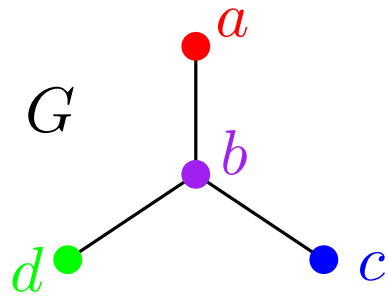


cover graph
of G w.r.t.

α

G^α

Covers of Graphs

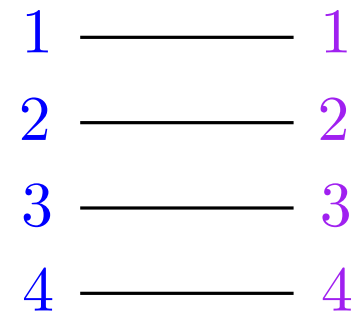
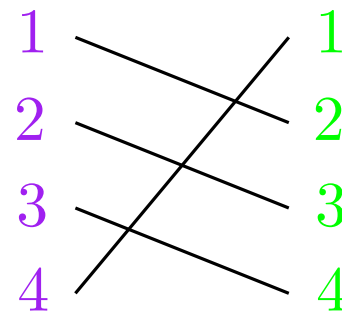
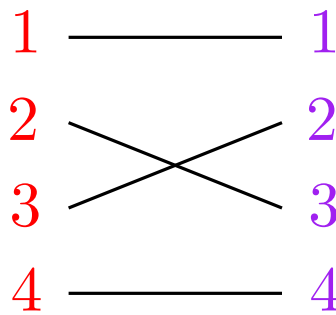


$$\alpha : E(G) \rightarrow S_4$$

ab

bd

cb

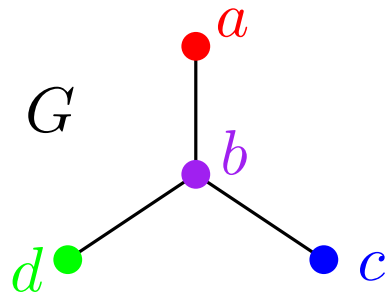


cover graph
of G w.r.t.
 α

G^α



Covers of Graphs

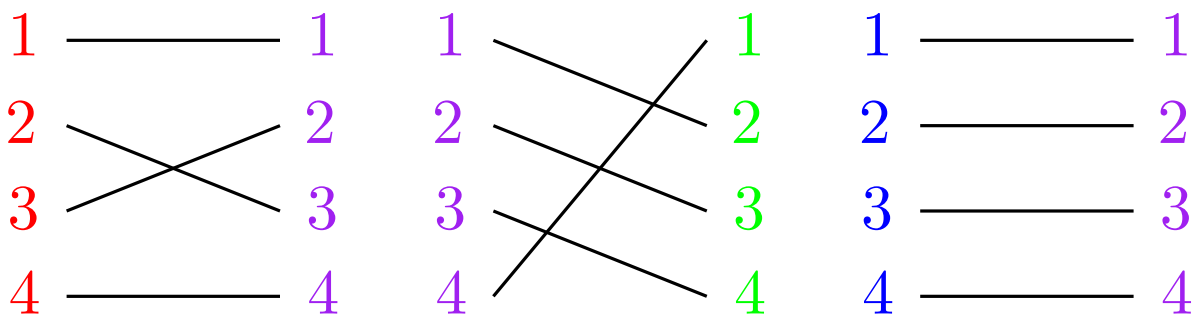


$$\alpha : E(G) \rightarrow S_4$$

ab

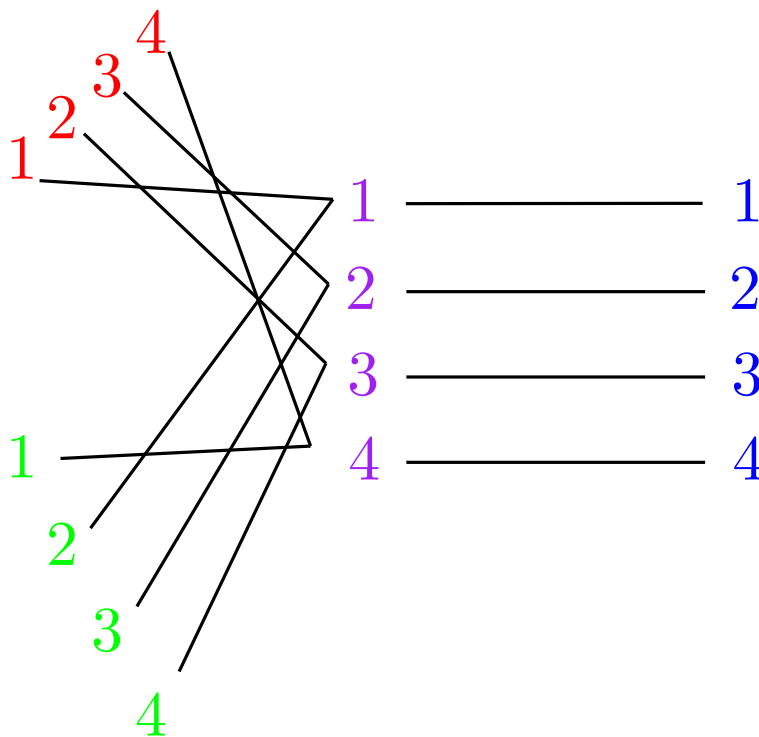
bd

cb

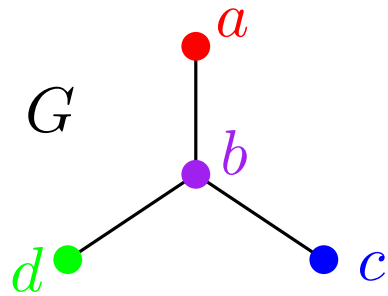


cover graph
of G w.r.t.
 α

G^α



Covers of Graphs

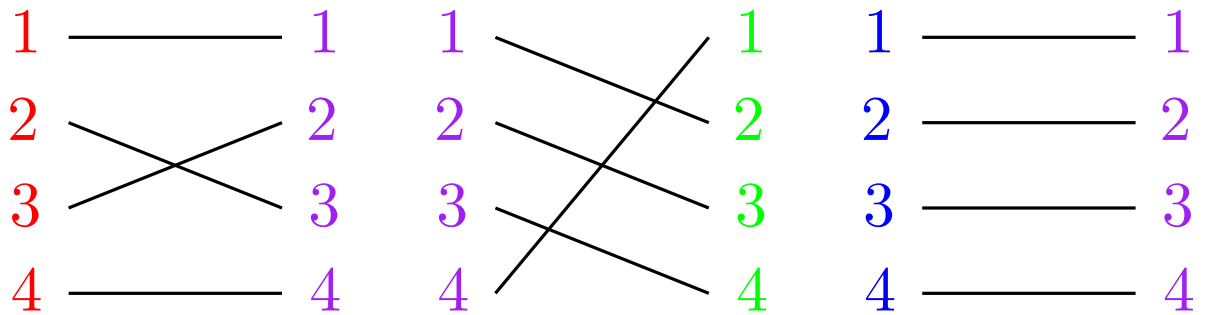


$$\alpha : E(G) \rightarrow S_4$$

ab

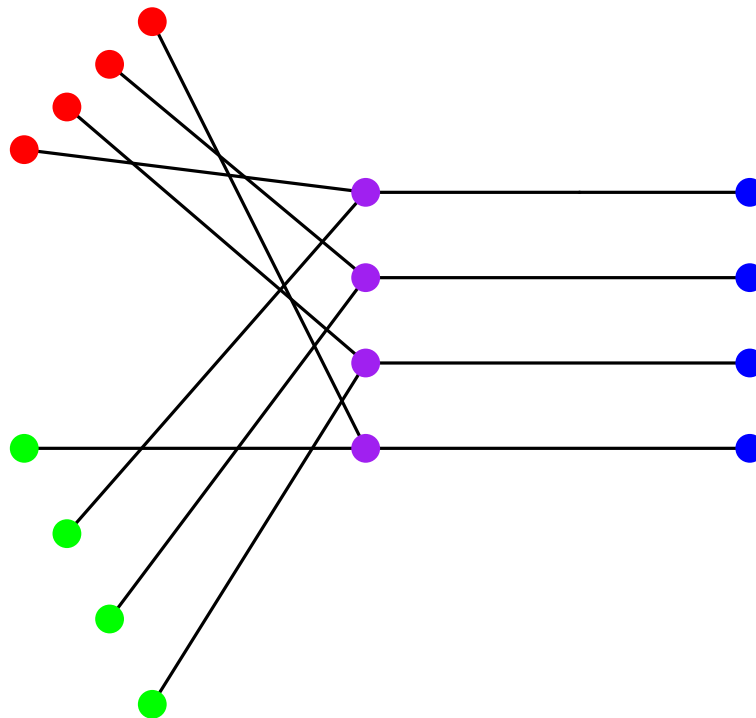
bd

cb

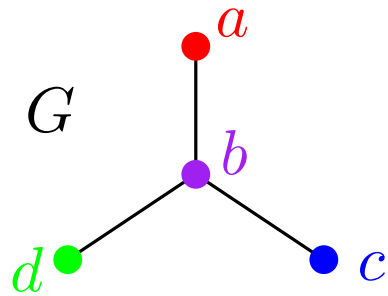


cover graph
of G w.r.t.
 α

G^α



Covers of Graphs

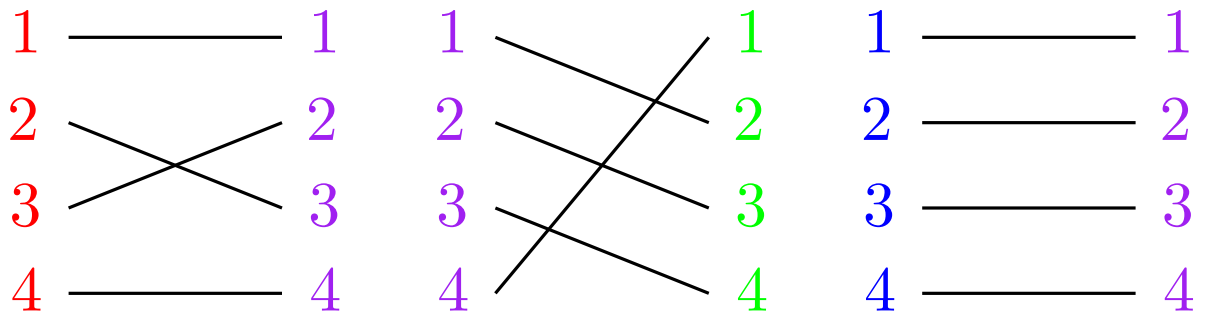


$$\alpha : E(G) \rightarrow S_4$$

ab

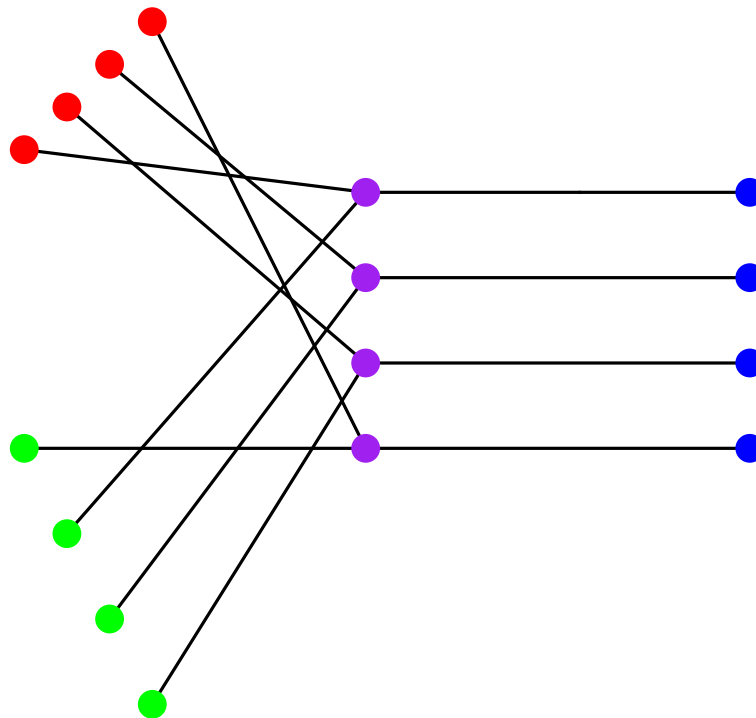
bd

cb

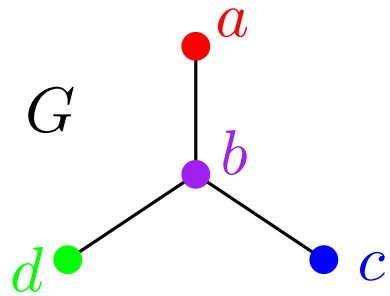


cover graph
of G w.r.t.
 α

G^α



Covers of Graphs

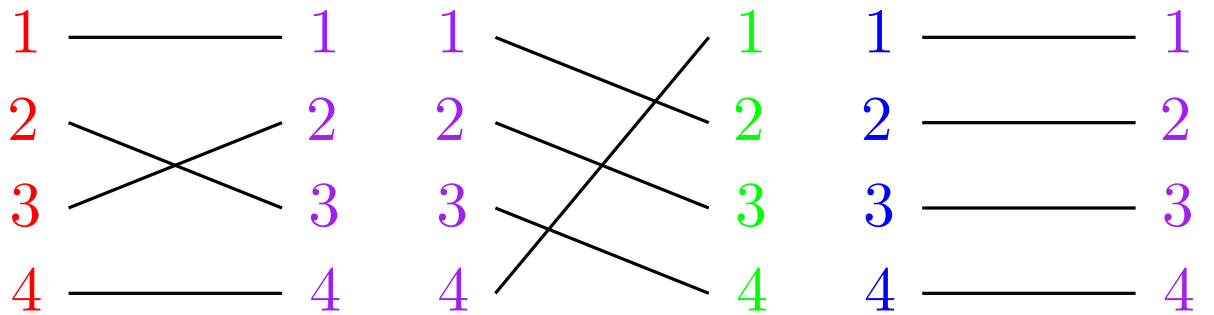


$$\alpha : E(G) \rightarrow S_4$$

ab

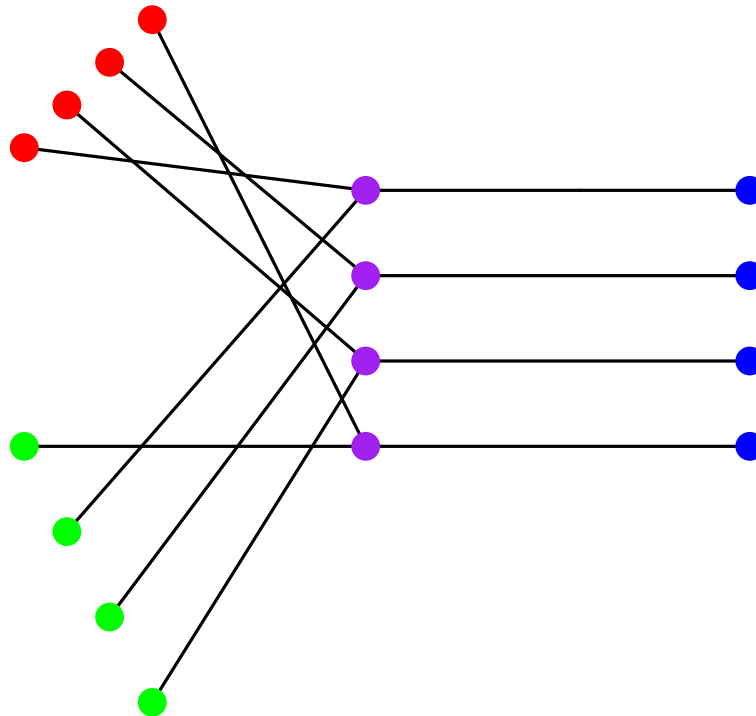
bd

cb

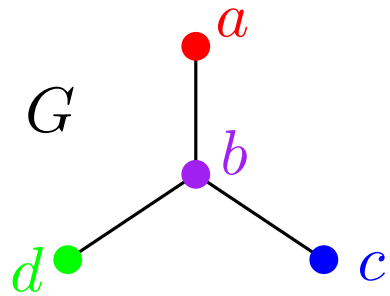


Transversal subgraph:

Induced subgraph
using one vertex
from each fibre



Covers of Graphs

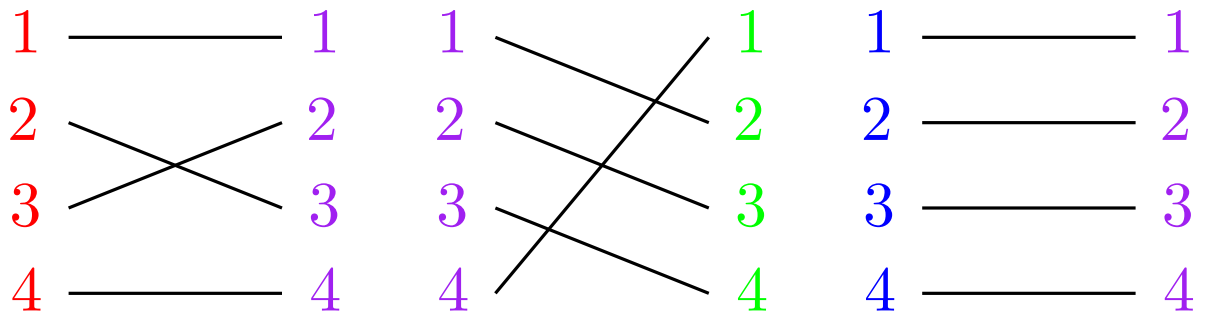


$$\alpha : E(G) \rightarrow S_4$$

ab

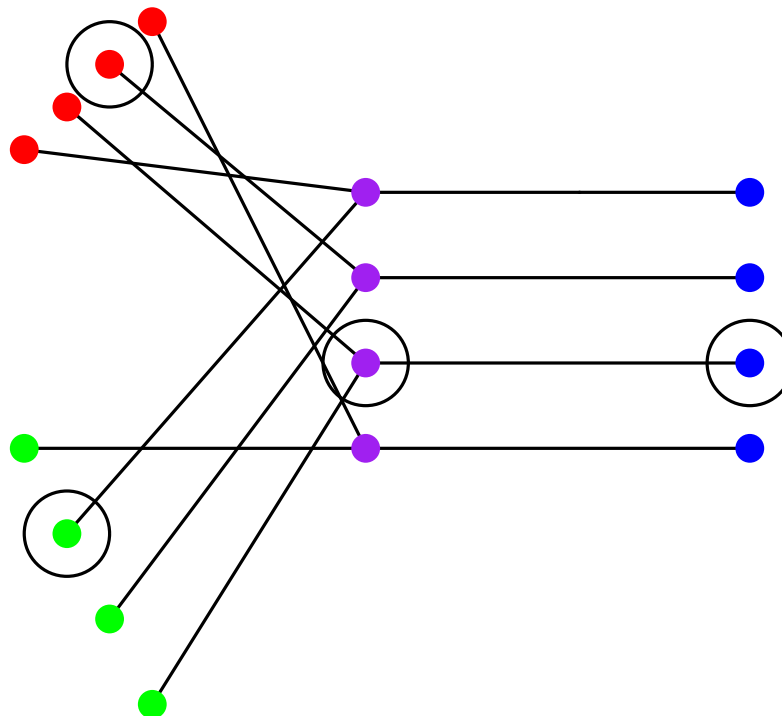
bd

cb

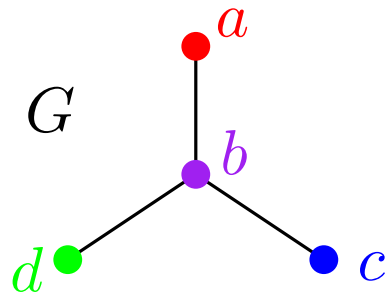


Transversal subgraph:

Induced subgraph
using one vertex
from each fibre



Covers of Graphs

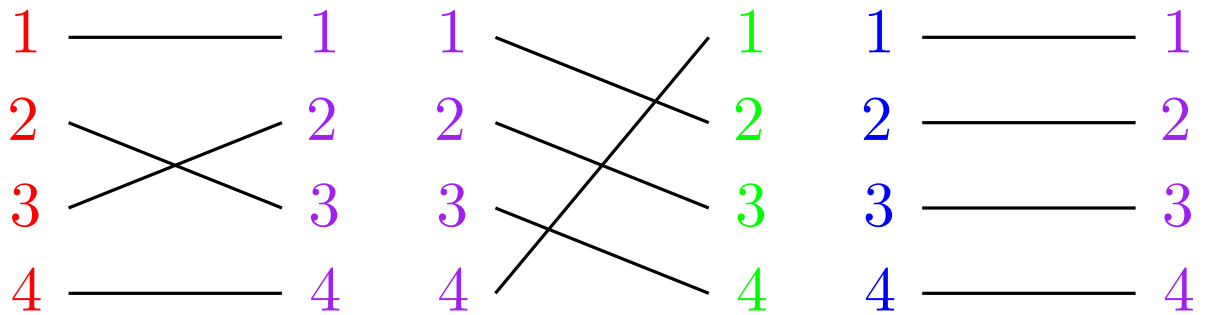


$$\alpha : E(G) \rightarrow S_4$$

ab

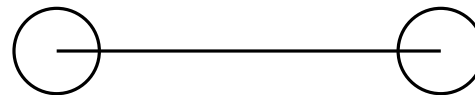
bd

cb



Transversal subgraph:

Induced subgraph
using one vertex
from each fibre



Transversal polynomial

We define a polynomial whose coefficients count the number of transversal subgraphs with a given number of edges.

Transversal polynomial

We define a polynomial whose coefficients count the number of transversal subgraphs with a given number of edges.

$$\xi(G^\alpha, t) = \sum_{k=0}^t \text{number of transversal subgraphs with } k \text{ edges } t^k$$

Transversal polynomial

We define a polynomial whose coefficients count the number of transversal subgraphs with a given number of edges.

$$\xi(G^\alpha, t) = \sum_{k=0}^t \underbrace{\text{number of transversal subgraphs with } k \text{ edges}}_{t^k} t^k$$

$$\xi(G^\alpha, t) = \sum_{\text{all transversal subgraphs } H} t^{|E(H)|}$$

Transversal polynomial

We define a polynomial whose coefficients count the number of transversal subgraphs with a given number of edges.

$$\xi(G^\alpha, t) = \sum_{k=0}^t \text{number of transversal subgraphs with } k \text{ edges} \cdot t^k$$

$$\xi(G^\alpha, t) = \sum_{\text{all transversal subgraphs } H} t^{|E(H)|}$$

Constant term is number of correspondence colourings

Transversal polynomial

We define a polynomial whose coefficients count the number of transversal subgraphs with a given number of edges.

$$\xi(G^\alpha, t) = \sum_{k=0}^t \text{number of transversal subgraphs with } k \text{ edges} \cdot t^k$$

$$\xi(G^\alpha, t) = \sum_{\text{all transversal subgraphs } H} t^{|E(H)|}$$

Constant term is number of correspondence colourings

Degree of ξ gives the maximum number of constraints satisfied by any assignment

Theorem (Godsil, Guo, Royle)

For any r -fold cover of a graph G with n vertices,

$$\xi(G^\alpha, -(r-1)) \cong 0 \pmod{r^n}.$$

Theorem (Godsil, Guo, Royle)

For any r -fold cover of a graph G with n vertices,

$$\xi(G^\alpha, -(r-1)) \cong 0 \pmod{r^n}.$$

This polynomial cannot be computed in polynomial time (unless $P = NP$), but we can compute this point mod r^n .

Theorem (Godsil, Guo, Royle)

For any r -fold cover of a graph G with n vertices,

$$\xi(G^\alpha, -(r-1)) \cong 0 \pmod{r^n}.$$

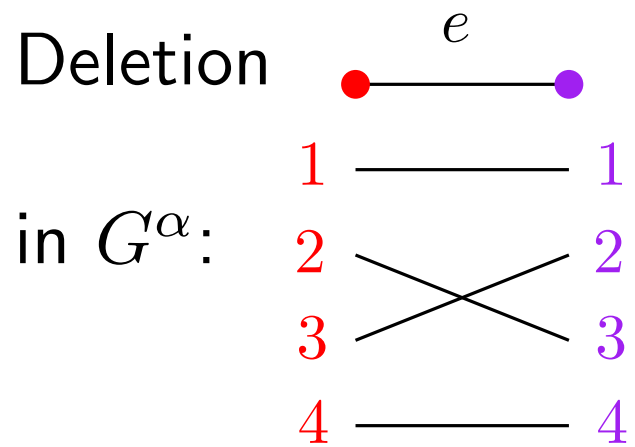
This polynomial cannot be computed in polynomial time (unless $P = NP$), but we can compute this point mod r^n .

The Tutte polynomial is also NP-hard to compute but one can evaluate it at certain points in poly-time. For example, evaluating at $(2, 1)$ gives the number of spanning forests.

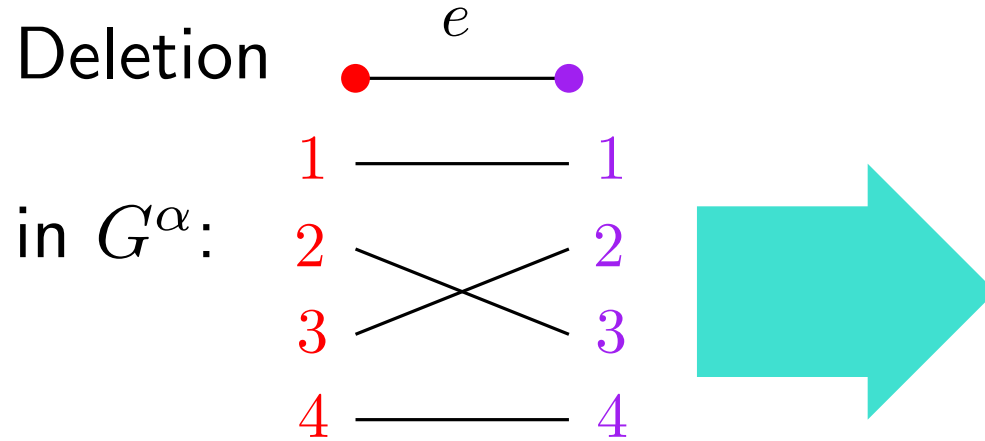
A contraction/deletion formula

Deletion

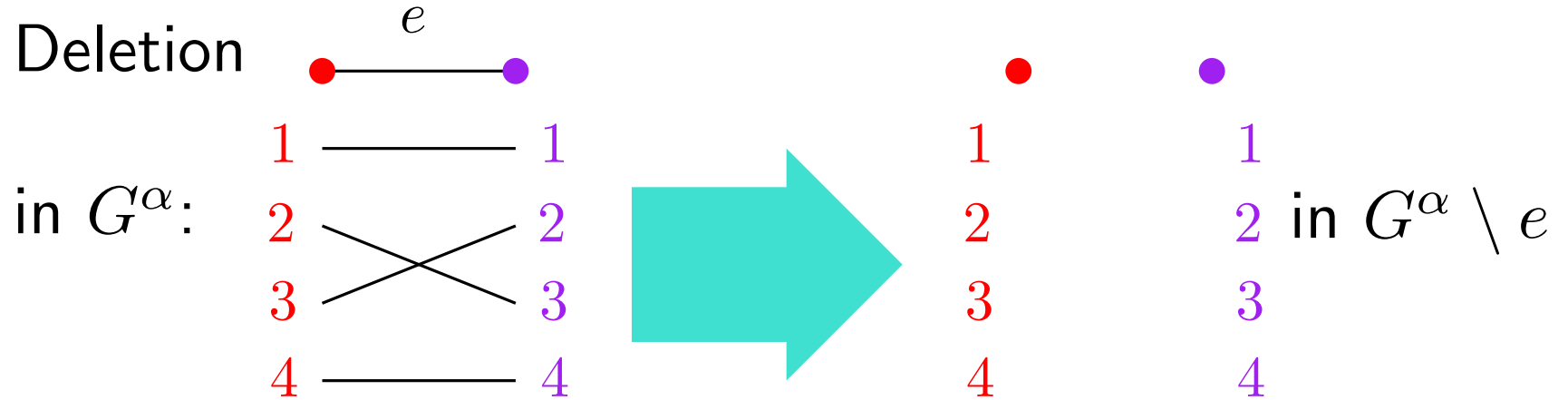
A contraction/deletion formula



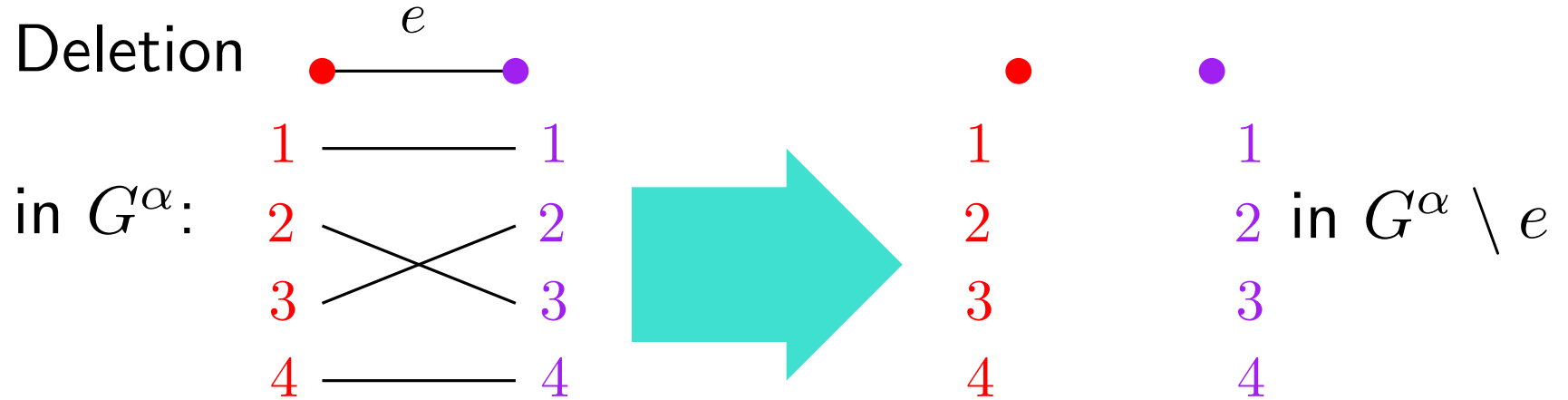
A contraction/deletion formula



A contraction/deletion formula

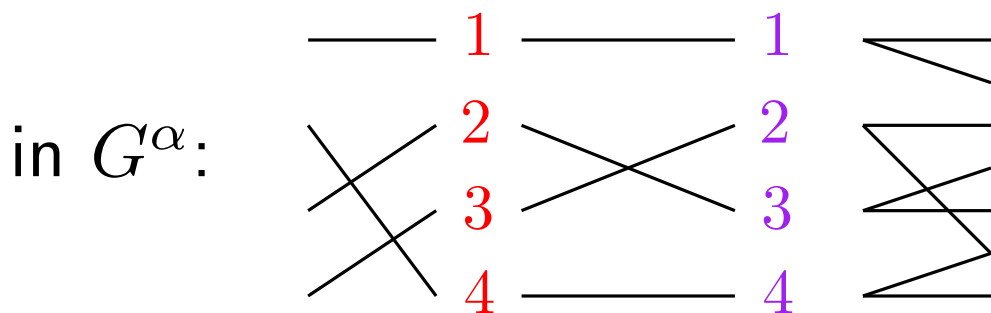
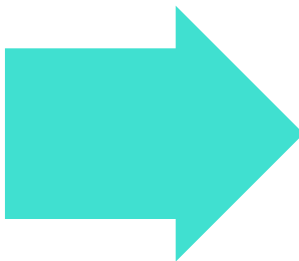
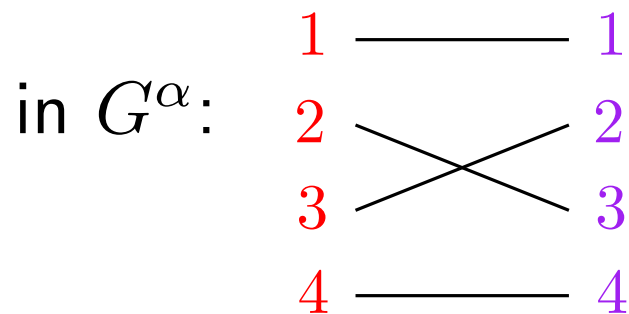


A contraction/deletion formula

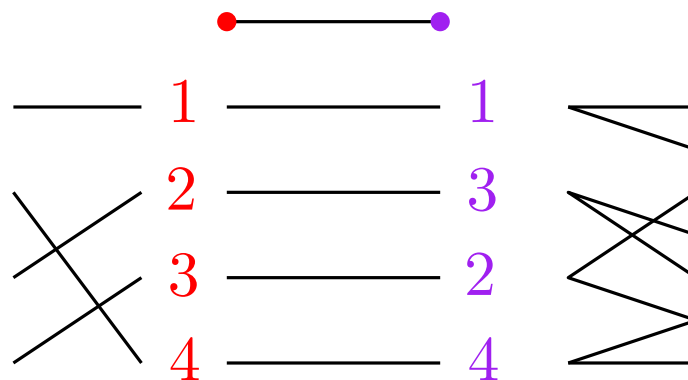
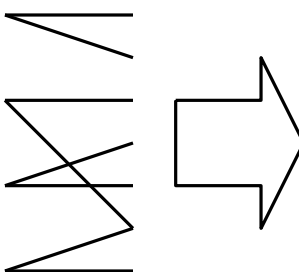
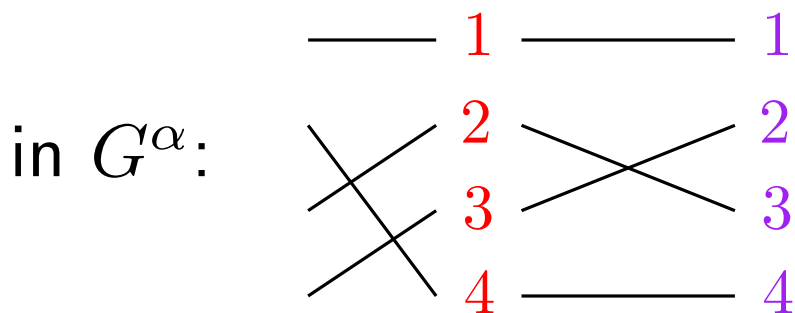
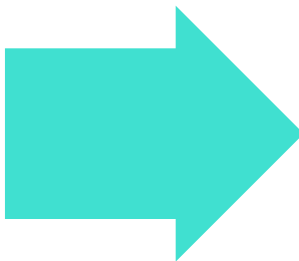
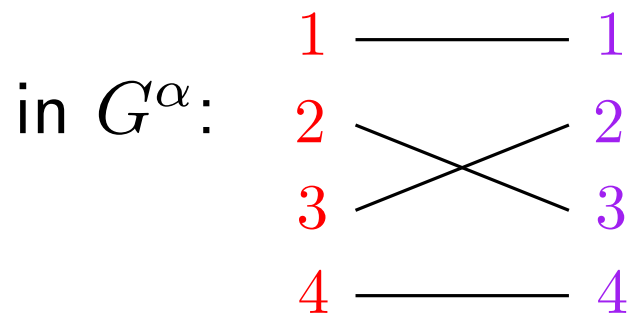


Contraction

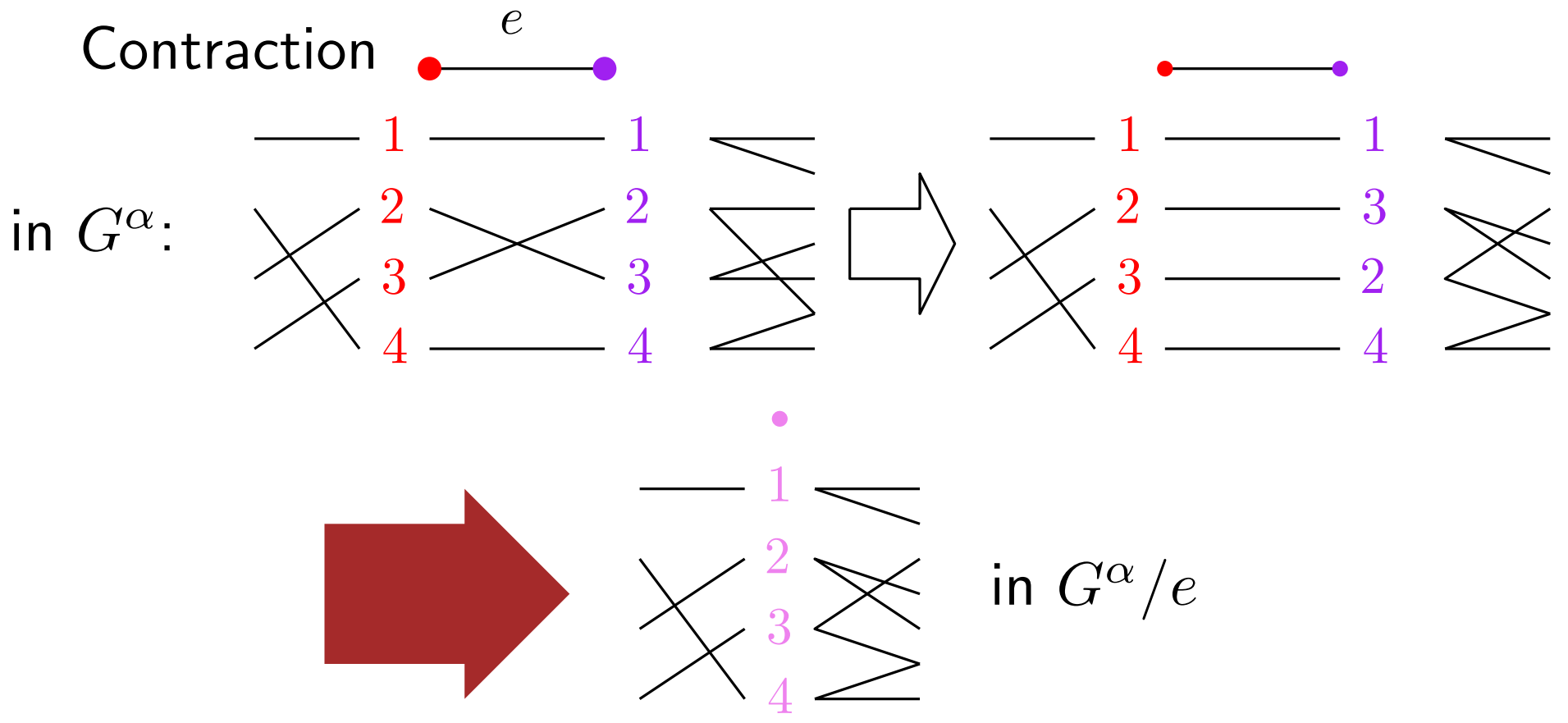
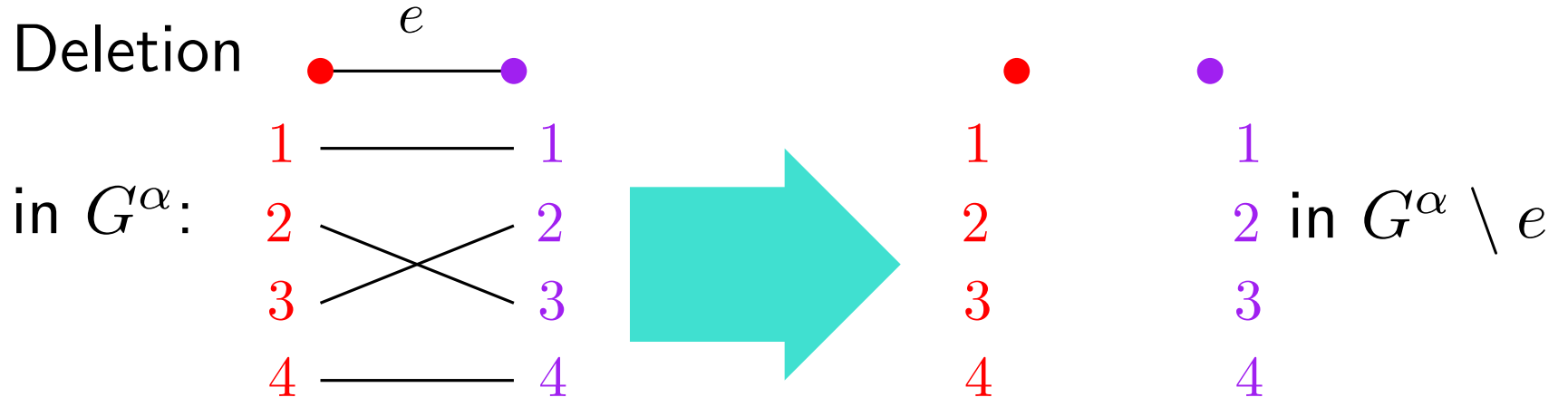
A contraction/deletion formula



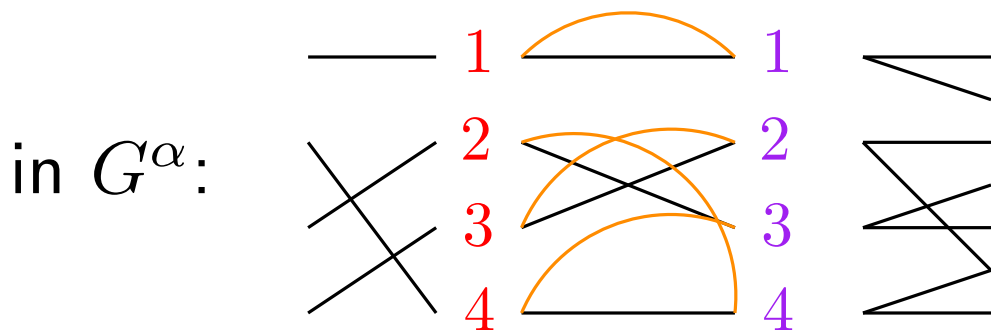
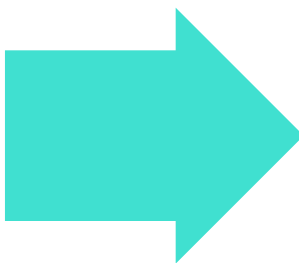
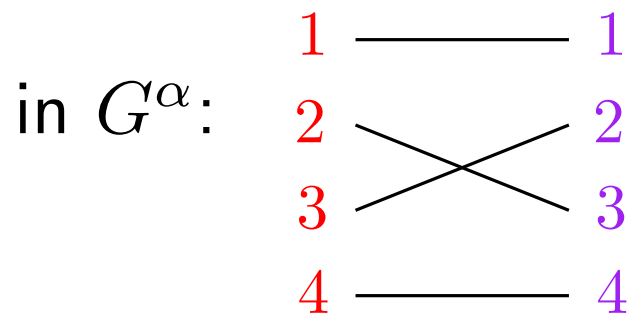
A contraction/deletion formula



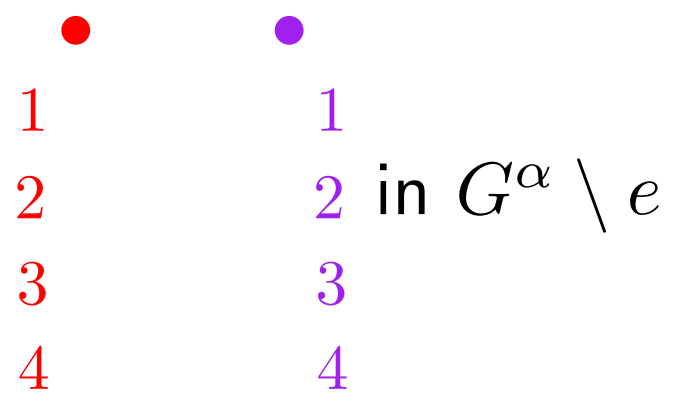
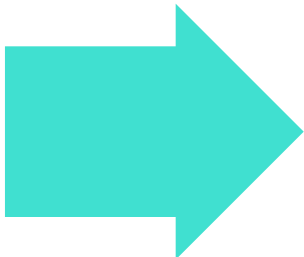
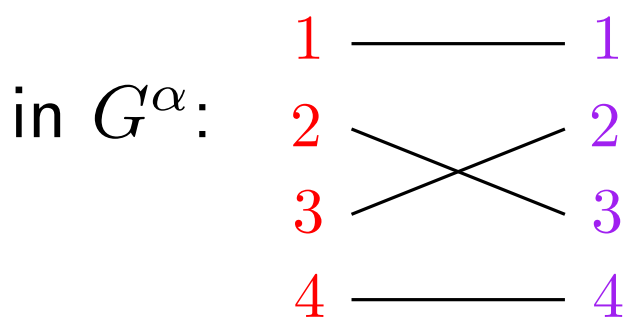
A contraction/deletion formula



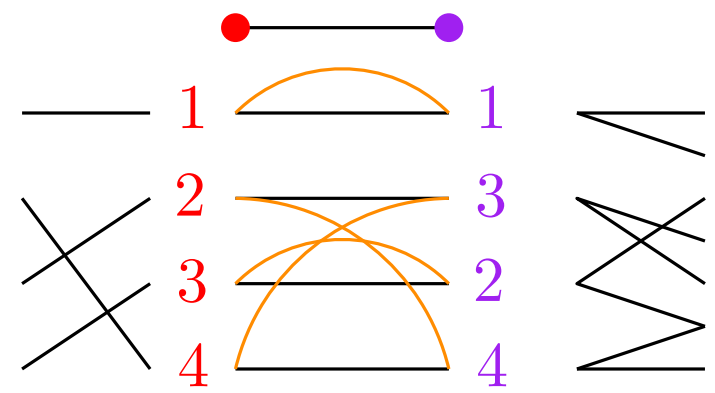
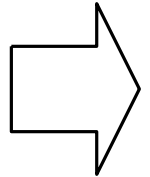
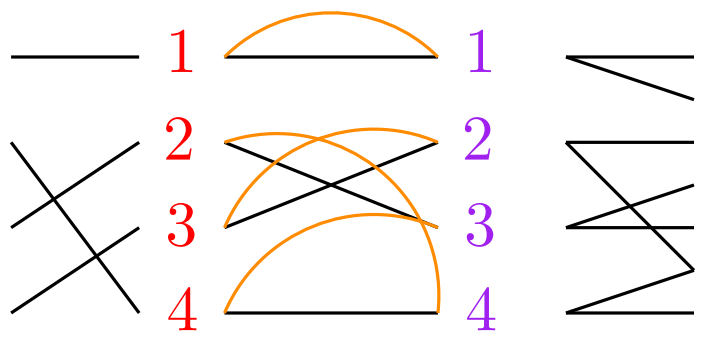
A contraction/deletion formula



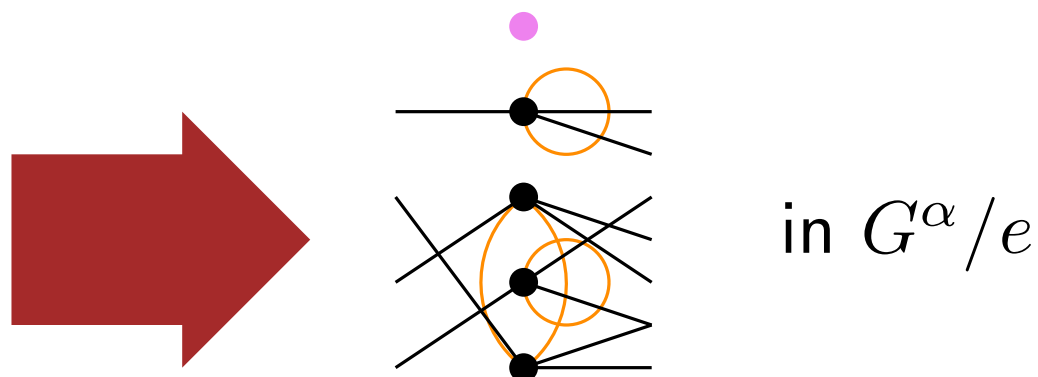
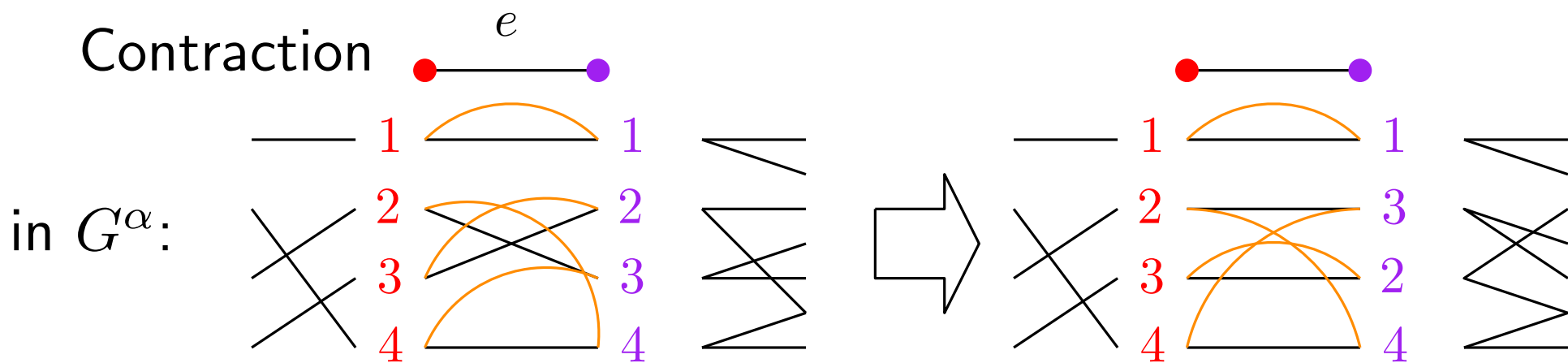
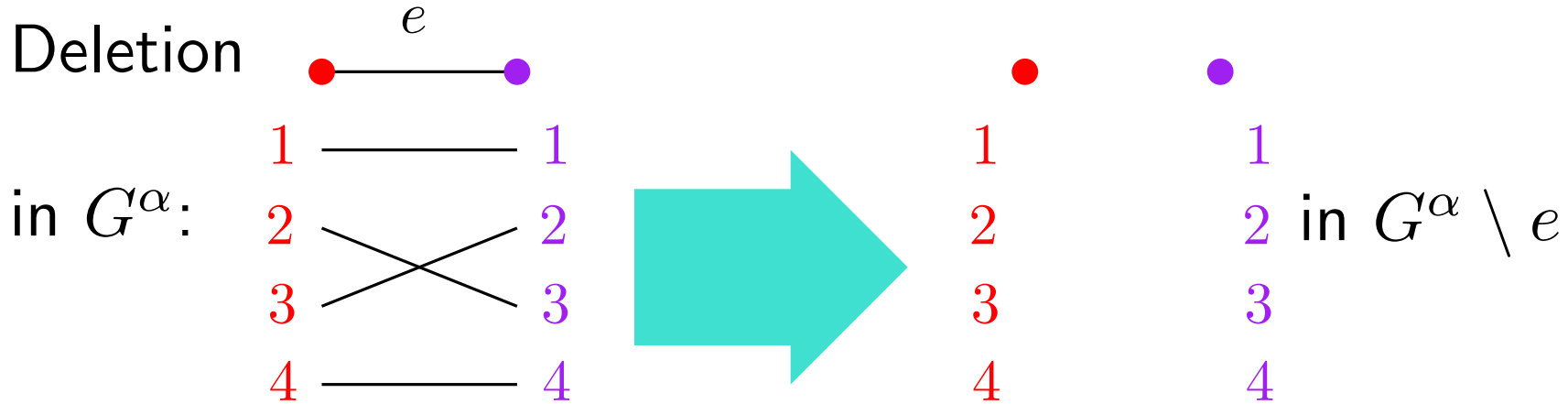
A contraction/deletion formula



in G^α :



A contraction/deletion formula



A Contraction/Deletion Formula

Theorem (Godsil, Guo, Royle)

$$\xi(G^\alpha, t) = (t - 1)\xi(G^\alpha / e, t) + \xi(G^\alpha \setminus e, t)$$

Main result

Theorem (Godsil, Guo, Royle)

For any r -fold cover of a graph G with n vertices,

$$\xi(G^\alpha, -(r-1)) \cong 0 \pmod{r^n}.$$

Theorem (Godsil, Guo, Royle)

For any 2-fold cover of a graph G with n vertices,

$$\xi(G^\alpha, 1) = \begin{cases} 2^n, & \text{if } G \text{ is Eulerian;} \\ 0, & \text{otherwise.} \end{cases}$$

Open problems

- Are there other points which we can “evaluate” the transversal polynomial?
- Are there classes of graphs for which we can compute the transversal polynomial in polynomial time?
 - For example, choosability is linear time in the number of vertices, for graphs of bounded tree-width.

Thanks!