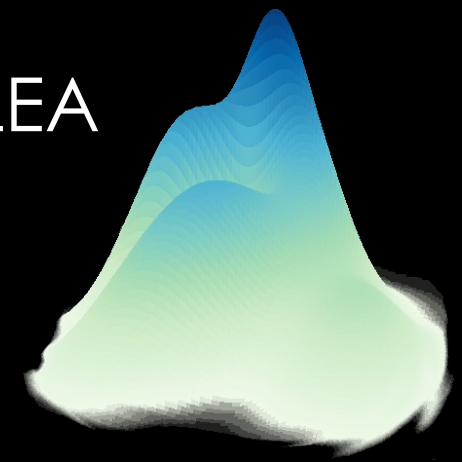


JoLEA



NEUROEVOLUTION LANDSCAPES AND TRAJECTORIES

Collaborators

Jason Adair, Katherine Malan, Nuno Rodrigues, Stefano Sarti, Sara Silva, Leonardo Vanneschi, Nadarajen Veerapen

Gabriela Ochoa

UNIVERSITY of
STIRLING





UNIVERSITY of
STIRLING



MOTIVATION

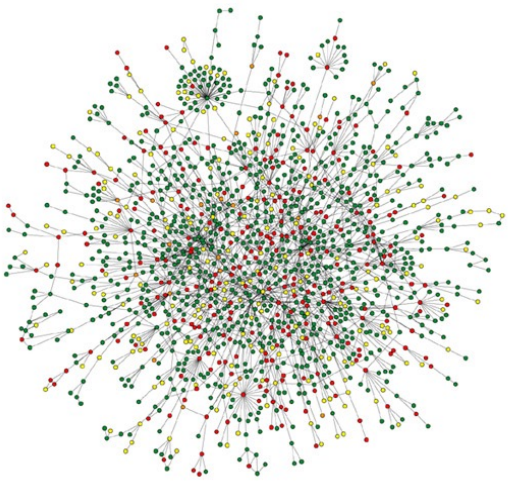


Visualisation &
Analysis Tools

Increased
Understanding
(Explainability)

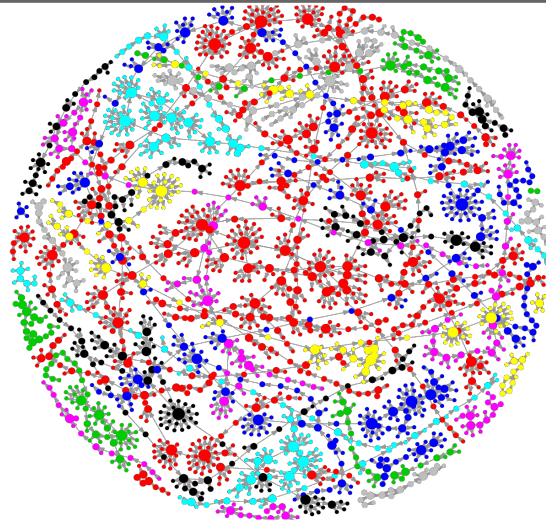
Informed
Algorithm
Selection &
Configuration

OUTLINE



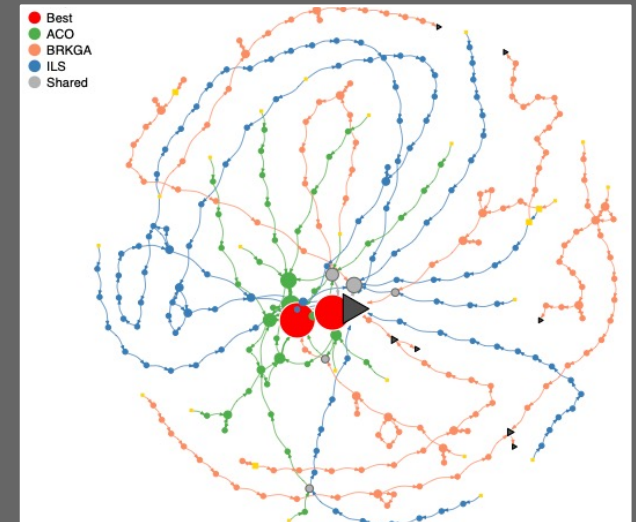
G. Theory ~1700, ~2000

Complex Networks



2008, NAS 2022

Local Optima Networks
(LONs)

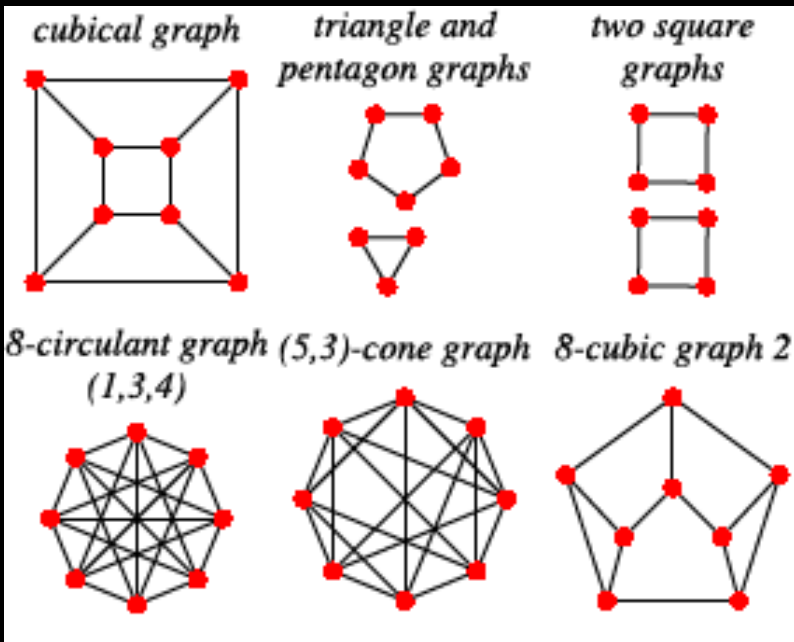


2020, NE 2021, 2022

Search Trajectory
Networks (STNs)

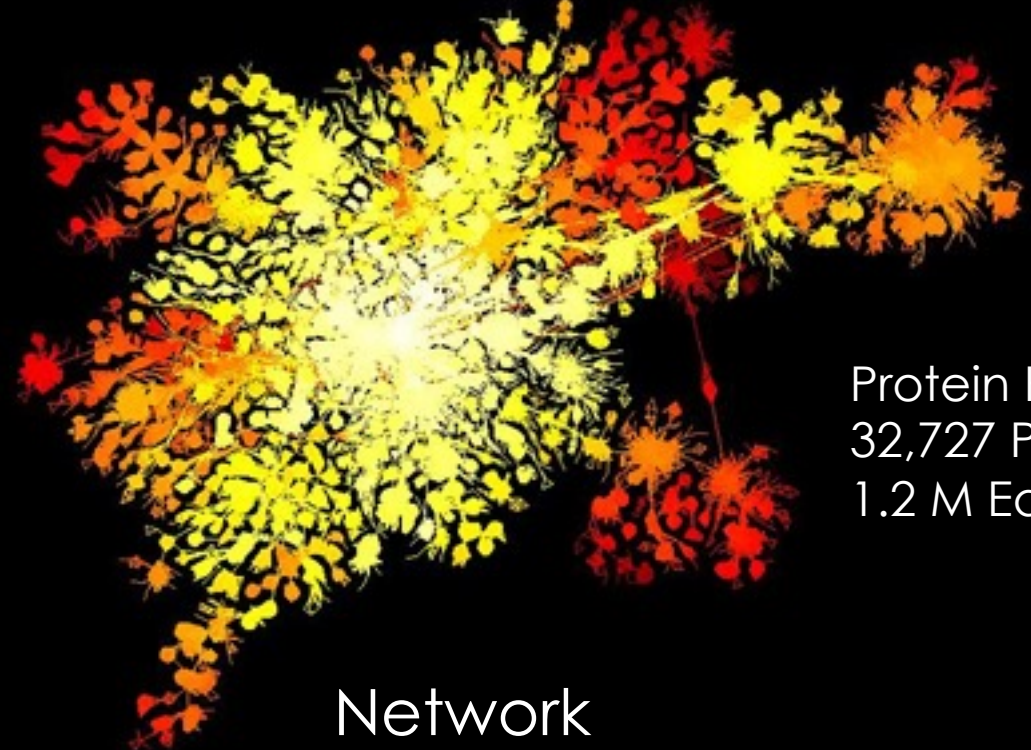
WHAT IS A (COMPLEX) NETWORK

<https://mathworld.wolfram.com/WeaklyRegularGraph.html>



Graph
Mathematical Object

<http://www.visualcomplexity.com/>



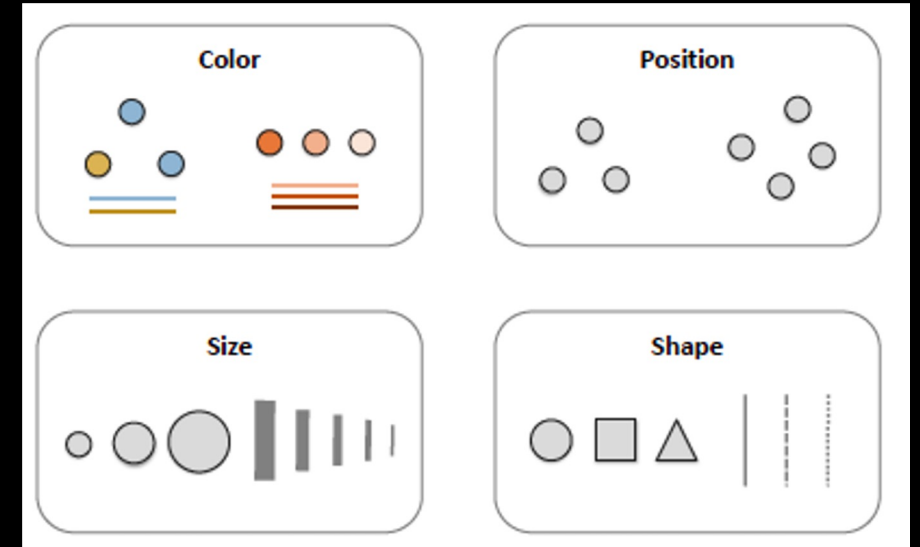
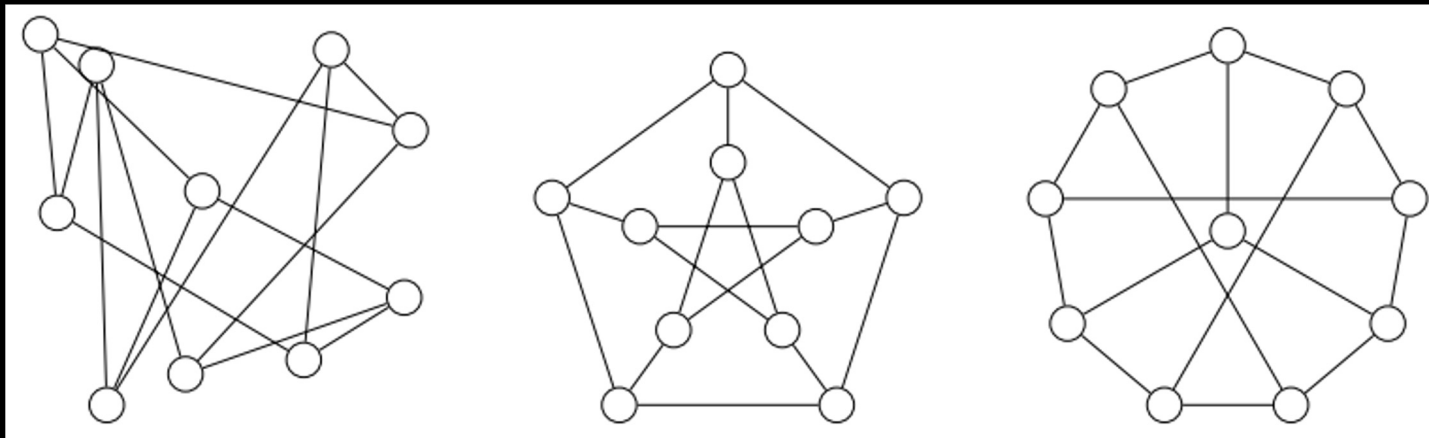
Protein Homology
32,727 Proteins
1.2 M Edges

Network
Data Driven Instantiation

Behind each complex system, there is an intricate network that encodes the interactions between the system's components. Albert-László Barabási, Network Science

NETWORK VISUALISATION

Art of choosing an appropriate representation that is *aesthetically pleasing* and highlights important *structural properties*



<https://kateto.net/network-visualization>

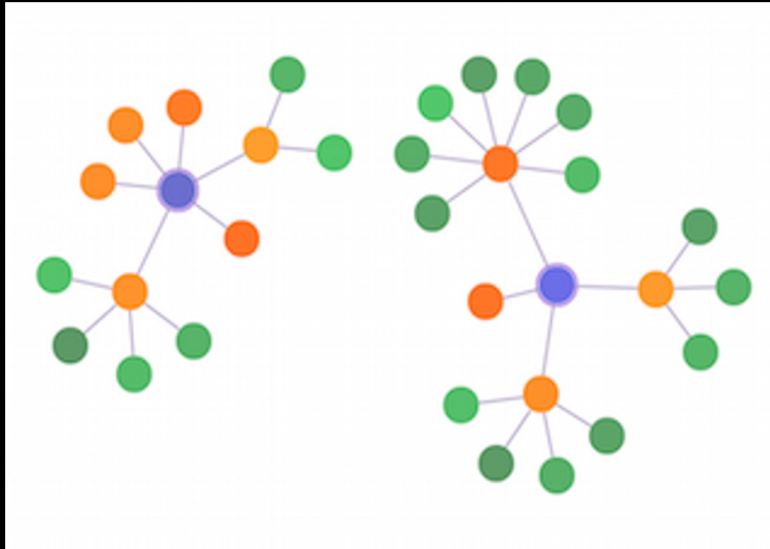
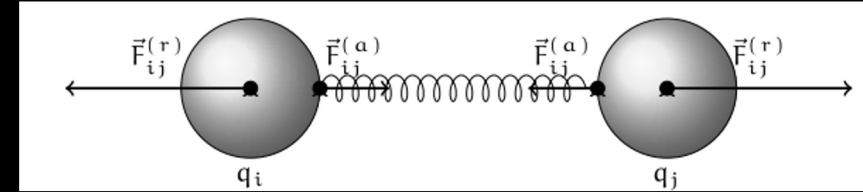
Petersen graph

Node-edge diagram graph layouts

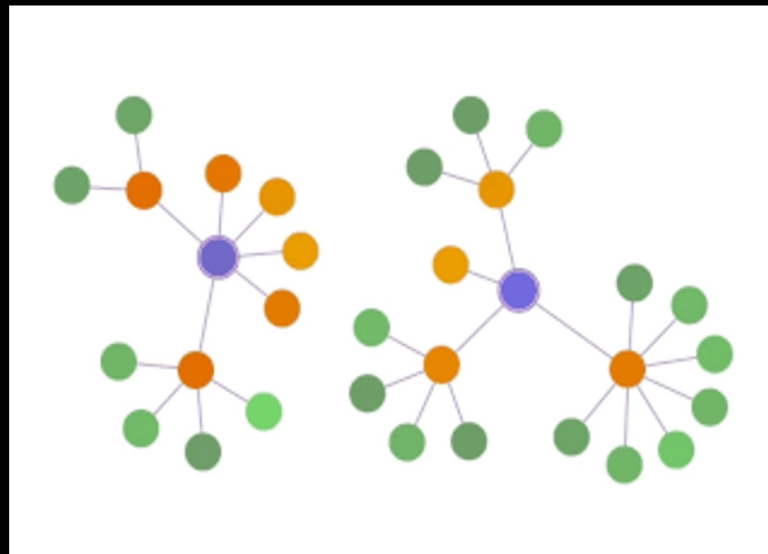
FORCE-DIRECTED GRAPH LAYOUT ALGORITHMS

Aesthetic criteria

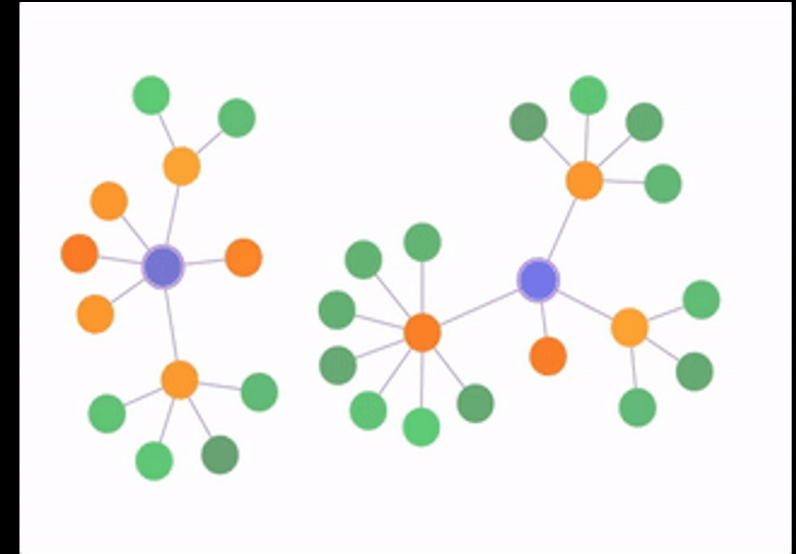
- Vertices are evenly distributed
- The number of edge crossings is minimised
- The lengths of edges are approximately uniform
- Inherent symmetries in the graph are respected



Fruchterman-Reingold



Kamada-Kawai (*organic*)



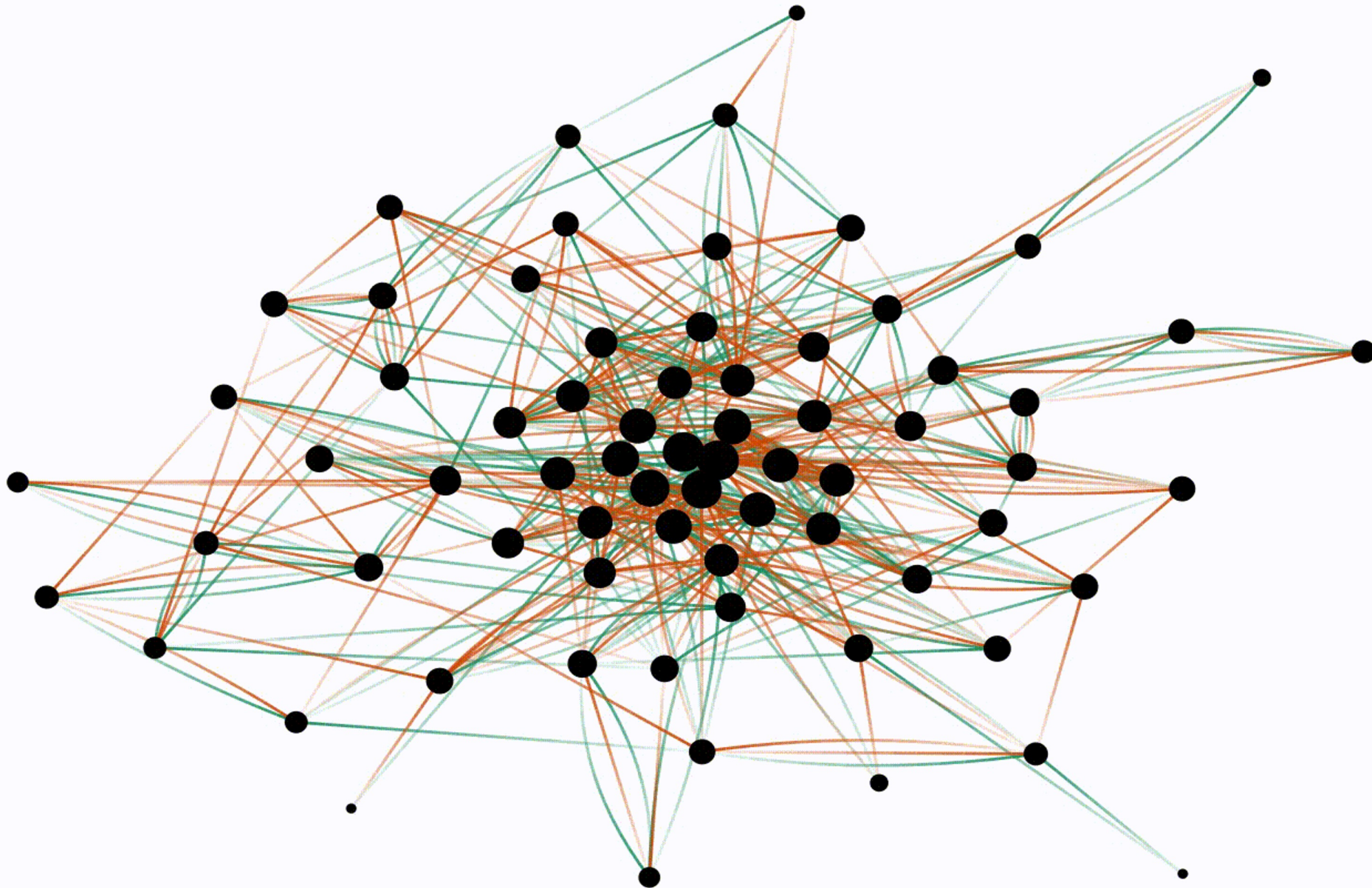
Reingold-Tilford (trees) ⁷

Graph Layout

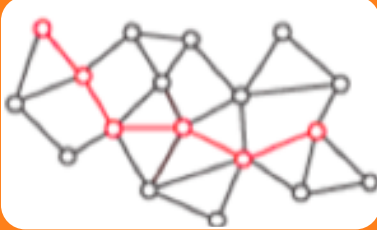
Many Algorithms

Some are simple:
circular, star, grid,
random.

Others optimise the
position of nodes
based on different
characteristics of the
graph.



NETWORK METRICS



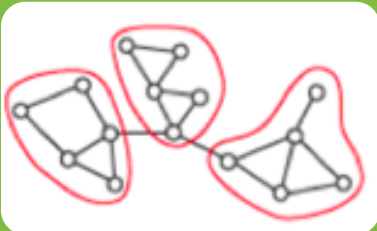
Distance

- Diameter
- Average shortest path



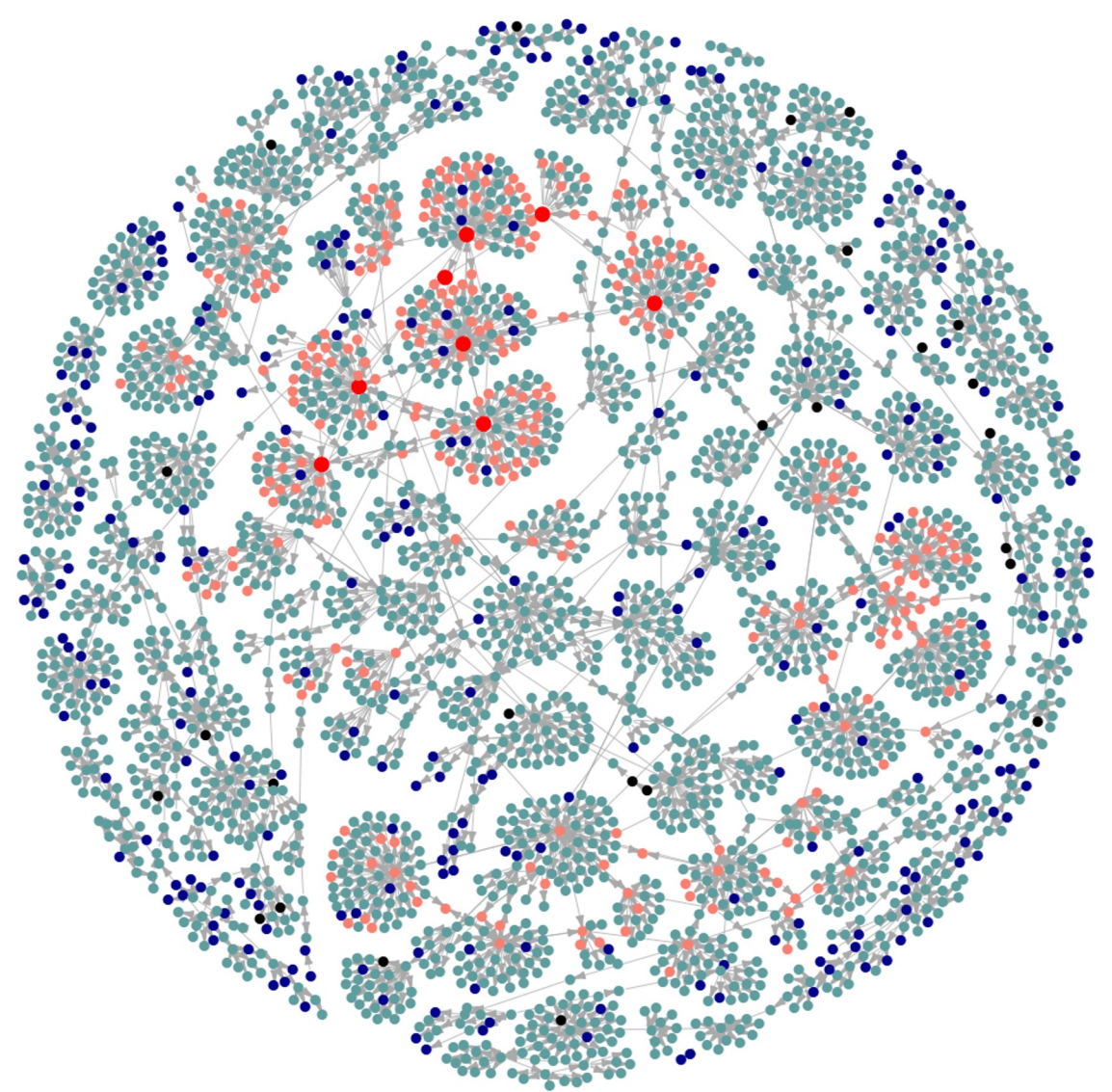
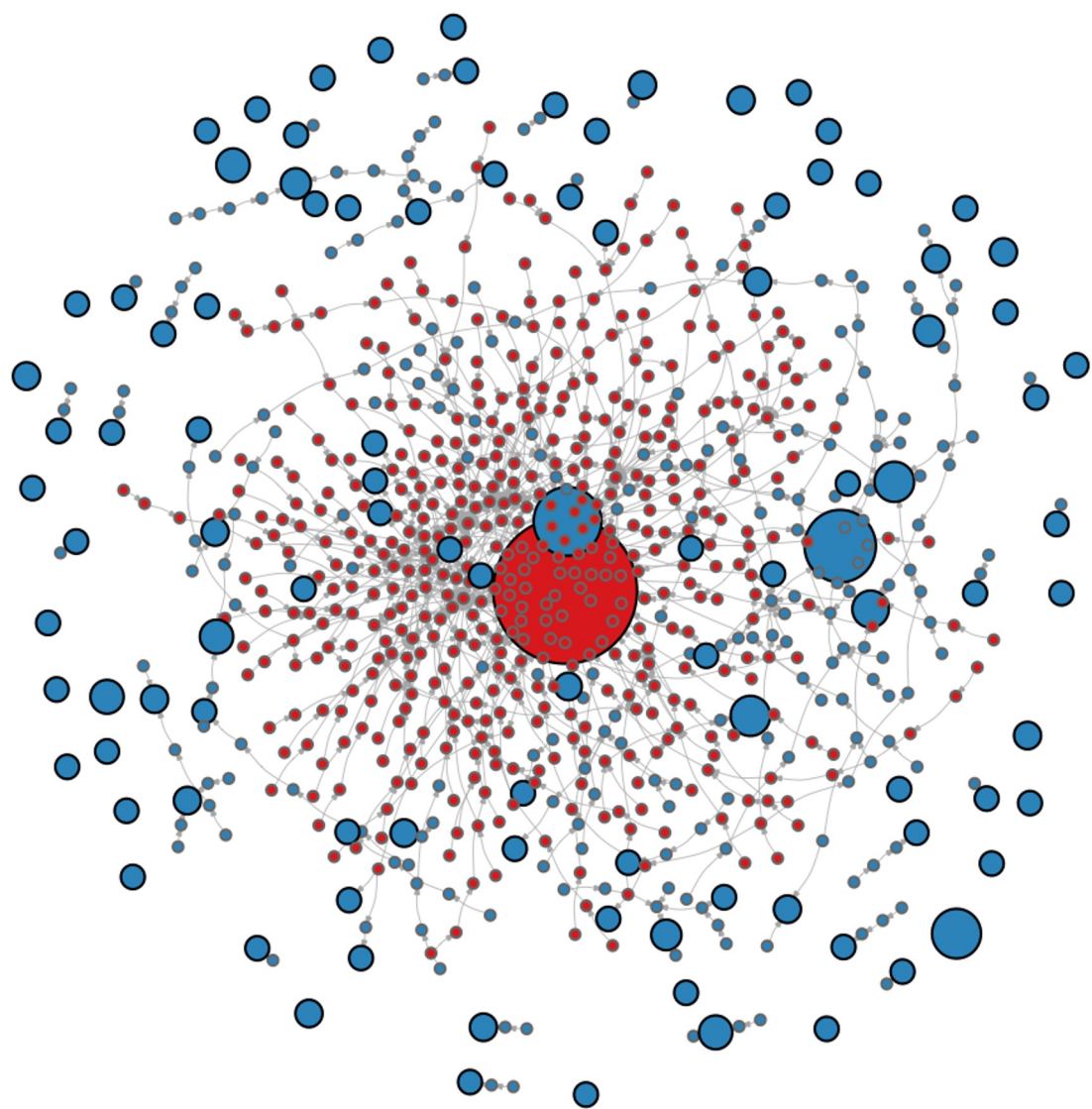
Topology

- Degree distribution
- Mean degree, Assortativity, disparity, centrality



Cohesion

- Clustering coefficient
- Community structure

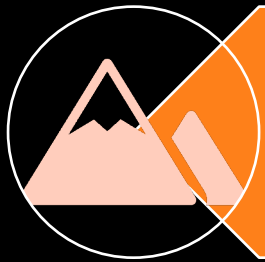


LOCAL OPTIMA NETWORKS (LONS)

FITNESS LANDSCAPES

(S, N, f)

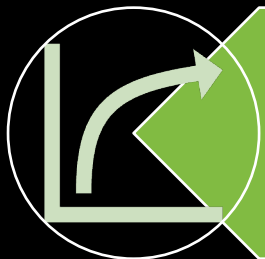
Biology, Computational Chemistry
Evolutionary Computation & Metaheuristics
Machine Learning



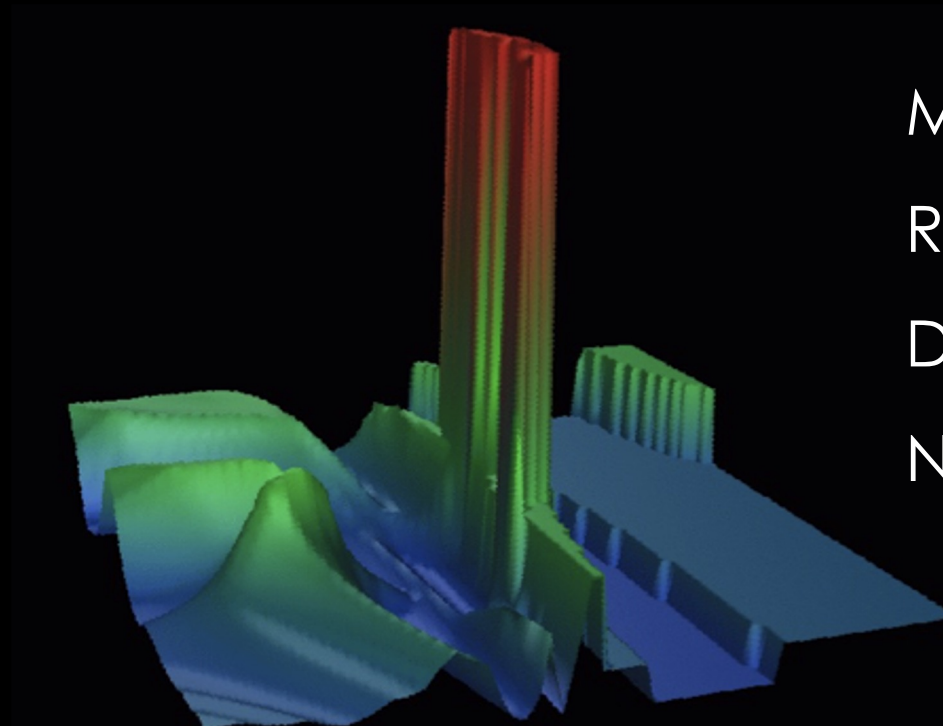
Search Space



Neighbourhood
Structure



Fitness Function

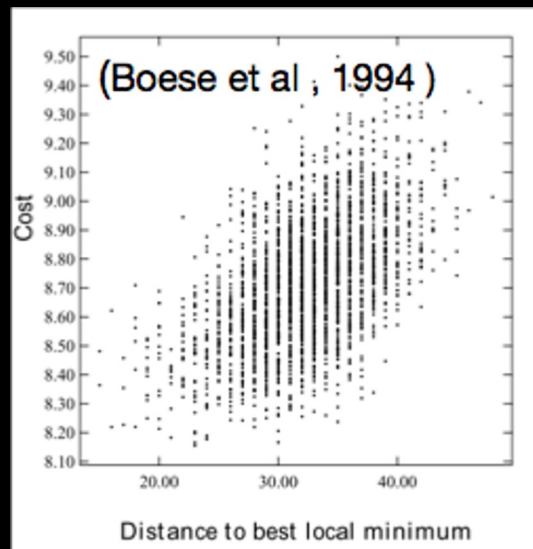
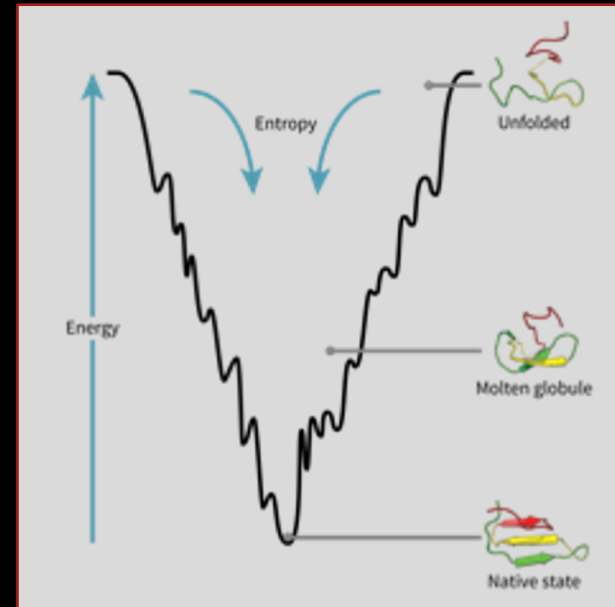
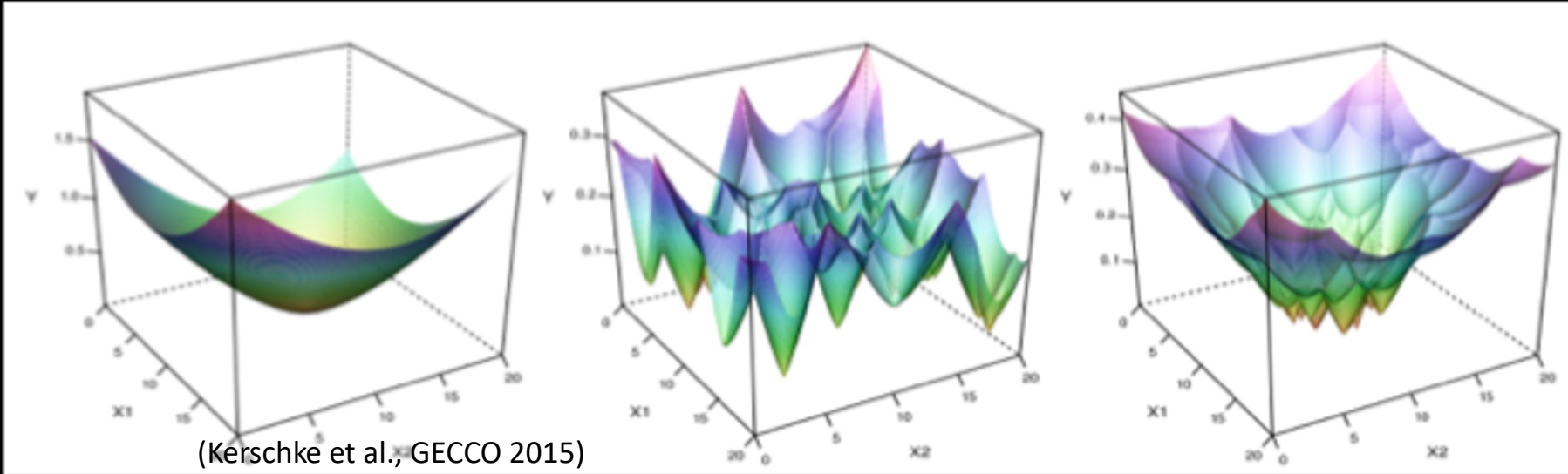


Multimodality
Ruggedness
Deceptiveness
Neutrality

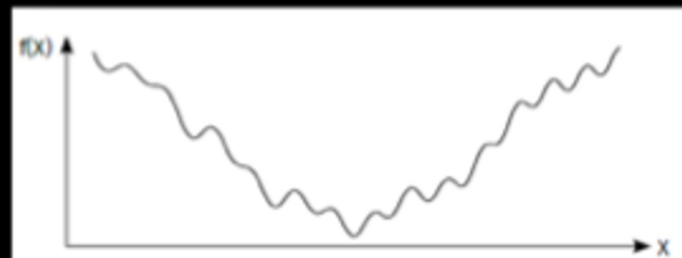
2-d slice, (9-d) landscape in Evol. Robotics (Barnet, 2002)

WHAT IS A *FUNNEL*?

Protein Folding

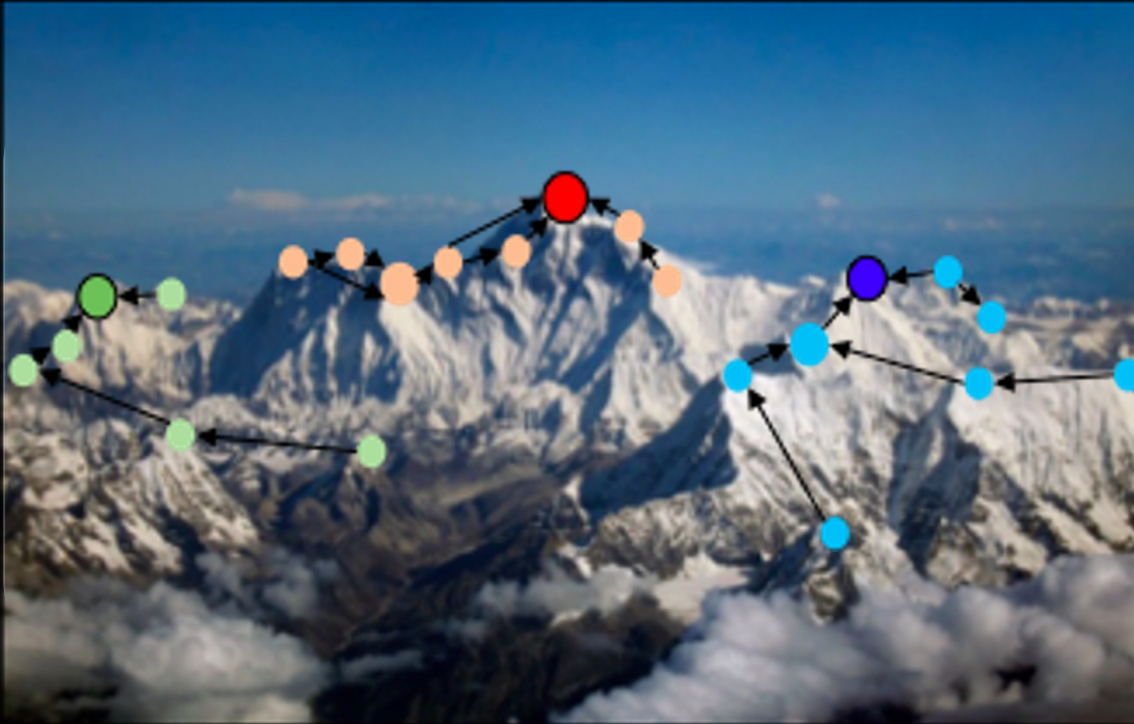


Related to the notion
"big-valley"



T. Splettstoesser
www.scistyle.com

LOCAL OPTIMA NETWORKS (LONS)

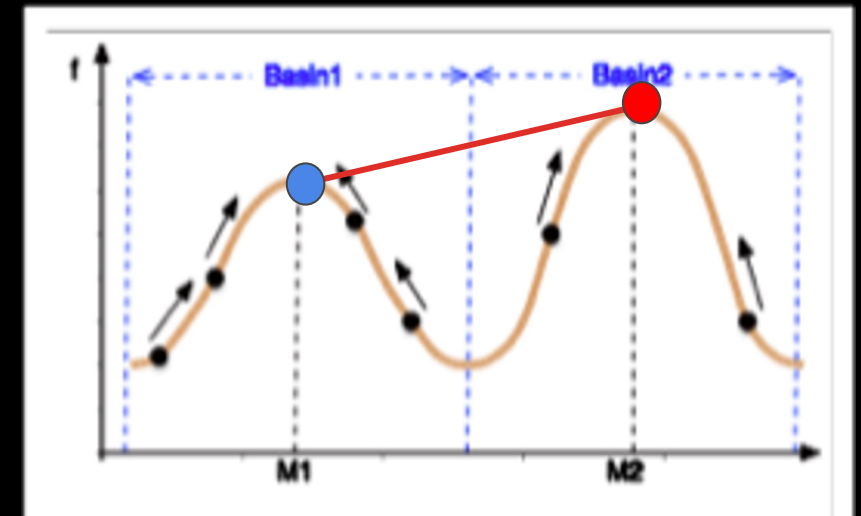


Nodes - local optima according to a hill-climbing heuristic

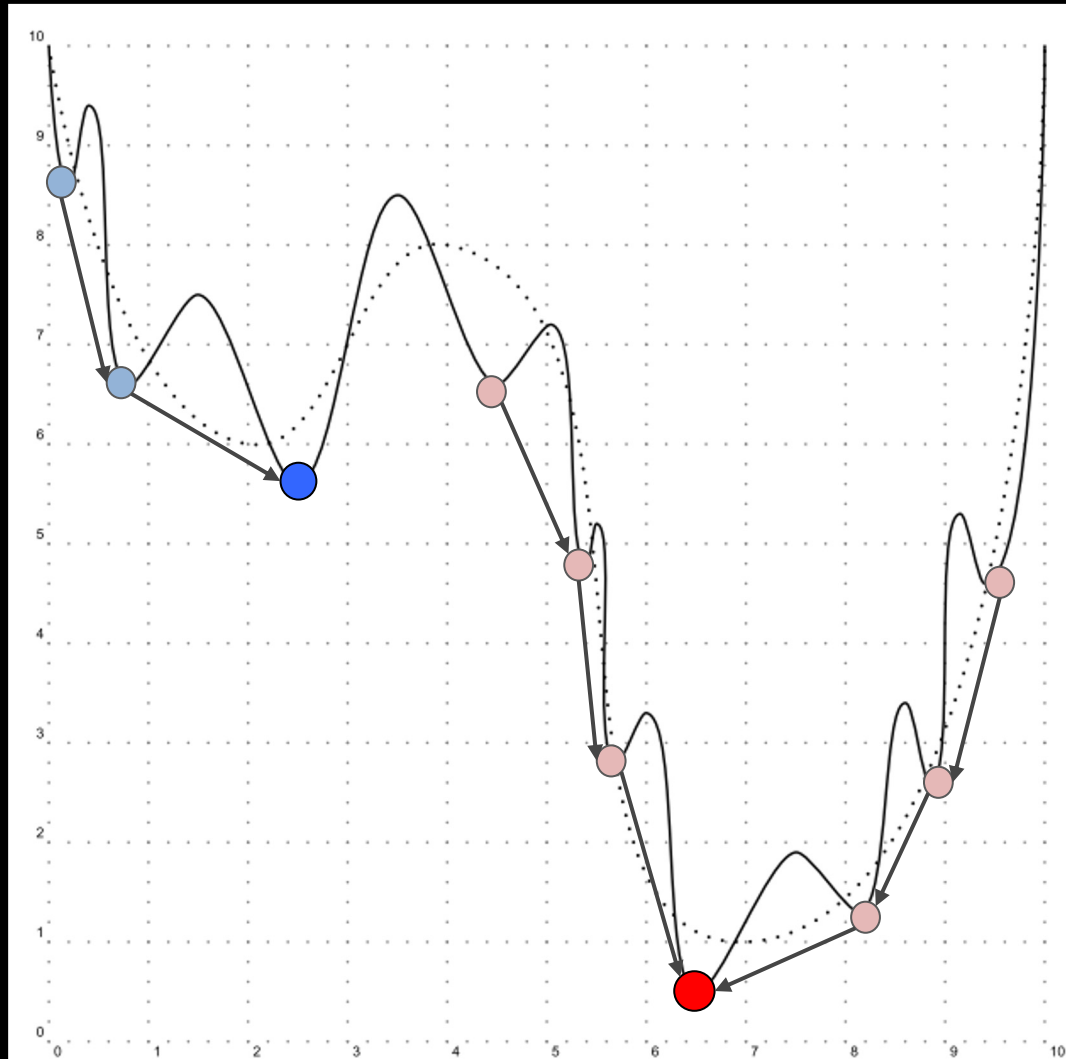
Edges - transitions between optima (basin, escape, crossover)

P. K. Doye. The network topology of a potential energy landscape: a static scale-free network. *Physical Review Letter*, 2002.

G. Ochoa, M. Tomassini, S. Verel, and C. Darabos. A study of NK landscapes' basins and local optima networks. GECCO 2008



Characterising Funnels with LONs



- Global minimum **MLONs**
- Sub-optimal sink
- Local minimum in optimal funnel
- Local minimum in sub-optimal funnel
- ↓ Monotonic edge

Monotonic Sequence: a descending sequence of adjacent minima

Funnel: the aggregation of all monotonic sequences ending at the same point (or sink).

TRAVELLING SALESMAN (TSP)

Chained Lin-Kernighan

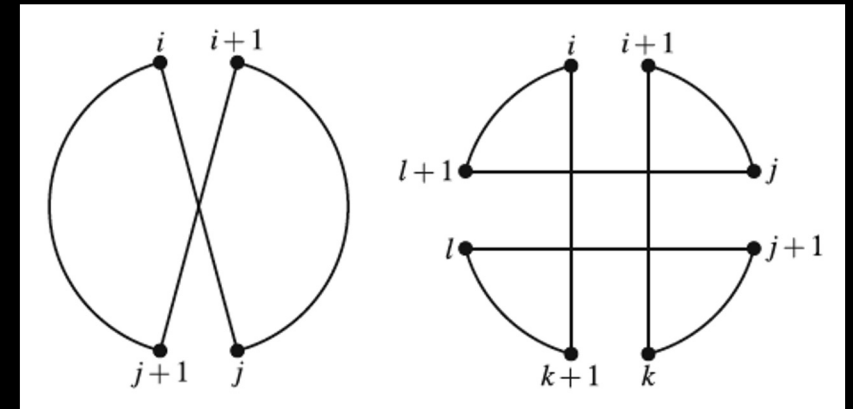
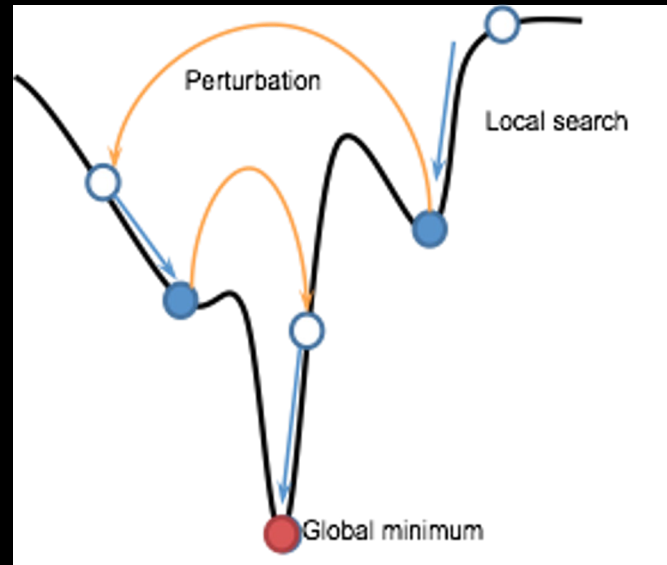
(Martin, Otto, Felten, 1992)

- Form of Iterated Local Search
- Diversification & Intensification

Sampling and constructing LONs with escape edges

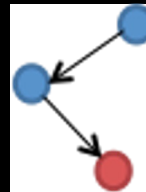
Algorithm 1 ILS sampling

```
 $L \leftarrow \{\}; E \leftarrow \{\}$  (initialise LON data)  
repeat  
   $s_0 \leftarrow \text{RandomInitialSolution}$   
   $s^* \leftarrow \text{HillClimber}(s_0)$   
   $L \leftarrow L \cup \{s^*\}$  (record LON data)  
  repeat  
     $s' \leftarrow \text{RandomMutation}(s^*)$   
     $s'^* \leftarrow \text{HillClimber}(s')$   
    if  $f(s'^*) \leq f(s^*)$  then  
       $L \leftarrow L \cup \{s'^*\}$  (record LON data)  
       $E \leftarrow E \cup \{(s^*, s'^*)\}$  (record LON data)  
       $s^* \leftarrow s'^*$   
    end if  
  until ILS termination condition is met  
until sampling termination condition is met
```



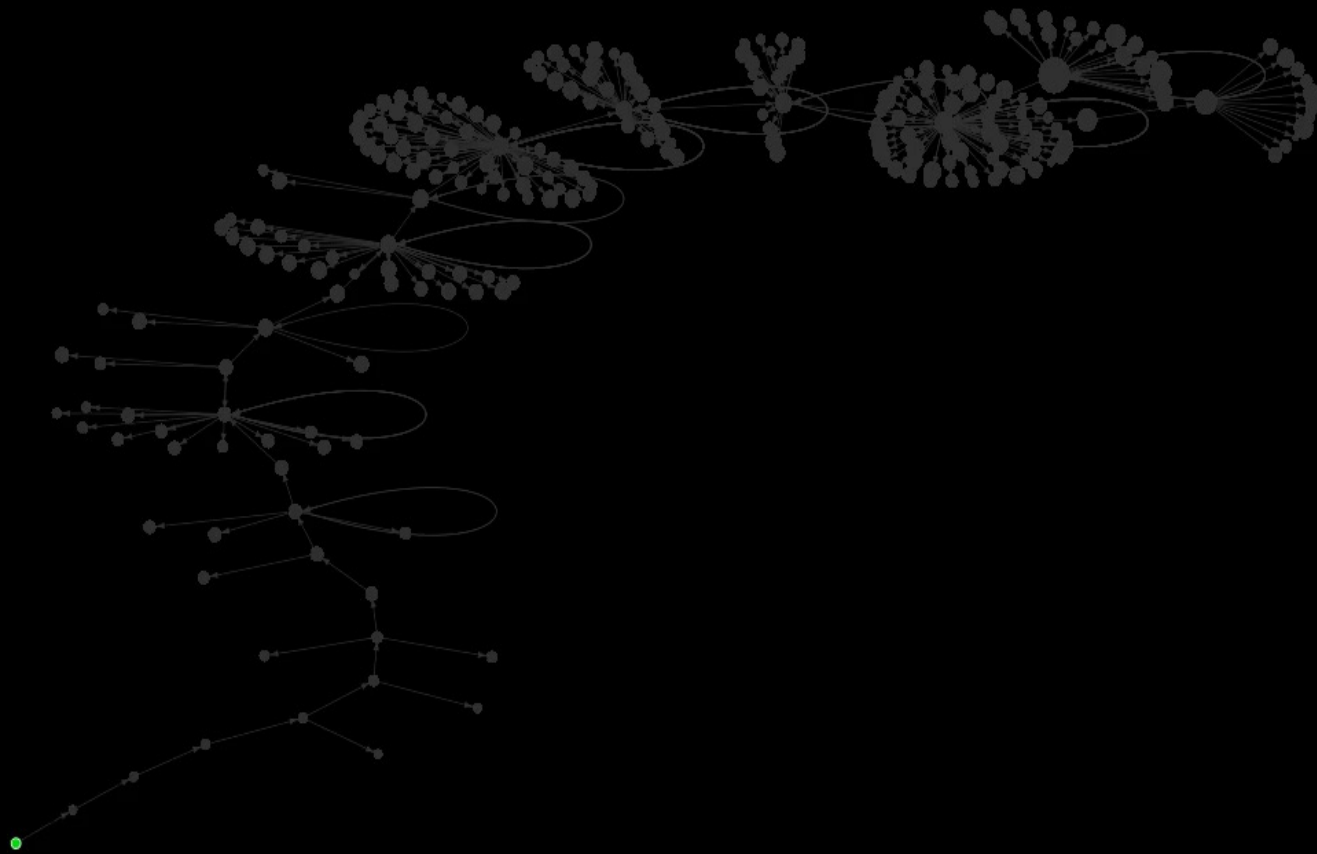
2-exchange

double-bridge

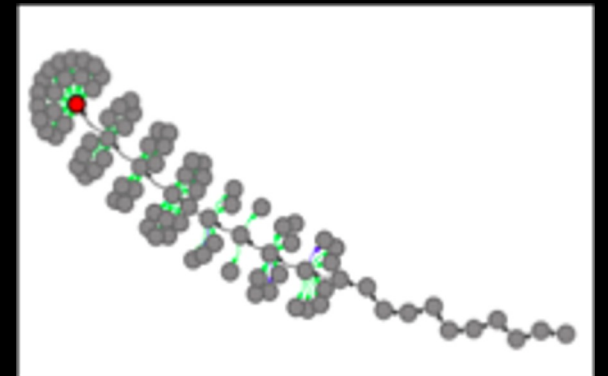


Nodes: LK local optima

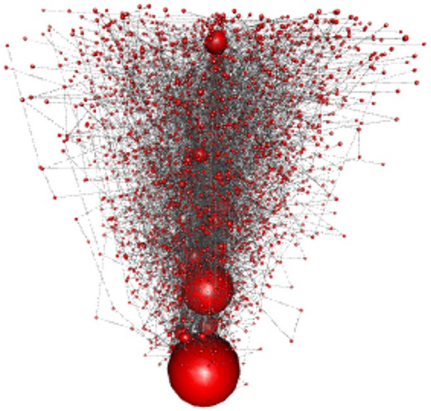
Edges: double-bridge escapes



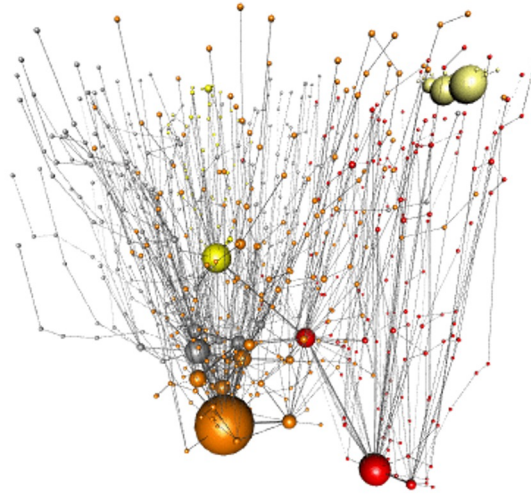
A Chained-LK
run on instance
lin318
318 points,
drilling
application



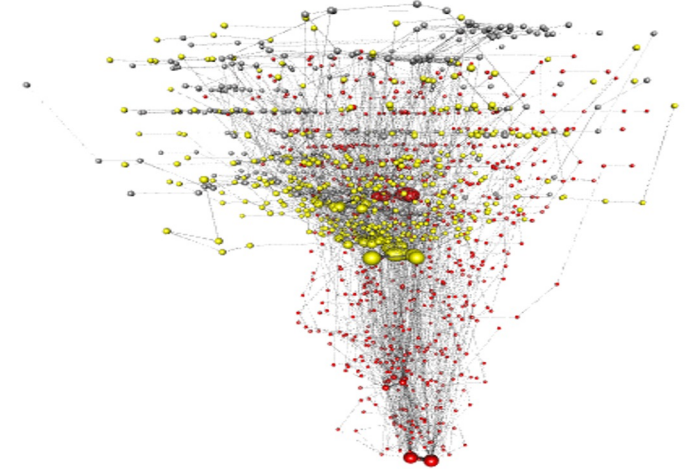
TSP INSTANCES



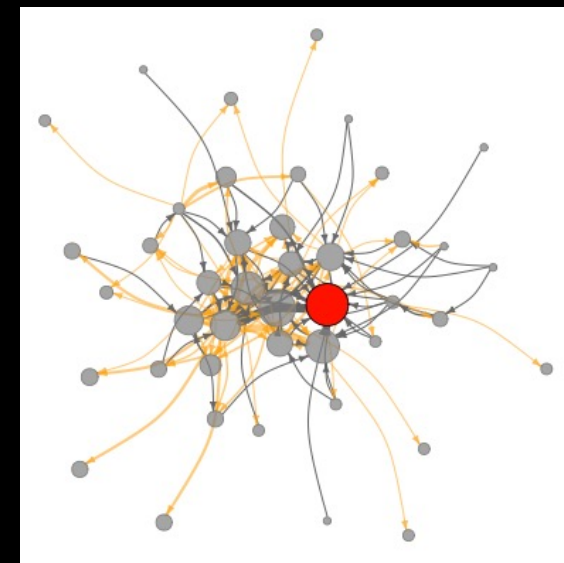
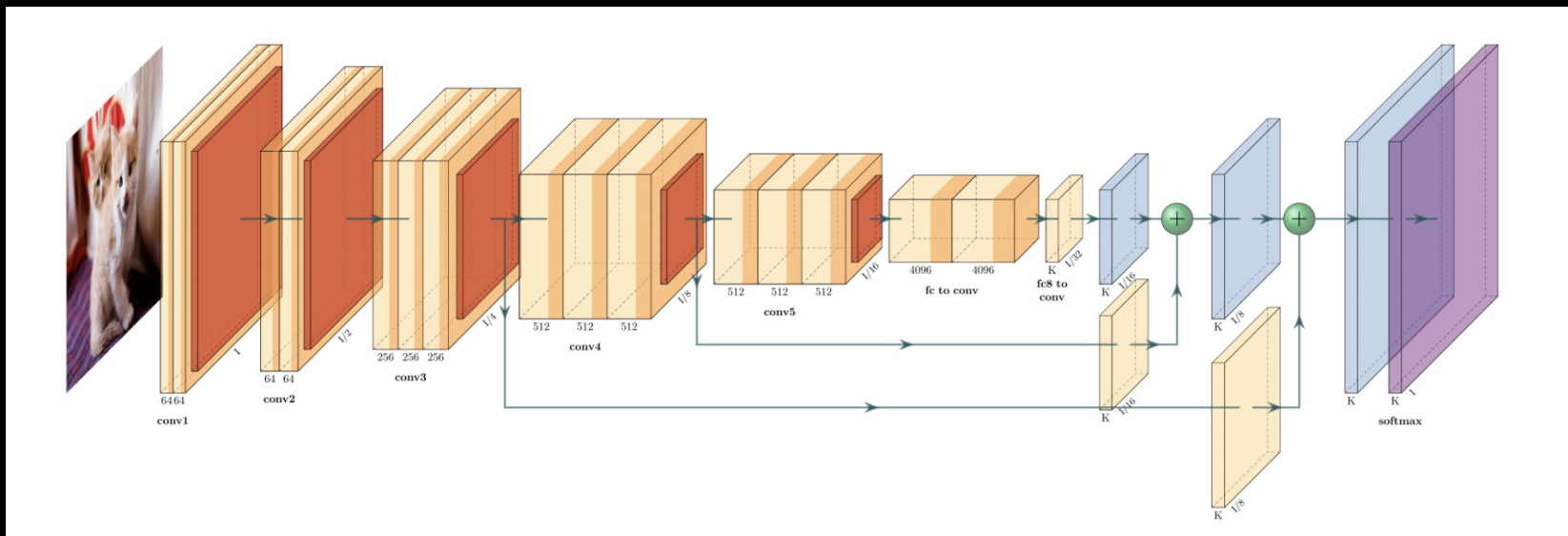
c755 Clustered Cities
Funnels: 1, Success: 100%



E755 Uniform Cities
Funnels: 4, Success: 13%

















att532 (cities in the US)
Funnels: 2, Success: 44%



LONS FOR NEURAL ARCHITECTURE SEARCH

A mostly complete chart of Neural Networks

©2019 Fjodor van Veen & Stefan Leijnen asimovinstitute.org

-  Input Cell
-  Backfed Input Cell
-  Noisy Input Cell
-  Hidden Cell
-  Probabilistic Hidden Cell
-  Spiking Hidden Cell
-  Capsule Cell
-  Output Cell
-  Match Input Output Cell
-  Recurrent Cell
-  Memory Cell
-  Gated Memory Cell
-  Kernel
-  Convolution or Pool

Perceptron (P)



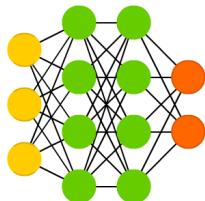
Feed Forward (FF)



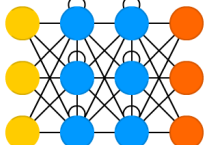
Radial Basis Network (RBF)



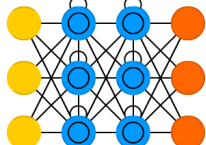
Deep Feed Forward (DFF)



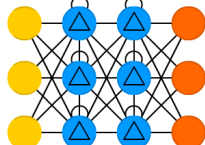
Recurrent Neural Network (RNN)



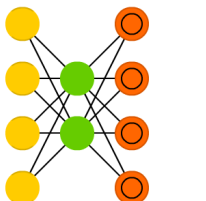
Long / Short Term Memory (LSTM)



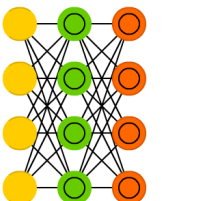
Gated Recurrent Unit (GRU)



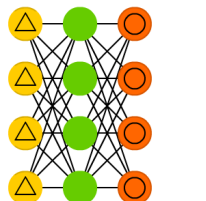
Auto Encoder (AE)



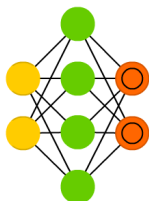
Variational AE (VAE)



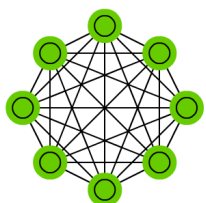
Denoising AE (DAE)



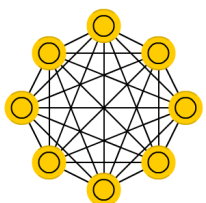
Sparse AE (SAE)



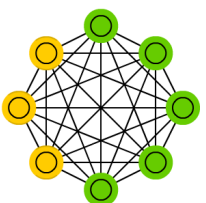
Markov Chain (MC)



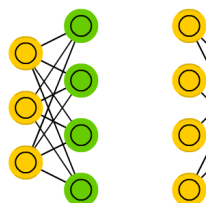
Hopfield Network (HN)



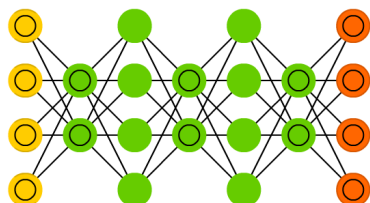
Boltzmann Machine (BM)



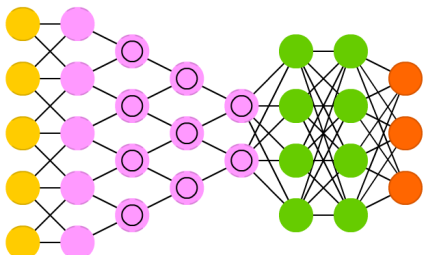
Restricted BM (RBM)



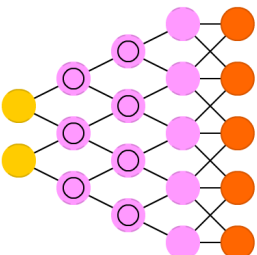
Deep Belief Network (DBN)



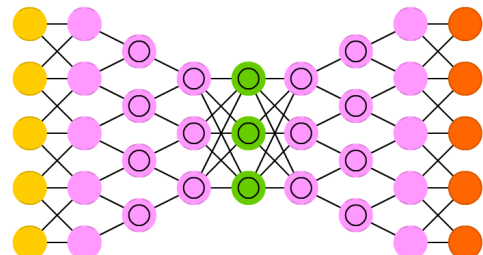
Deep Convolutional Network (DCN)



Deconvolutional Network (DN)



Deep Convolutional Inverse Graphics Network (DCIGN)

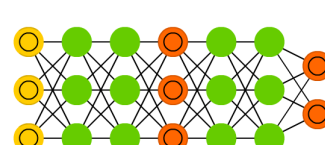


THE NEURAL NETWORK ZOO

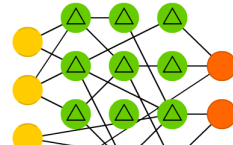
“With new neural network architectures popping up every now and then, it's hard to keep track of them all.” Fjodor Van Veen

<https://www.asimovinstitute.org/neural-network-zoo/>

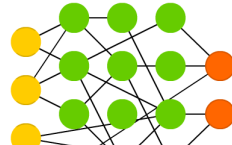
Generative Adversarial Network (GAN)



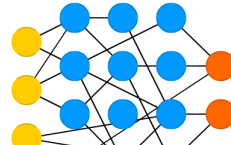
Liquid State Machine (LSM)



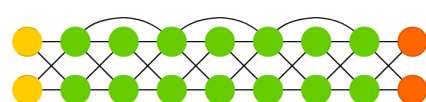
Extreme Learning Machine (ELM)



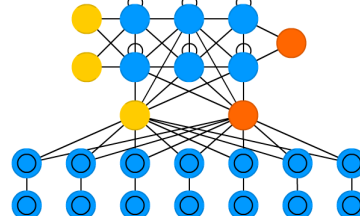
Echo State Network (ESN)



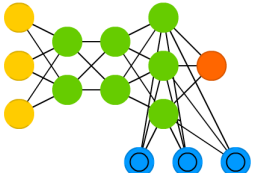
Deep Residual Network (DRN)



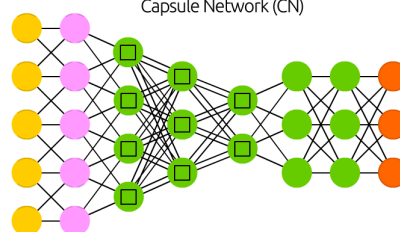
Differentiable Neural Computer (DNC)



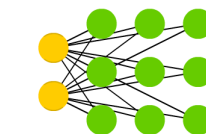
Neural Turing Machine (NTM)



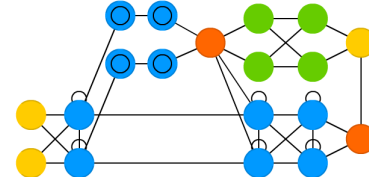
Capsule Network (CN)



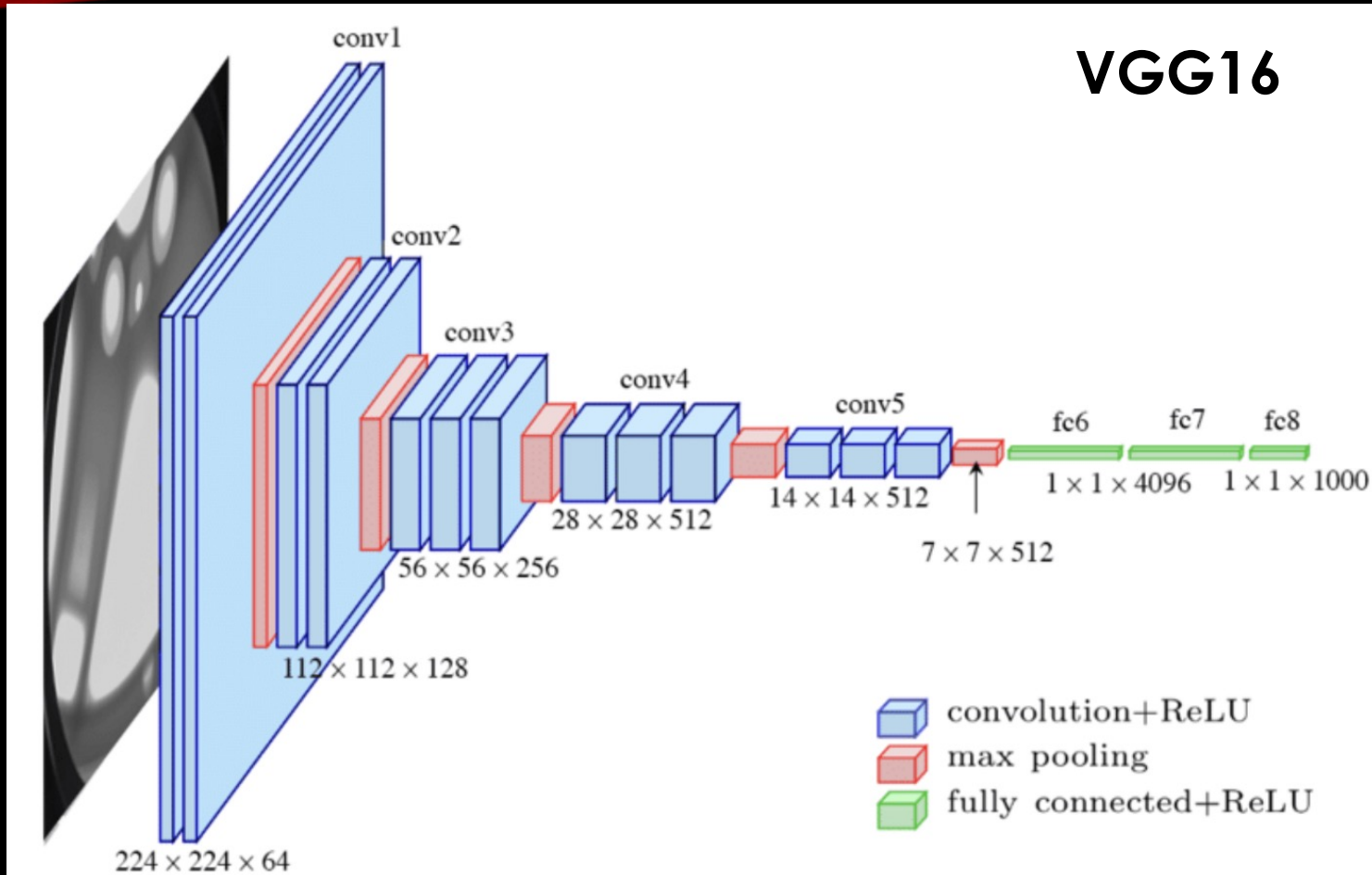
Kohonen Network (KN)



Attention Network (AN)



DEEP CNN ARCHITECTURE



- A classic convolutional NN architecture
- Total of 16 layers with weights
 - Over 130 Million weights!
 - 5 blocks: convolution layers followed by a max-pooling layer
 - Resolution halved after each

VGG: Visual Geometry Group
Karen Simonyan and Andrew Zisserman
University of Oxford, 2014
Winner of the Visual Recognition Challenge

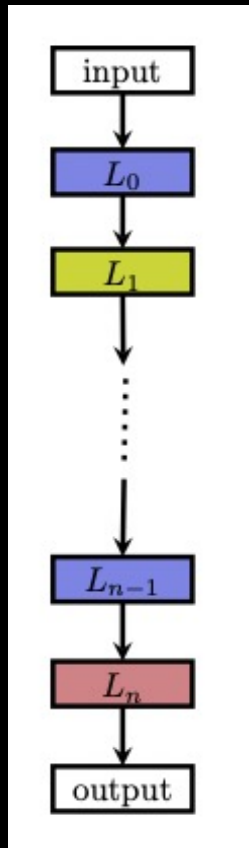
NEURAL ARCHITECTURE SEARCH (NAS)



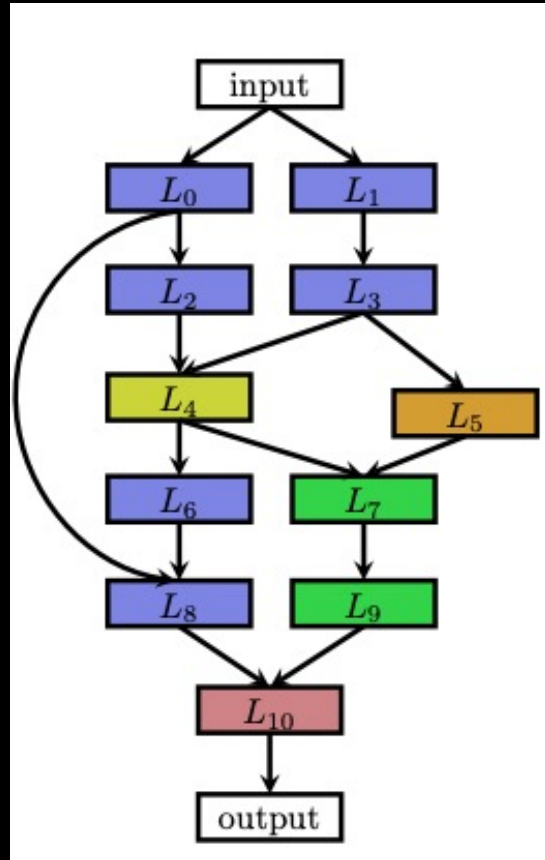
- Use of search heuristics to optimize the topology of deep neural networks
- Has produced models that surpass the performance of huma-designed models
- Formulated as a discrete optimisation problem.

$$A^* = \operatorname{argmax}_{A \in \mathcal{A}} \operatorname{Acc}(A)$$

ALTERNATIVE NAS SEARCH SPACES

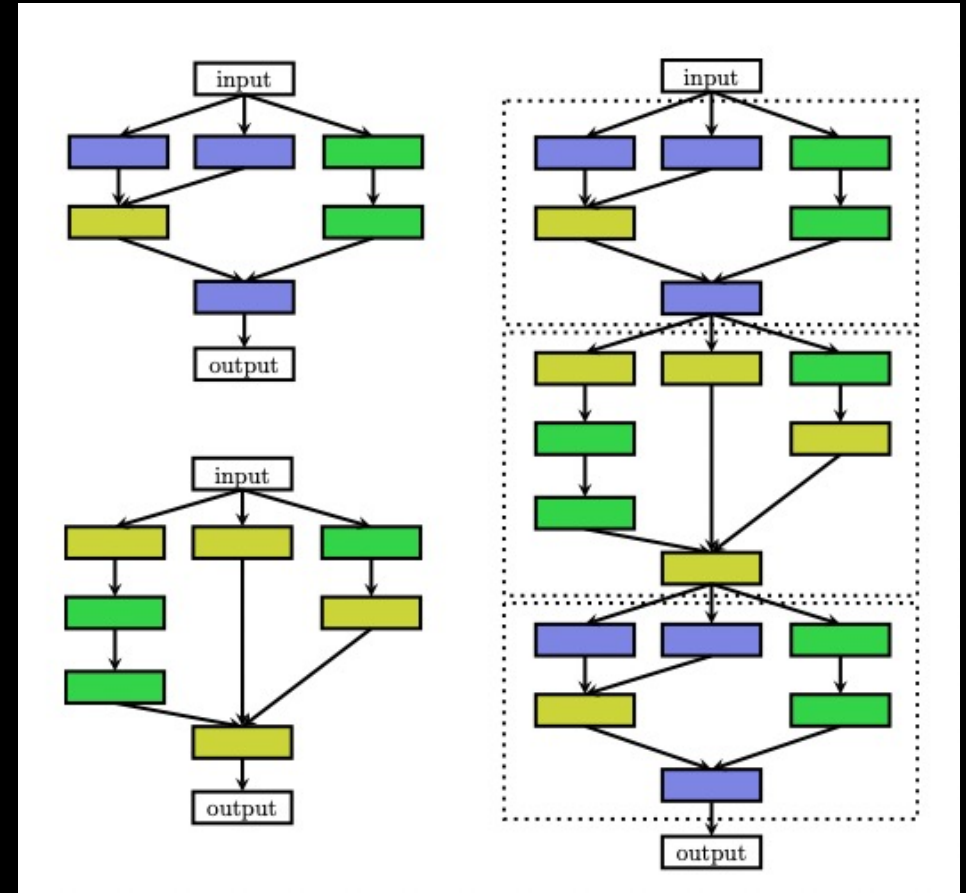


Chain Structure



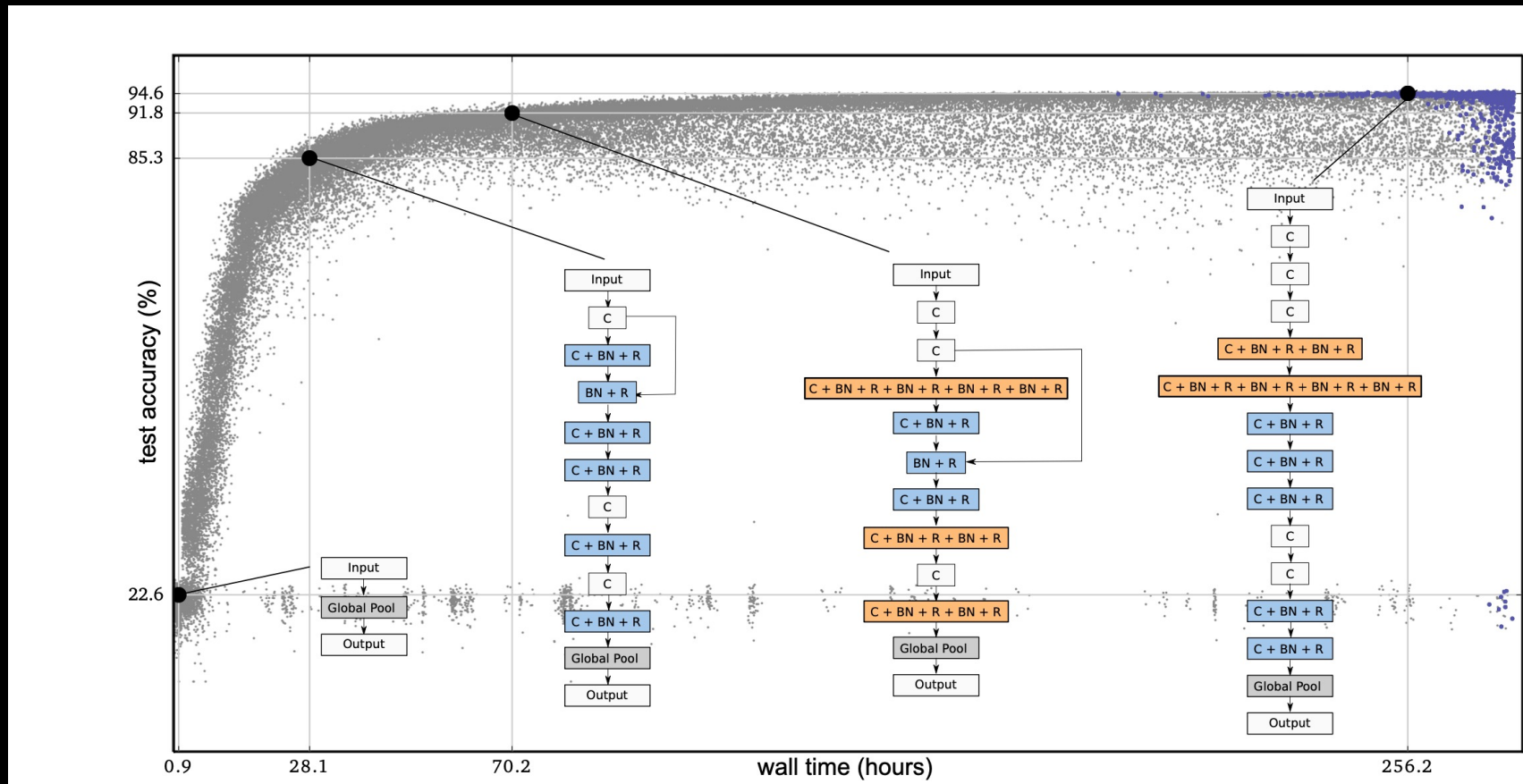
Multi-branch Structure

Two cells:
Normal &
Reduction



Cell (Block) Search Space

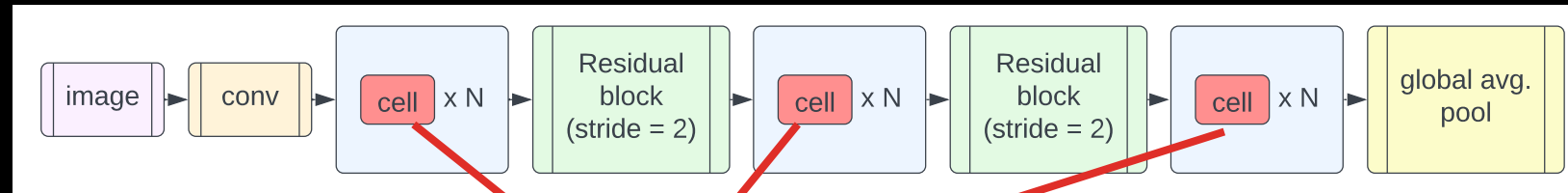
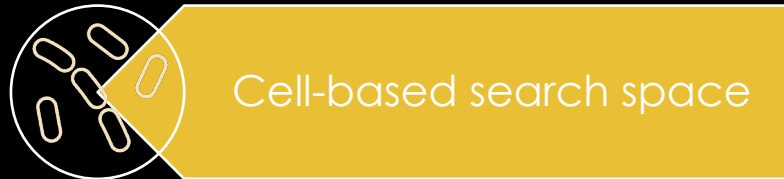
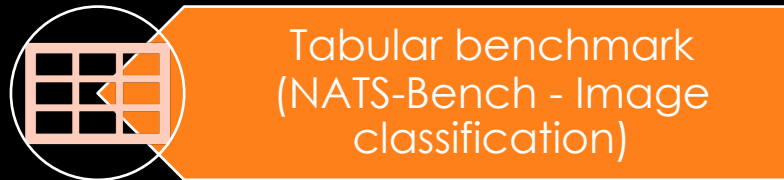
EXAMPLE OF SEARCH PROGRESS



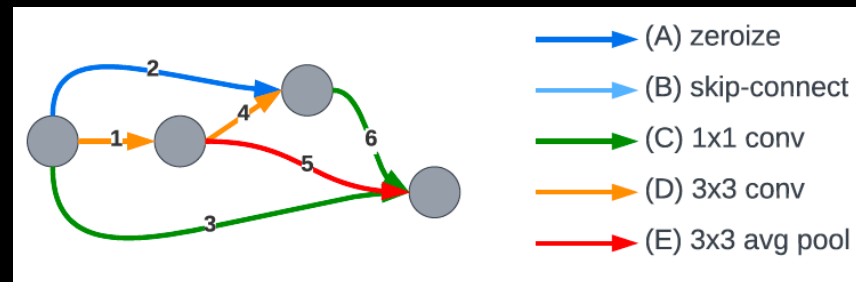
E. Real et al (2017) *Large-scale evolution of image classifiers*.
International Conference on Machine Learning - (ICML'17)

CELL BASED TABULAR BENCHMARK

Dong, X. et. al. (2021) *NATS-Bench: Benchmarking NAS algorithms for architecture topology and size*. *IEEE Trans. Pattern Analysis and Machine Intelligence*



Fixed macro-skeleton



Cell (DAG)

Discrete optimisation problem
 $\max_{a \in A} f(a)$
 $f(a)$ validation accuracy

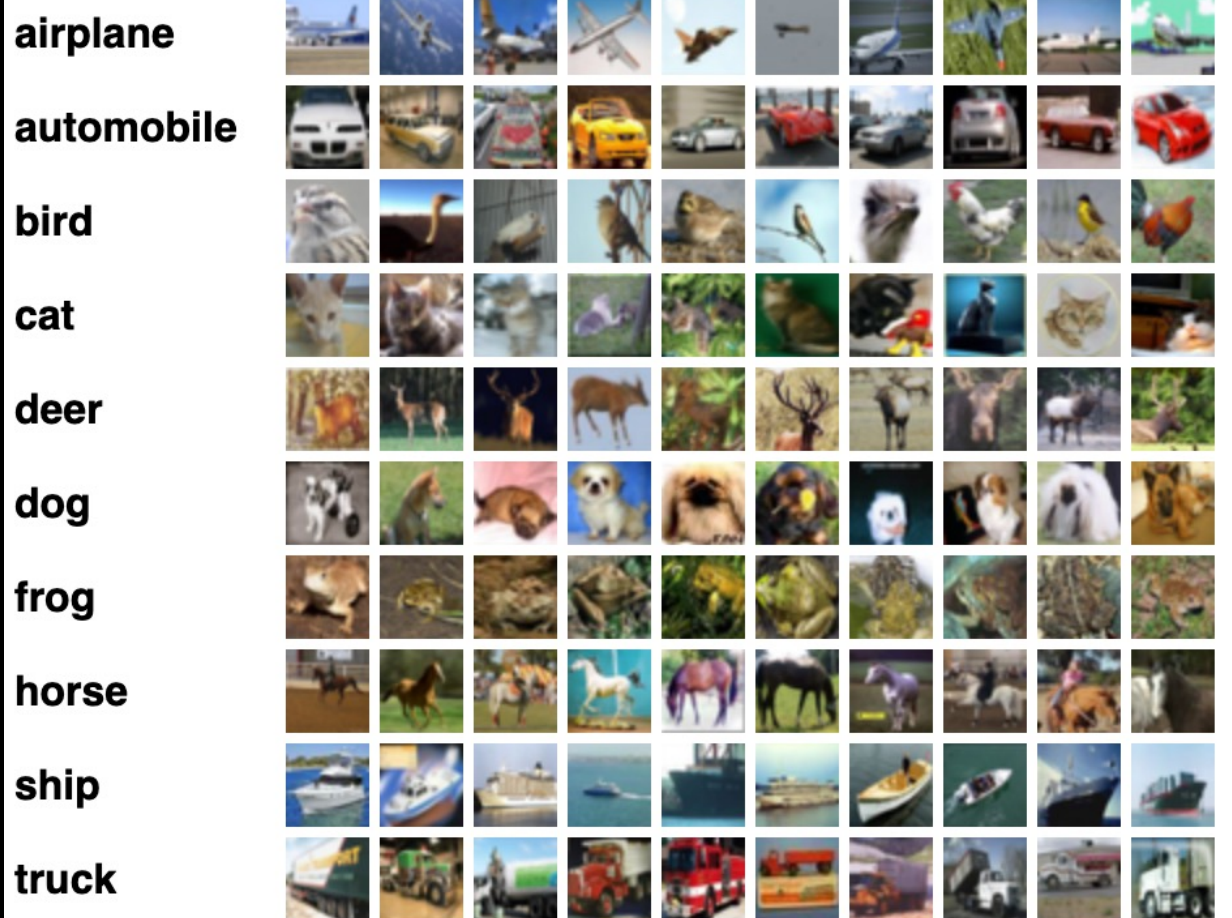
Genotype



- DAG with 6 edges.
- Each edge can be one of 5 operations
- Size of the search space is $5^6 = 15,625$

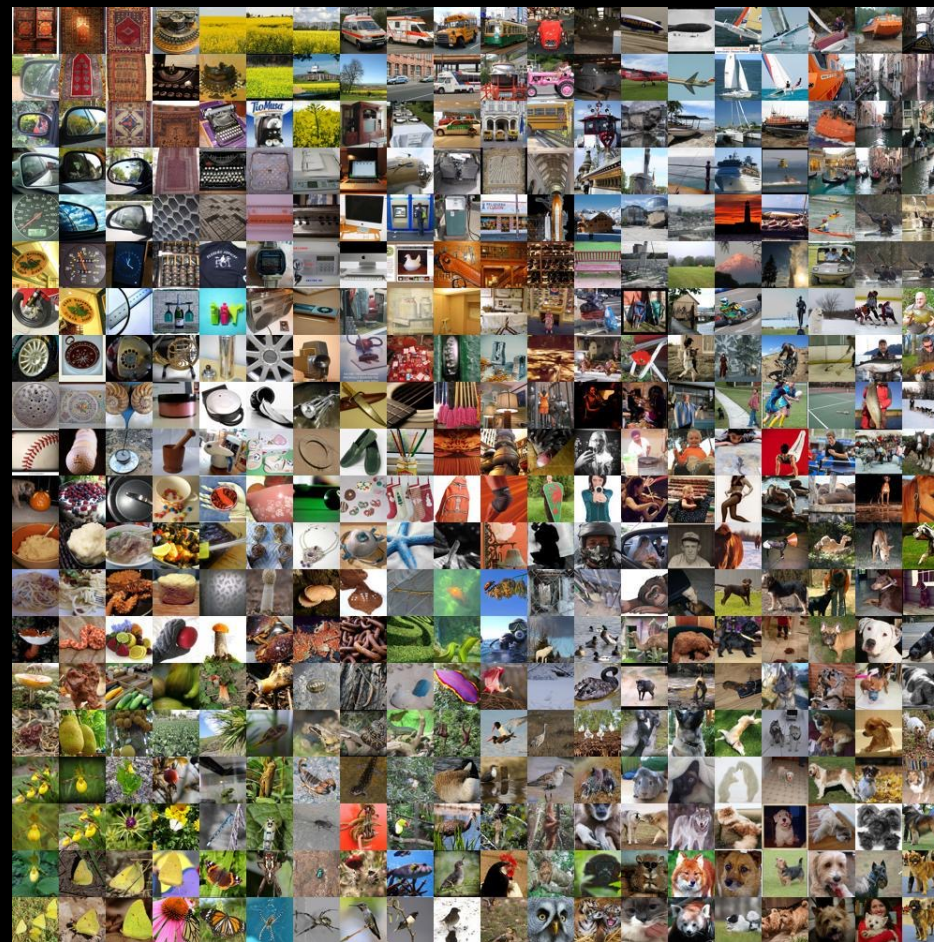
IMAGE CLASSIFICATION DATASETS

CIFAR10, CIFAR100 60,000 images, 10 & 100 categories



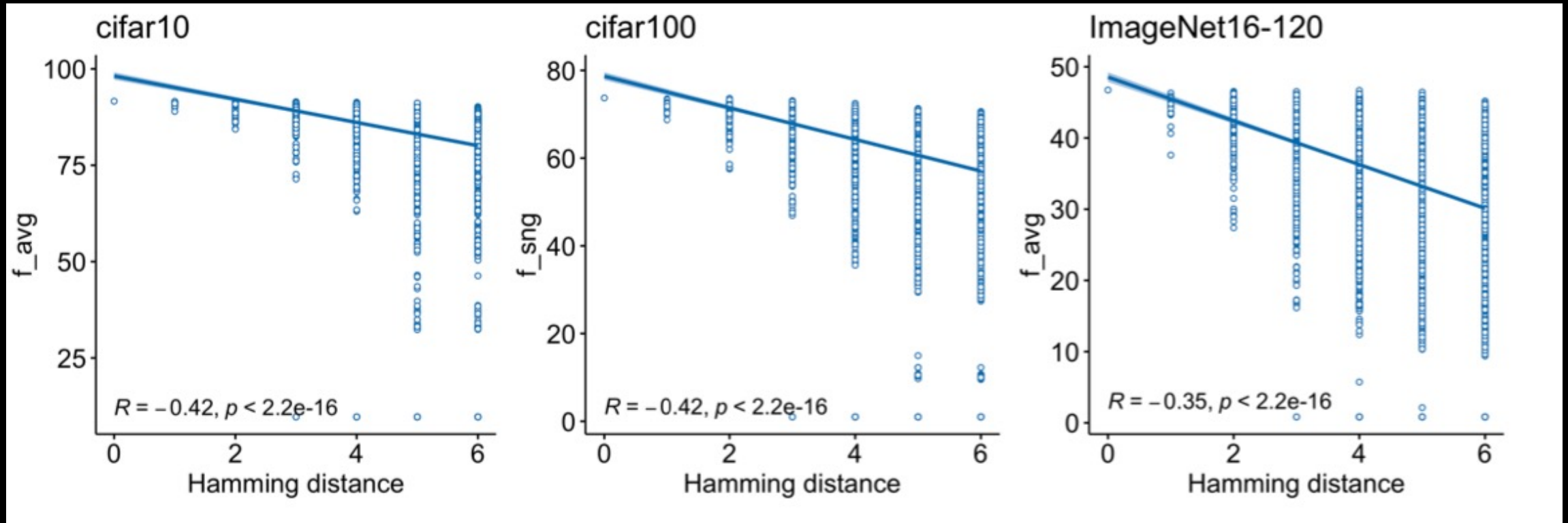
<https://www.cs.toronto.edu/~kriz/cifar.html>

ImageNet ~ 14 Million images 1,000 categories
ImageNet-16-120 reduced res, 120 categories



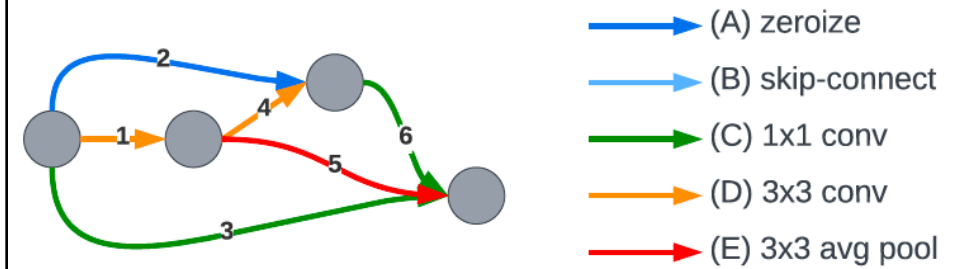
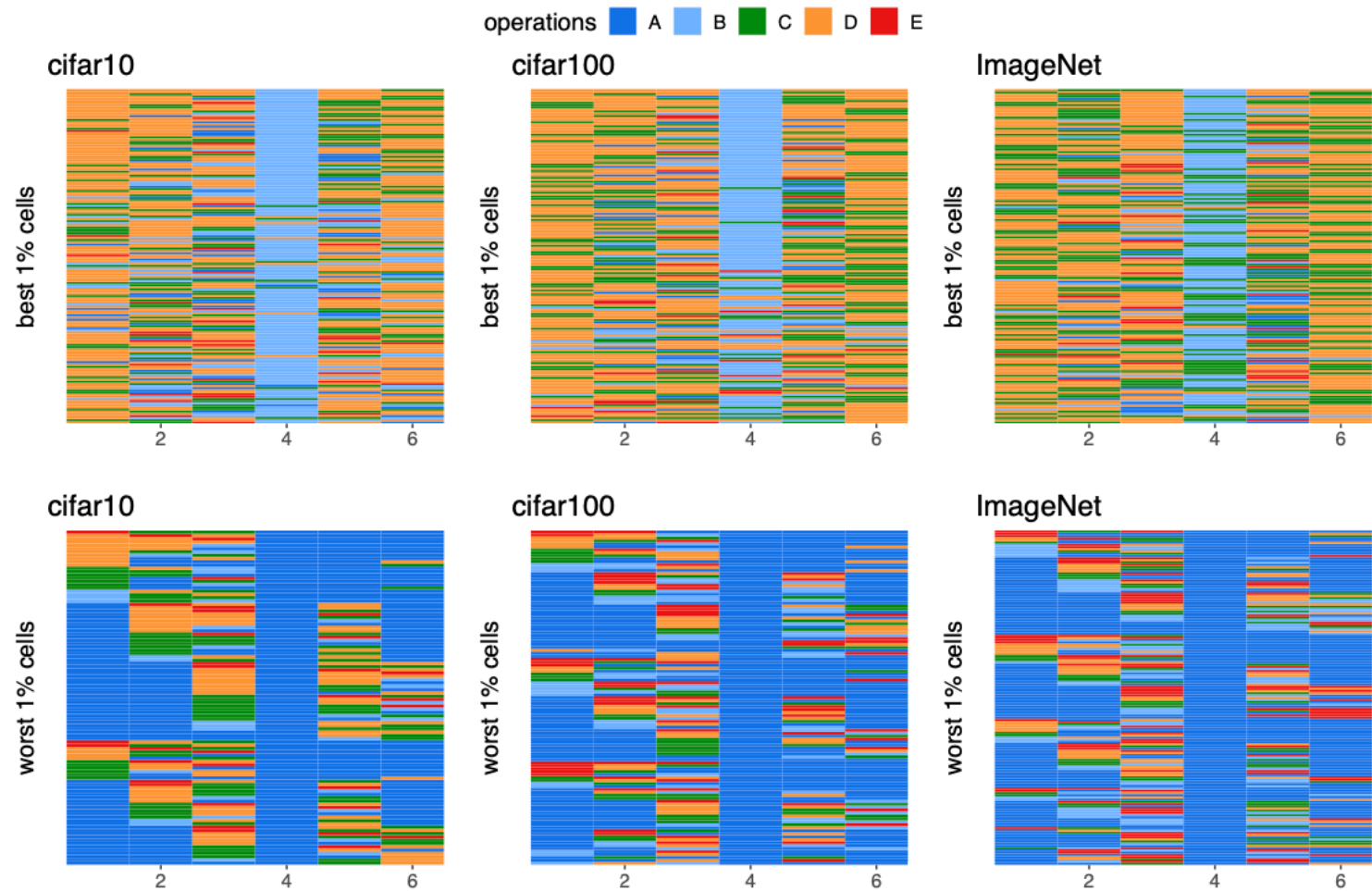
<https://cs.stanford.edu/people/karpathy/cnnembed/>

FITNESS DISTANCE CORRELATION



Horizontal axes -Hamming distance to the global optimum,
The Spearman correlation coefficients with p-value are also shown.

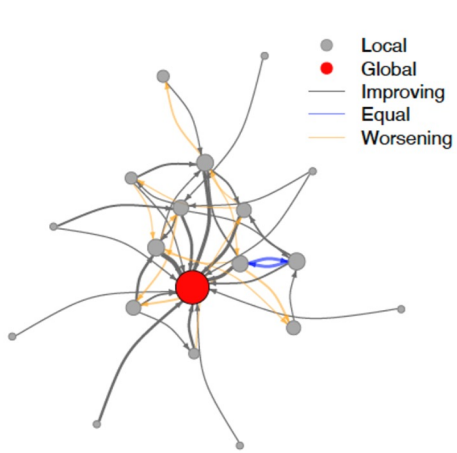
GENOTYPE MAPS



Genotype maps of the best 1% (top), and worst 1% (bottom) performing cells, sorted according to f_{avg} .

Each line visualises a cell. Positions are coloured according to the respective operation.

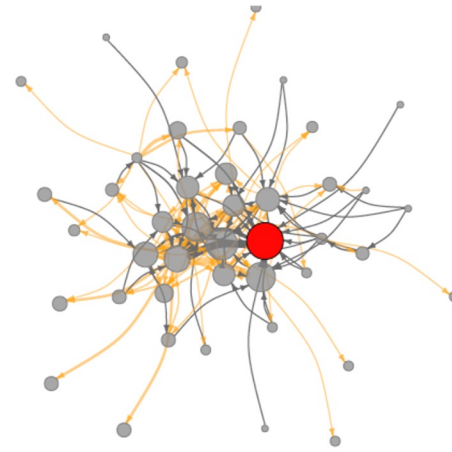
LOCAL OPTIMA NETWORKS



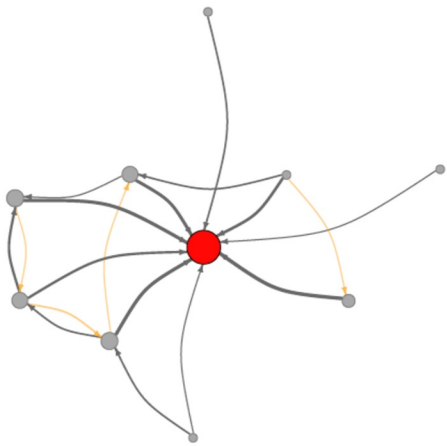
(a) cifar10, f_{sng} , (19,44)



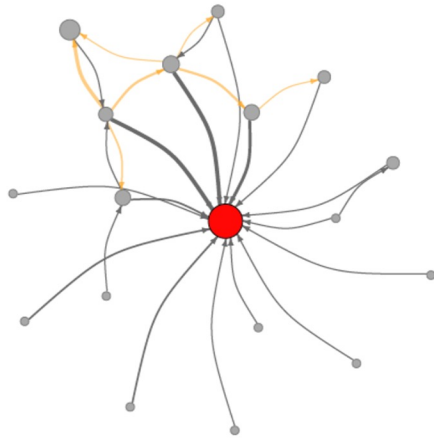
(b) cifar100, f_{sng} , (26,41)



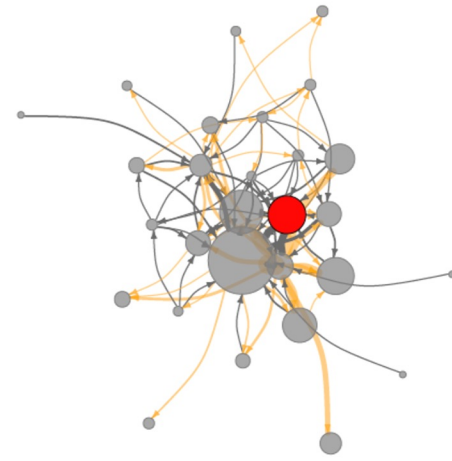
(c) ImageNet, f_{sng} , (43,145)



(d) cifar10, f_{avg} , (10,18)



(e) cifar100, f_{avg} , (18,27)



(f) ImageNet, f_{avg} , (28,98)

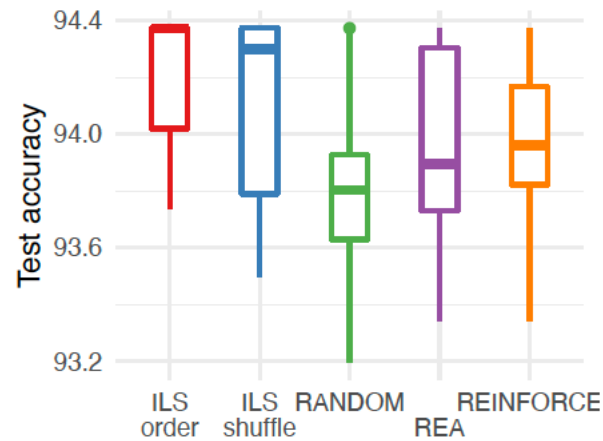
Local optima networks (**LONs**) for all datasets and the two fitness functions.

The number of nodes n and edges e are indicated as (n, e) .

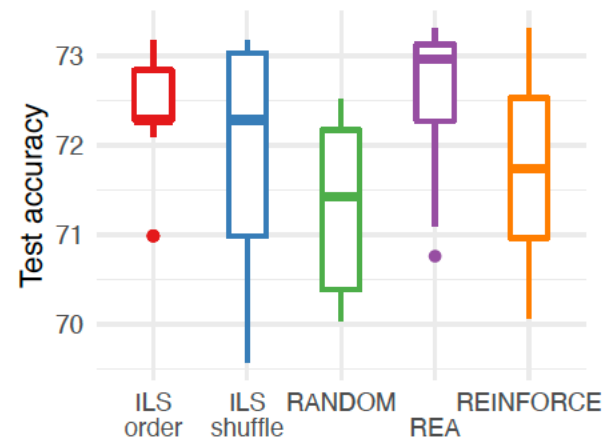
Size of nodes: proportional to incoming degree

Distribution of average test accuracy at the end of the run

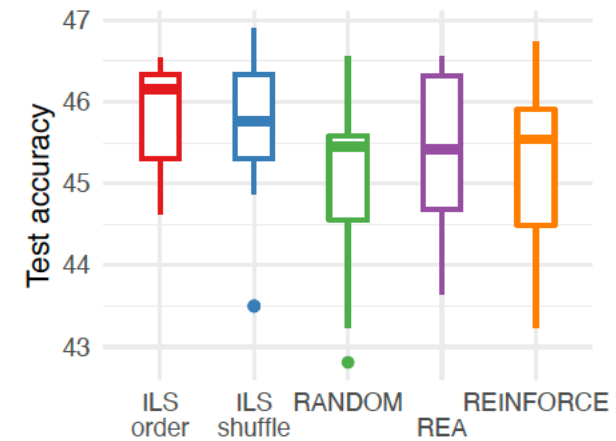
Progression of average test accuracy



(a) cifar10



(b) cifar100



(c) ImageNet

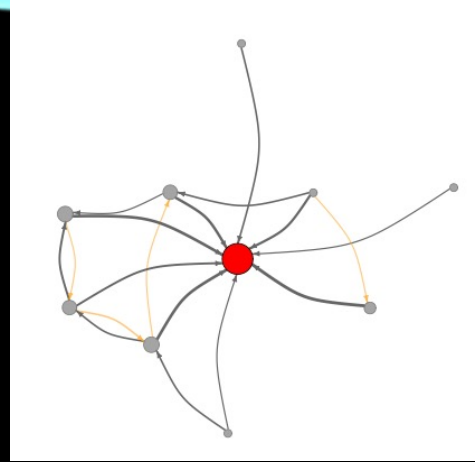
30 Runs
For each
strategy

5 Search Strategies

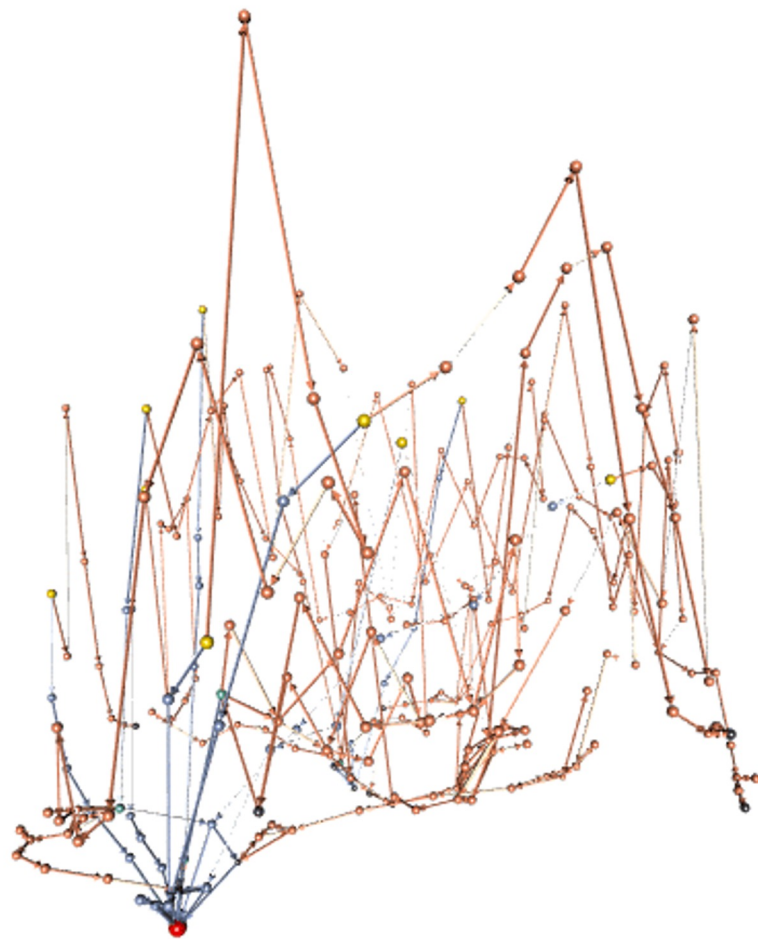
- Two versions of Iterated Local Search (ILS) (**order**, **shuffle**) – order to explore neighbours
- **Random Search**, **Regularized Evolutionary Algorithm**, **Reinforcement Learning**

SEARCH STRATEGIES

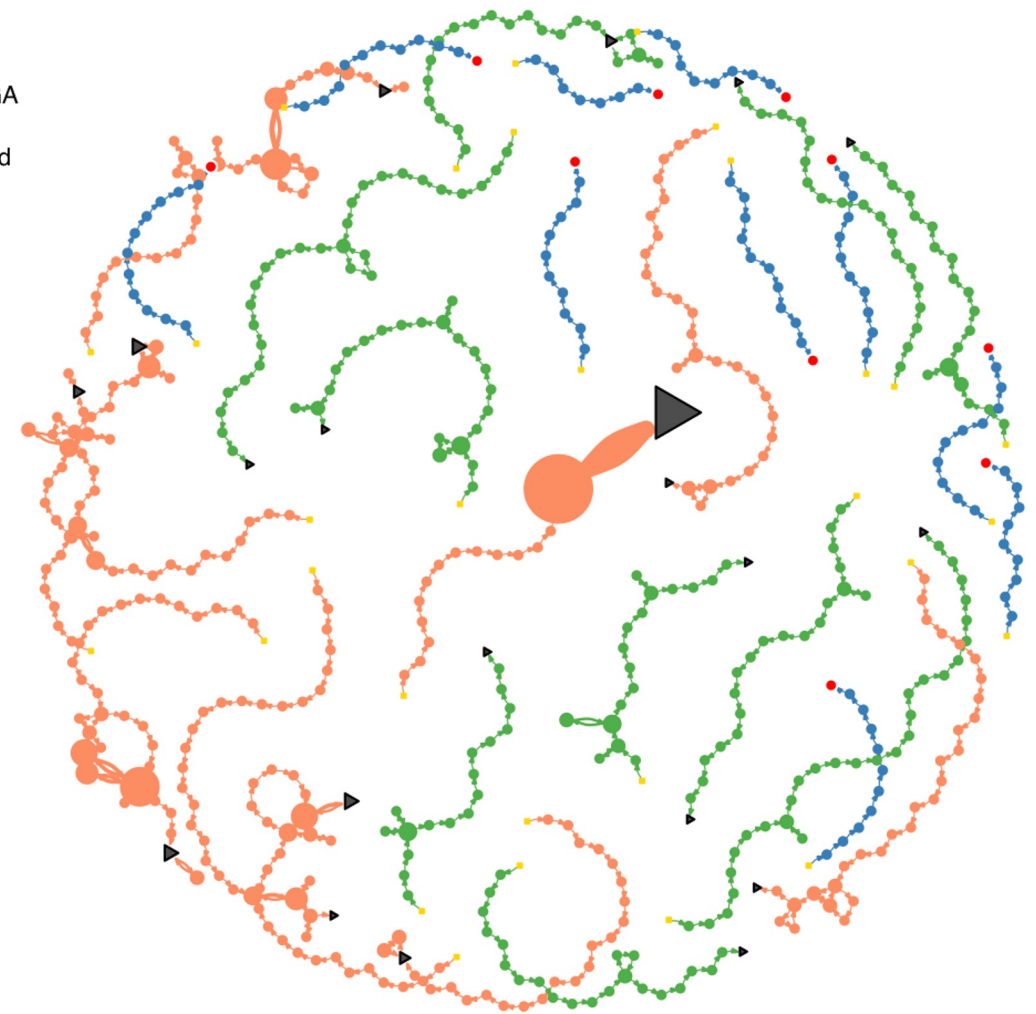
INSIGHTS FROM LONs IN NAS



- NAS landscapes are rugged (multi-modal)
- Low number of local optima, from which it is not difficult to escape
- Reducing noise reduces the number of local optima
- LS can outperform more elaborate evolutionary and reinforcement learning methods!
- No crossover in state-of-the-art EA method!



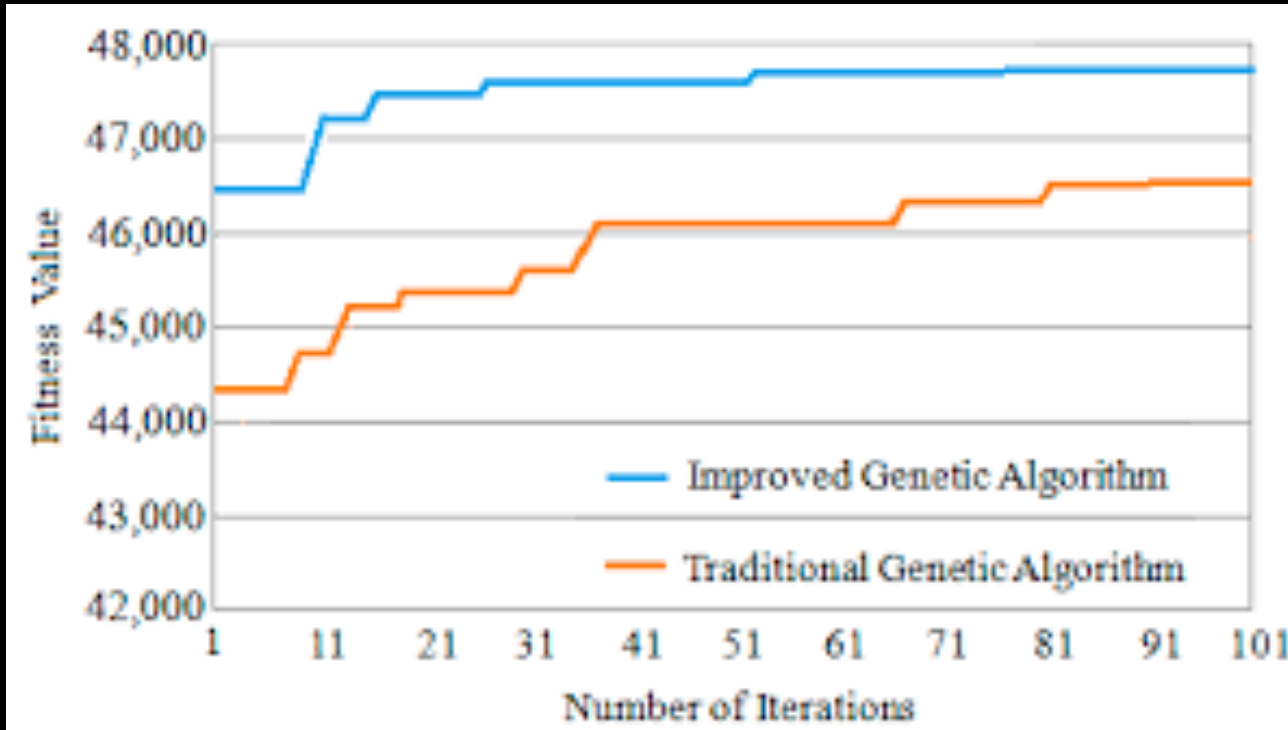
- Start
- End
- Best
- ACO
- BRKGA
- ILS
- Shared



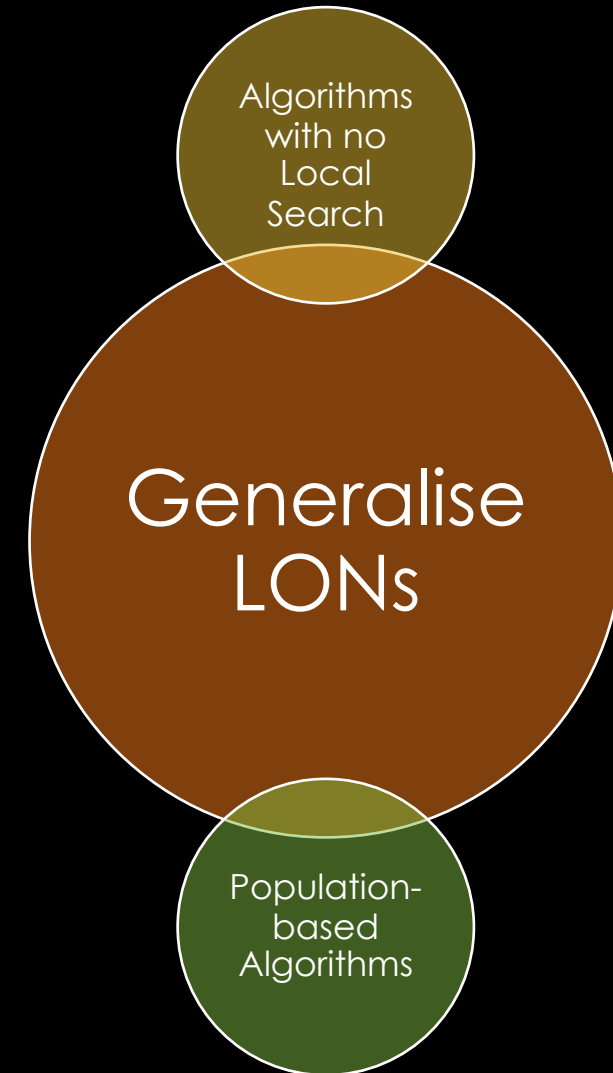
SEARCH TRAJECTORY NETWORKS

MOTIVATION FOR STNS

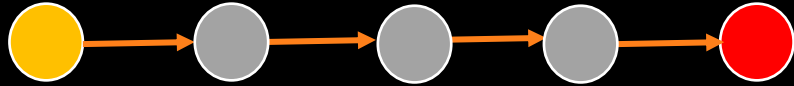
Few tools for studying/contrasting behaviour



Typical convergence plot – Only shows dynamics on the objective space



STN DEFINITIONS



Representative Solution

- Solution that represents status of the search process

Location

- Subset of solutions, partition of the search space

Nodes

- Locations of representative solutions

Edges

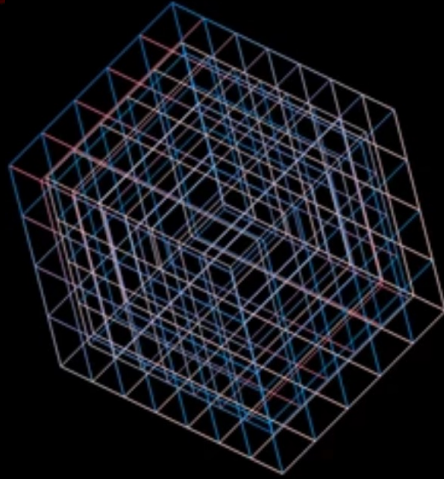
- Directed, connect two consecutive locations

STN

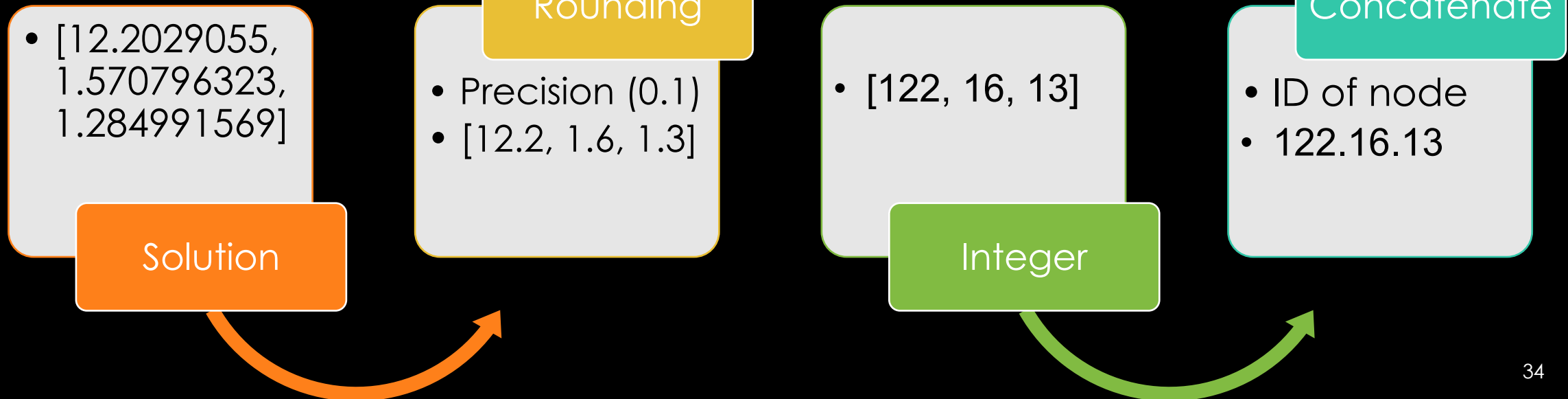
- Directed graph $STN = (N, E)$

MAPPING SOLUTIONS TO LOCATIONS

Solution space is divided into hypercubes



Example $D = 3$



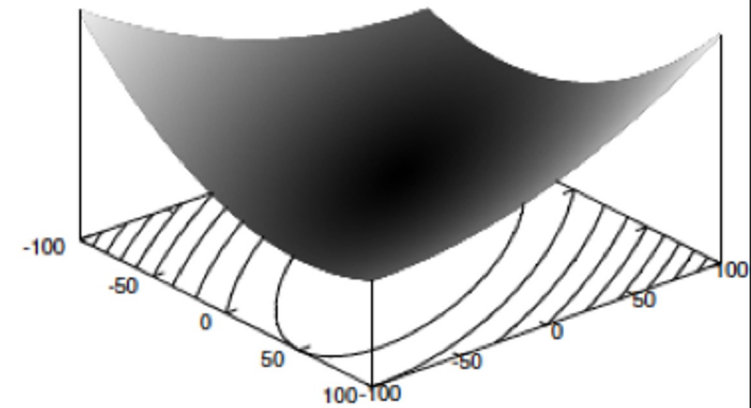
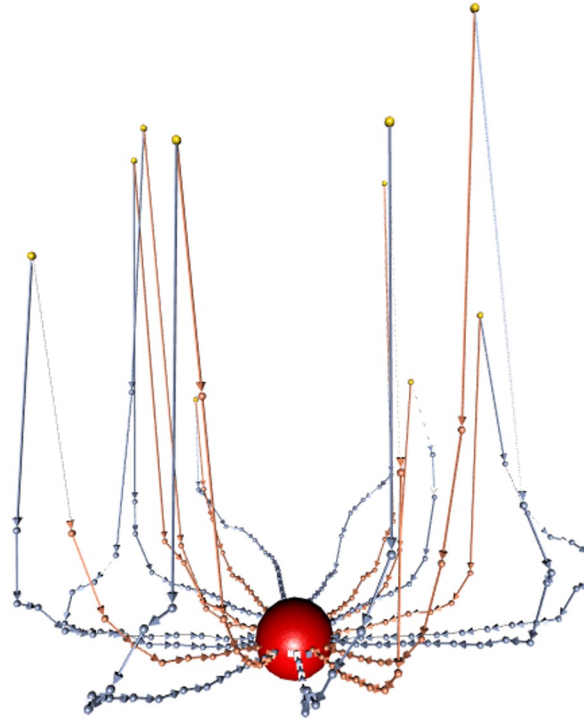
QUADRIC, $D = 10$



- Locations visited by PSO
- Search transitions by PSO
- Locations visited by DE
- Search transitions by DE
- Locations visited by both algorithms
- Locations at the start of runs
- Locations at the end of runs
- Location of the global optimum

PSO – Particle Swarm Optimisation

DE – Differential Evolution

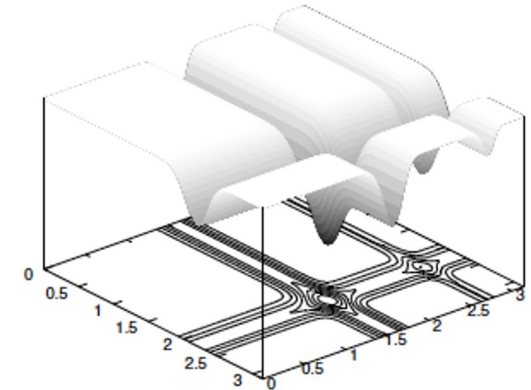
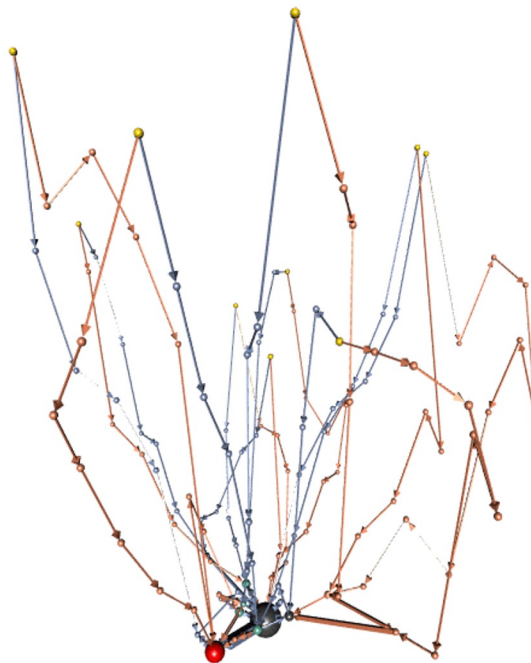
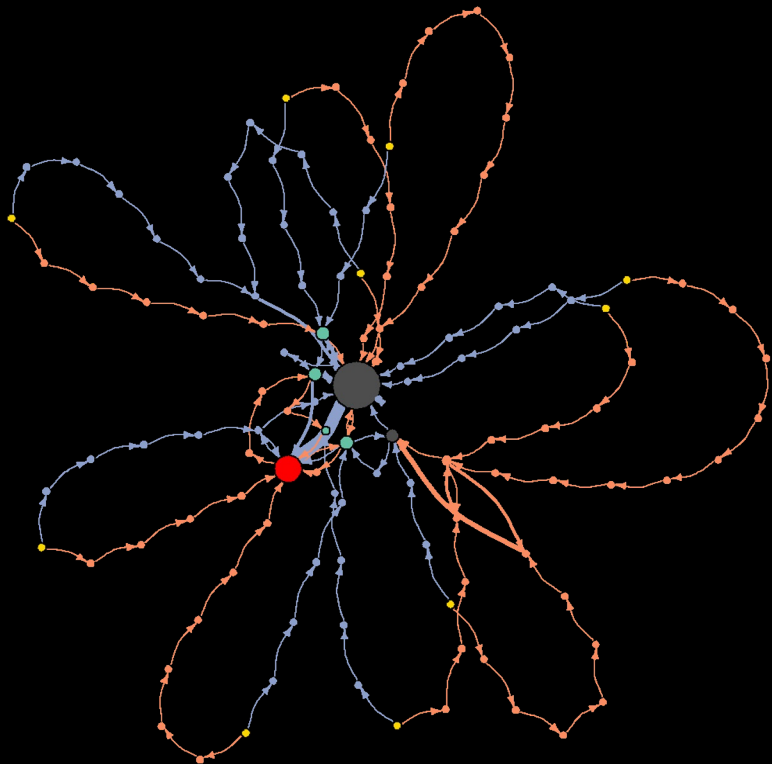


$D = 2$

Unimodal function

	PSO	DE
Nodes	93	154
Path length	9.2	15.1
Success	100%	100%

Michalewicz, D = 5



Multimodal, large plateaus close to the global optimum

- Locations visited by PSO
- Search transitions by PSO
- Locations visited by DE
- Search transitions by DE
- Locations visited by both algorithms
- Locations at the start of runs
- Locations at the end of runs
- Location of the global optimum

PSO – Particle Swarm Optimisation

DE – Differential Evolution

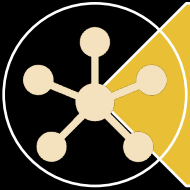
	PSO	DE
Nodes	76	61
Path length	7.5	7.0
Success	40%	80%



NEUROEVOLUTION



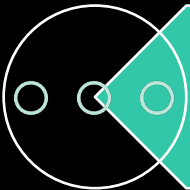
Evolve weights, fixed topology



Evolve topology, learn weights with gradient-based methods



Evolve both weights and topology



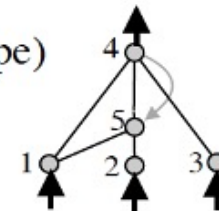
Evolve components, hyperparameters, learning rules

NEAT – Neuroevolution of Augmented Topologies

Genome (Genotype)

Node Genes	Node 1	Node 2	Node 3	Node 4	Node 5		
	Sensor	Sensor	Sensor	Output	Hidden		
Connect. Genes	In 1	In 2	In 3	In 2	In 5	In 1	In 4
	Out 4	Out 4	Out 4	Out 5	Out 4	Out 5	Out 5
	Weight 0.7	Weight-0.5	Weight 0.5	Weight 0.2	Weight 0.4	Weight 0.6	Weight 0.6
	Enabled	DISABLED	Enabled	Enabled	Enabled	Enabled	Enabled
	Innov 1	Innov 2	Innov 3	Innov 4	Innov 5	Innov 6	Innov 11

Network (Phenotype)



(Stanley & Miikkulainen, 2002)

NEAT STNs

NEAT

Stanley, Miikkulainen, 2002

Neuroevolution of
Augmented
Topologies



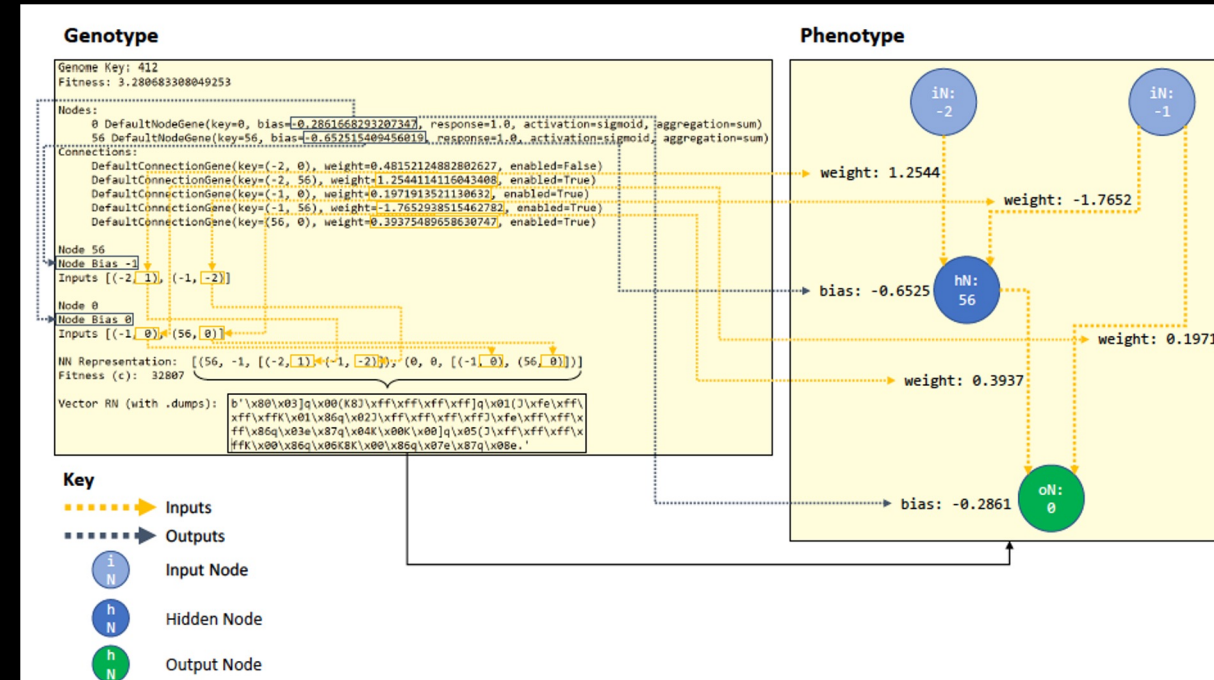
Benchmarks

- Maze Navigation
- Deceptive problems



Algorithm Variants

- Novelty Search
- Crossover
- No Crossover



Mapping NEAT genotypes to locations
Object Serialisation (pickle.dumps)

S Sarti, JAdair, G Ochoa (2022) *Recombination and Novelty in Neuroevolution: A Visual Analysis.*
SN COMPUT. SCI. 3, 185

NOVELTY SEARCH

(Lehman Stanley, ECJ 2011)

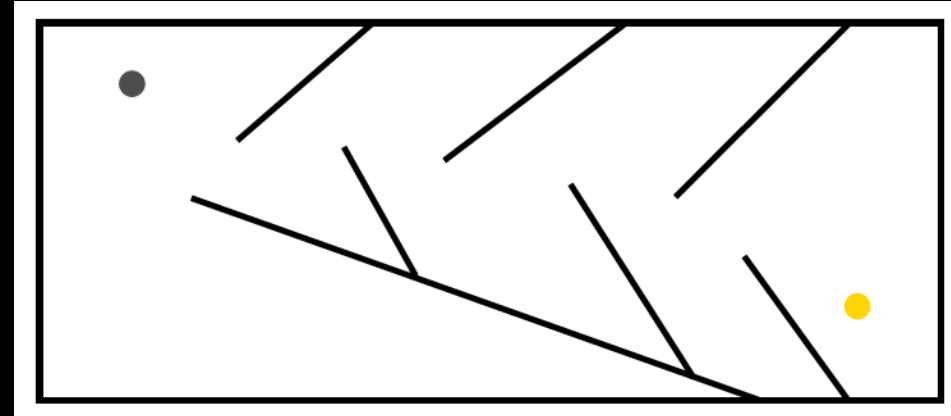
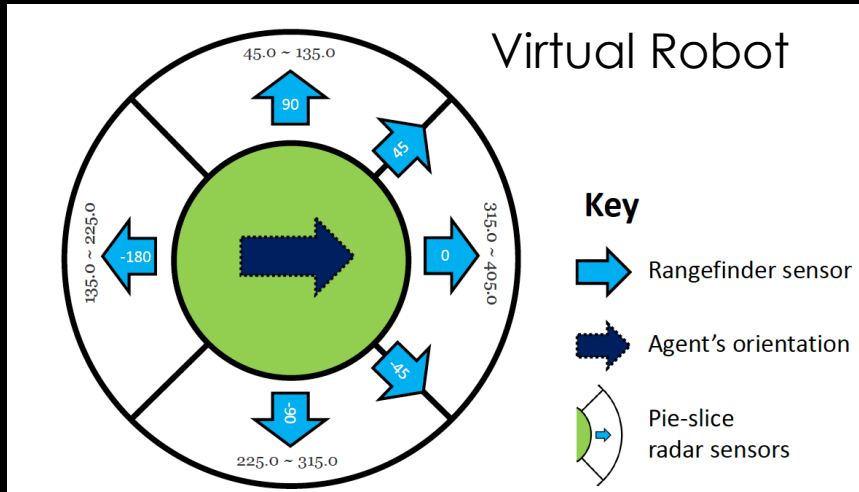
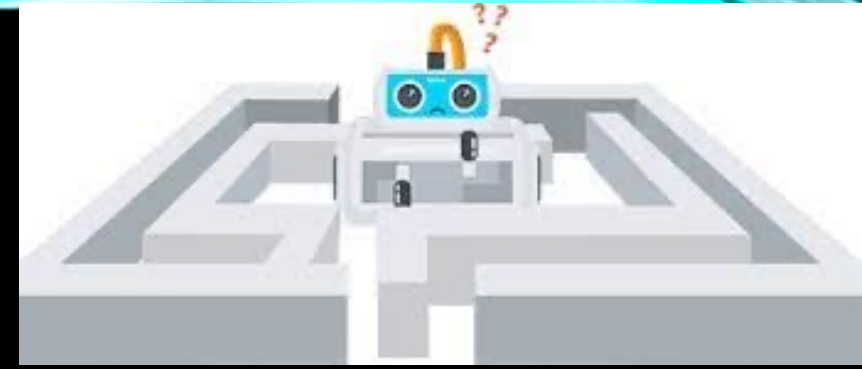


- Many different genomes produce the same behaviour

- Genetic diversity is not sufficient in Neuroevolution

- Idea: directly reward a diversity of behaviours

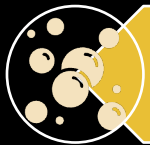
MAZE NAVIGATION



Medium
Maze



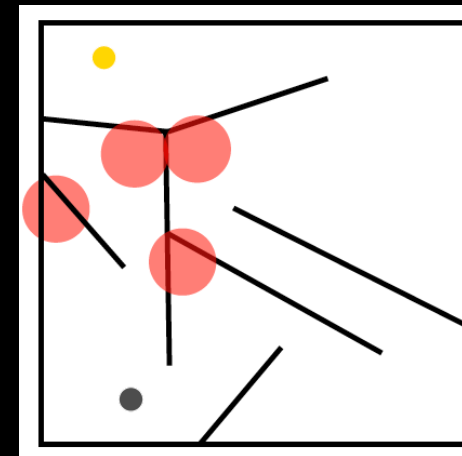
Fitness-based search



Novelty search



Crossover vs. no Crossover

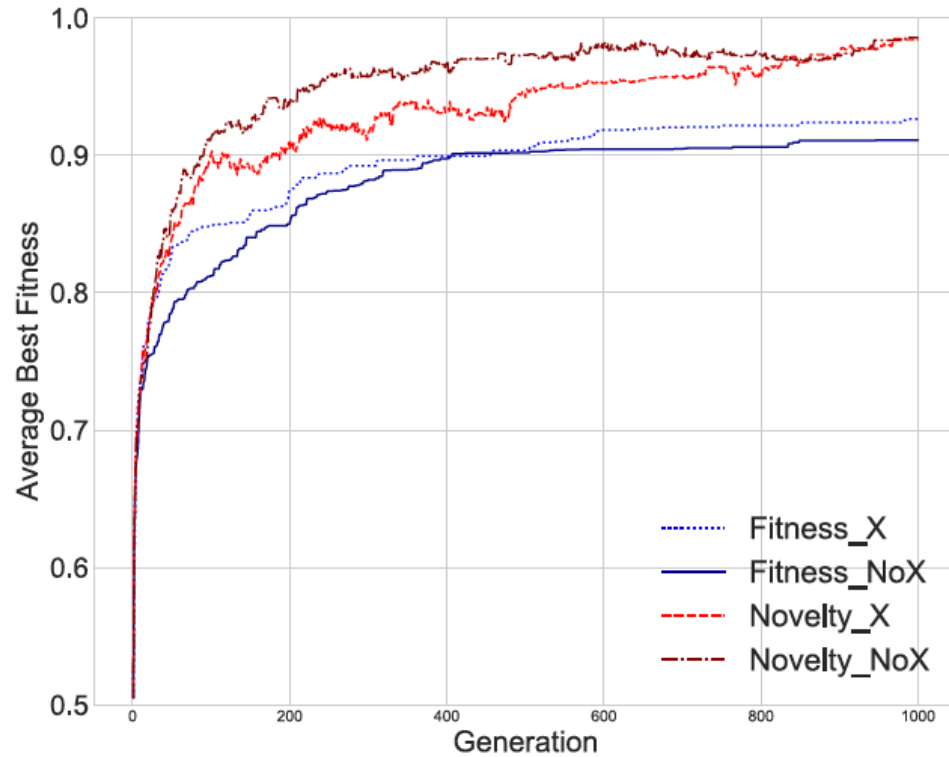


- Goal
- Start
- Deceptive traps

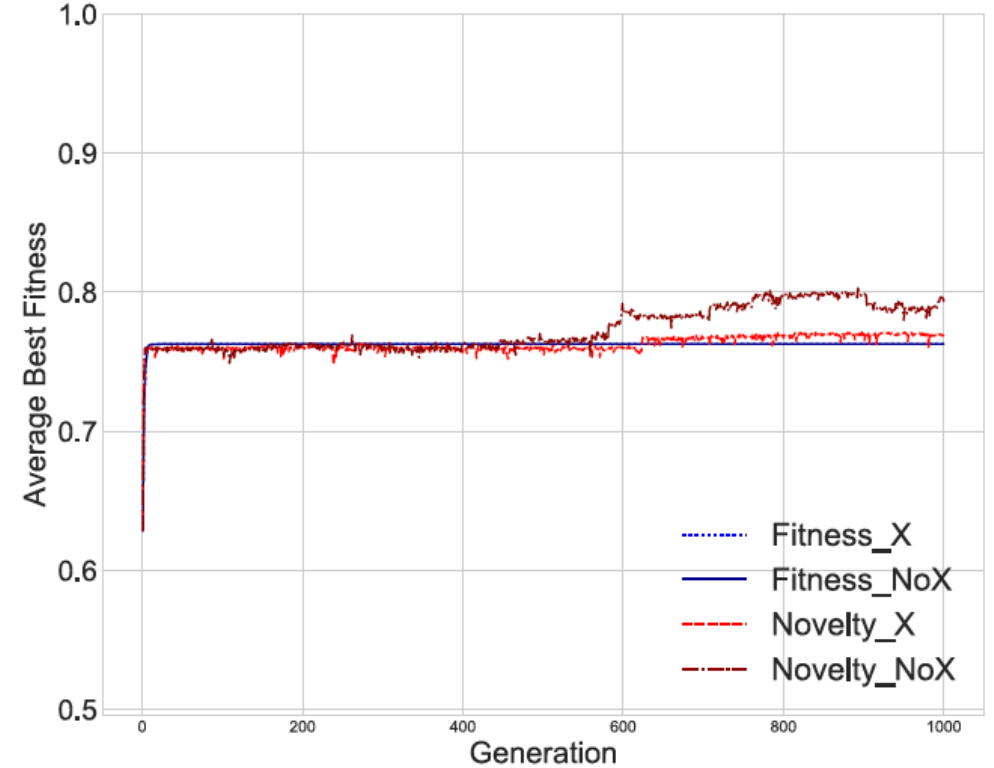
Hard Maze
Deceptive problem

NEAT PERFORMANCE CURVES

Average best fitness (30 runs) generations for the four NEAT variants

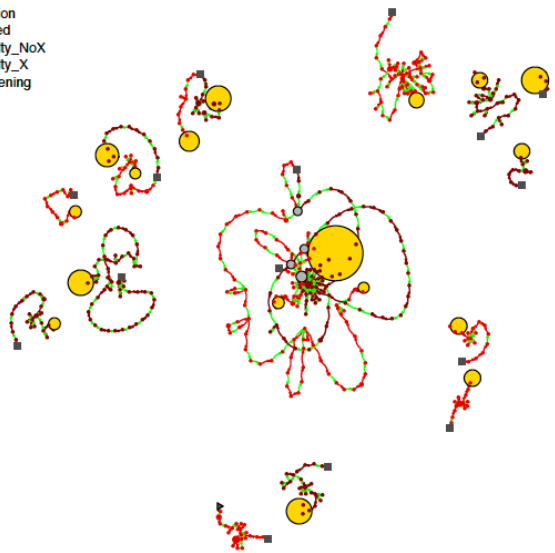


(a) Medium Maze Domain



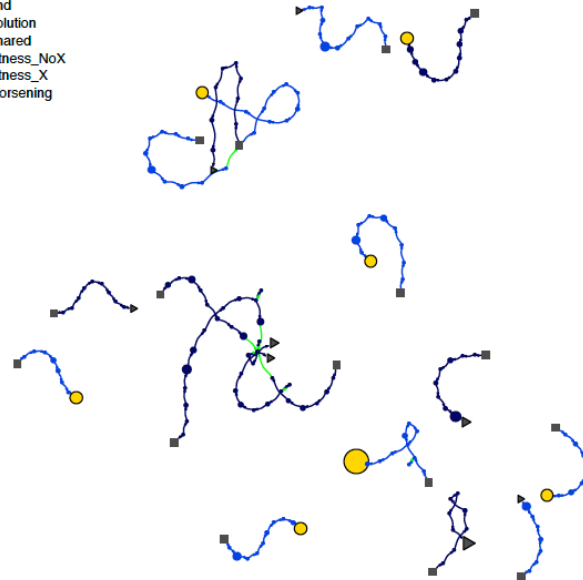
(b) Hard Maze Domain

■ Start
 ▲ End
 ● Solution
 ● Shared
 ● Novelty_NoX
 ● Novelty_X
 ● Worsening



(a) Novelty, medium map

■ Start
 ▲ End
 ● Solution
 ● Shared
 ● Fitness_NoX
 ● Fitness_X
 ● Worsening

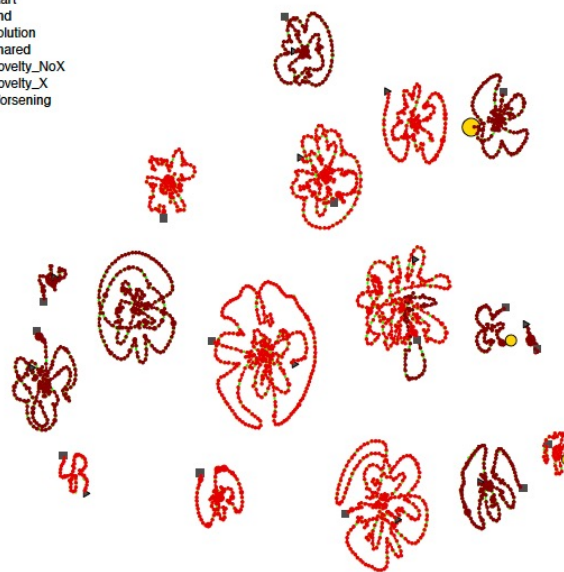


(b) Fitness, medium map

NEAT STNs

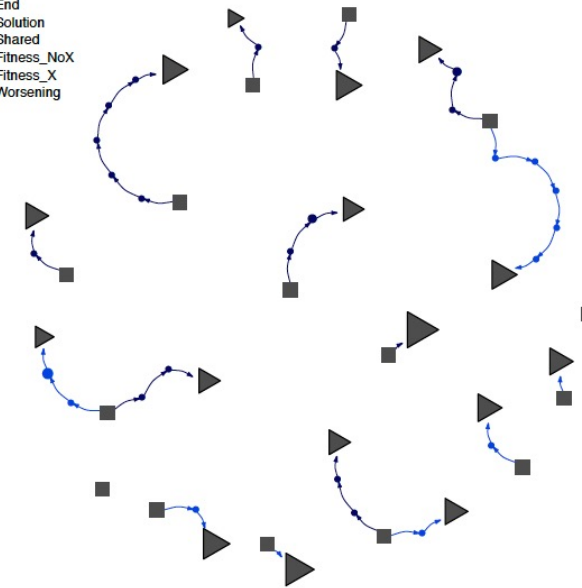
Merged models with and without Xover
 - 9 runs for each strategy
 - Size: number of compressed nodes

■ Start
 ▲ End
 ● Solution
 ● Shared
 ● Novelty_NoX
 ● Novelty_X
 ● Worsening



(c) Novelty, hard map

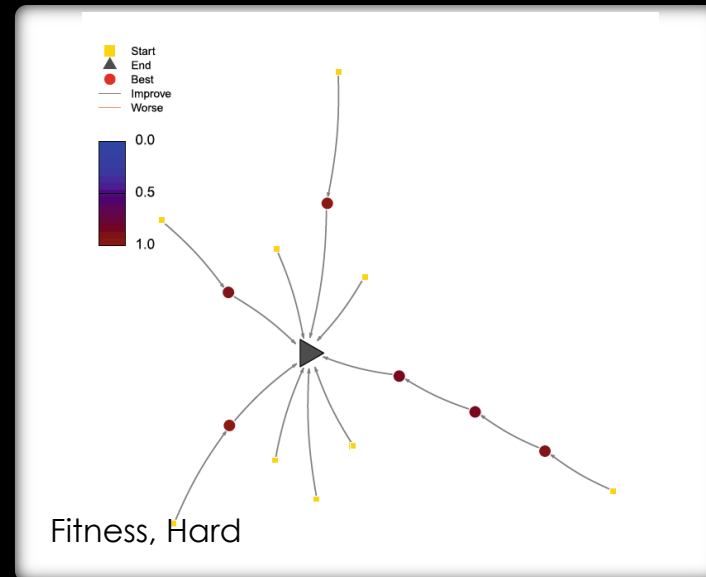
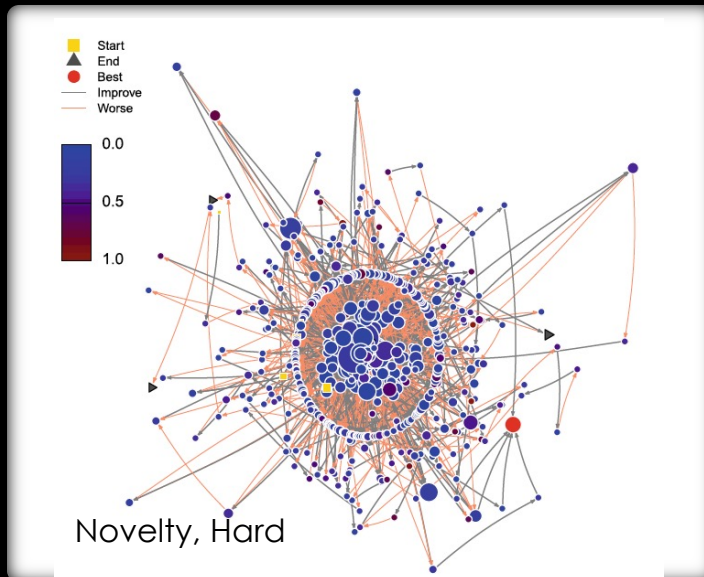
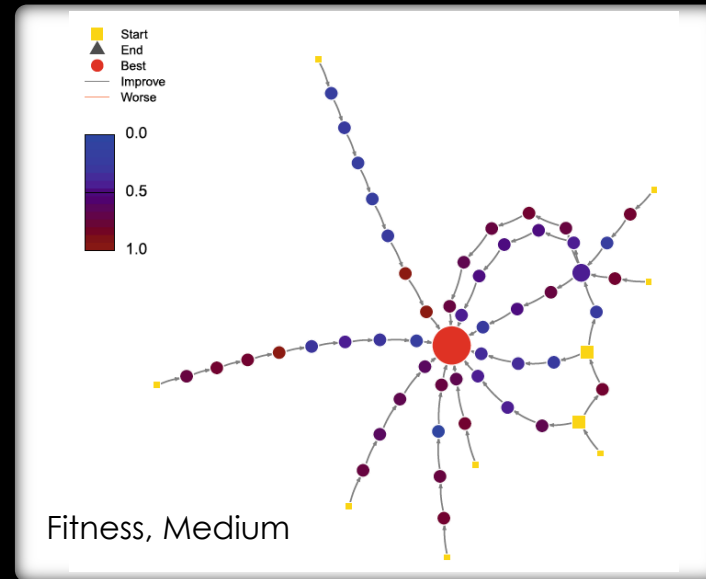
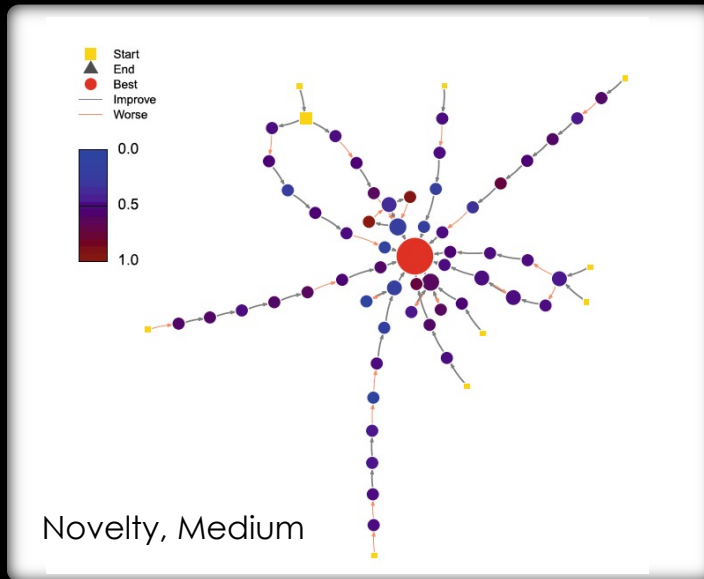
■ Start
 ▲ End
 ● Solution
 ● Shared
 ● Fitness_NoX
 ● Fitness_X
 ● Worsening



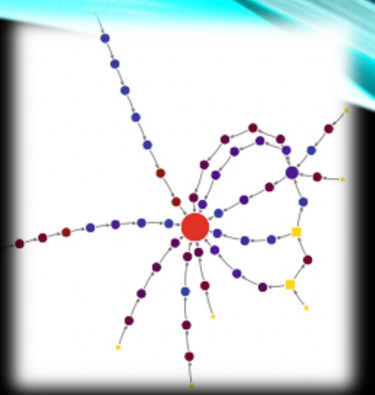
(d) Fitness, hard map

STNs IN BEHAVIOUR SPACE

Behaviour in the maze domain is simply the (x, y) coordinate of the agent at the end of simulation



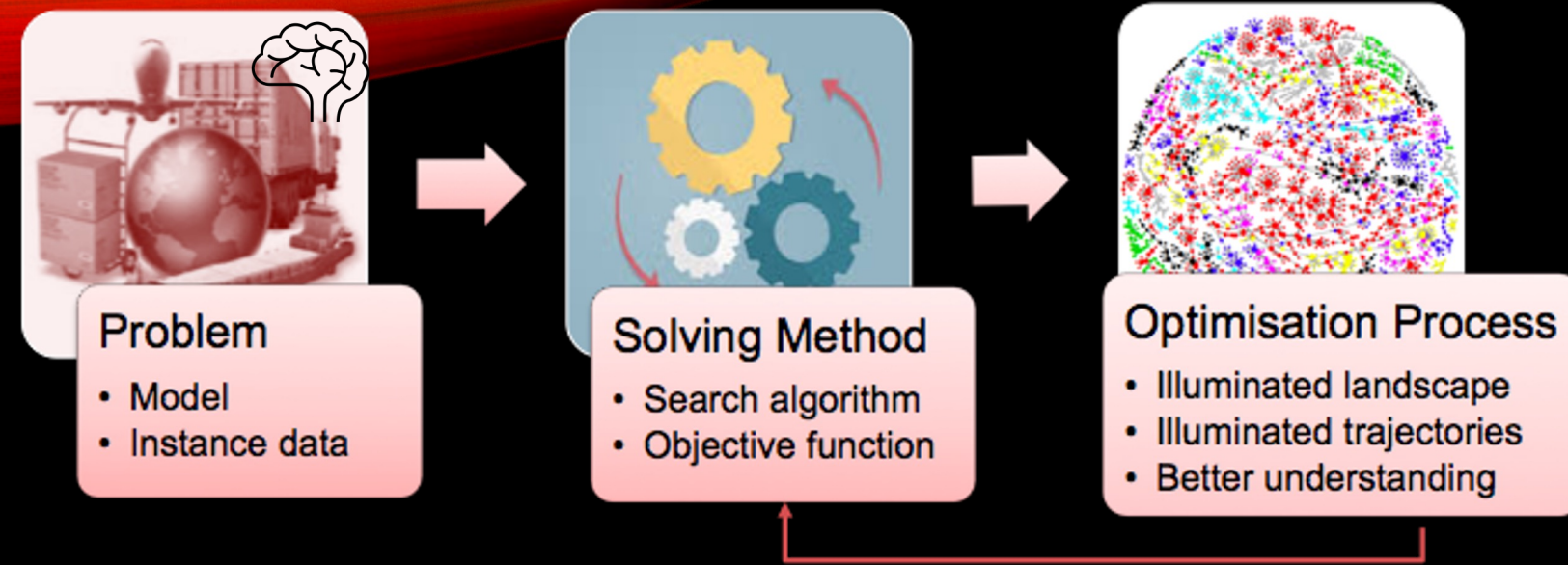
INSIGHTS FROM STNs IN NEAT



- So far applied only to early versions of NEAT with direct encoding
- Genotype STNs do not scale well to complex problems
- Phenotype/Behaviour space STNs can be useful
- Confirms that Novelty search is useful in deceptive and neutral domains
- Crossover does not seem to be useful!

Conclusion

An accessible and visual approach to understanding **problems** & **methods**



LON Resources

- lonmaps.com
- <https://github.com/gabro8a/LONs-Numerical>

STN Resources

- <https://github.com/gabro8a/STNs>
- **Web Application**
<http://45.32.184.82>

Future work

Using knowledge to select/configure algorithms

Build up software tools!

REFERENCES

LONs for NAS

- N M Rodrigues, K M Malan, G Ochoa, L Vanneschi, S Silva (2022) *Fitness landscape analysis of convolutional neural network architectures for image classification*, *Information Sciences*, Vol. 609, pp. 711-726.
- G Ochoa, N Veerapen (2022). *Neural Architecture Search: A Visual Analysis*. *Parallel Problem Solving from Nature – PPSN XVII. PPSN 2022. Lecture Notes in Computer Science*, vol 13398. Springer, Cham

STNs for NEAT

- S Sarti, JAdair, G Ochoa (2022) *Recombination and Novelty in Neuroevolution: A Visual Analysis*. *SN COMPUT. SCI.* 3, 185
- Sarti, S., Adair, J., Ochoa, G. (2022) *Neuroevolution Trajectory Networks of the Behaviour Space*. *Applications of Evolutionary Computation*. *EvoApps 2022. Lecture Notes in Computer Science*, vol 13224. Springer.
- S Sarti, G Ochoa (2021) *A NEAT Visualisation of Neuroevolution Trajectories*. *Applications of Evolutionary Computation - EvoApps 2021. Lecture Notes in Computer Science*, vol 12694. Springer, Cham.